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- (1) National Ribat University, Burri, Khartoum, Sudan
- (2) Faculty of Mathematical Sciences, University of Khartoum, Sudan
- (3) Faculty of Sciences and Arts, Albaha University, Saudi Arabia

Abstract — In this paper an integrated Certificateless Public Key Infrastructure (CLPKI) that focuses on key management issues is proposed. The proposed scheme provides two-factor private key authentication to protect the private key in case of device theft or compromise. The private key in the proposed scheme is not stored in the device, but rather it is calculated every time the user needs it. It depends also on a user's chosen password and then even if the device is stolen, the attacker cannot get the private key because he/she does not know the user's secret password. The proposed model provides many other key management features like private key recovery, private key portability and private key archiving.

#### 2. Paper 31101324: An Attribute-Based Public Key Infrastructure (pp. 11-18)

Hendri Nogueira, Jean Everson Martina and Ricardo Felipe Custódio Federal University of Santa Catarina – Florianópolis - SC, Brazi

Abstract — While X.509 Public Key Infrastructures (PKIs) and X.509 Attribute Certificates (ACs) enforce strong authentication and authorization procedures (respectively), they do not give the user management over his/her own attributes. This is especially important in regards to the users' personal information when a service provider requests more than necessary, sensitive information such as medical data, and the users need control over the attributes they are sharing. We present an Attribute-Based Public Key Infrastructure that addresses the management of users' attributes and giving more control to the users' concerns in identity and access management system and in documents signatures. Our user-centric scheme also simplify the confidence of the attributes validity and the verification procedures.

Index Terms — Attribute-Based, Public Key Infrastructure, Identity Management, Attributes, User-Centric.

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Candra Dewi and Devi Andriati,

Program of Information Technology and Computer Science, Brawijaya University

Abstract — The case of the shortest path searching is an issue to get the destination with the efficient time and the shortest path. Therefore, some shortest path searching system has been developed as a tool to get the destination without spent a lot of time. This paper implements the visualization of searching result for shortest path of the government agency location on the map using ant colony algorithm. Ant colony algorithm is an algorithm which has a probabilistic technique that is affected by ant pheromone. The shortest path searching considers some factors such as traffic jam, road direction, departures time and vehicle type. The testing is done to obtain the ant tracking intensity controlling constant ( $\alpha$ ) for calculation probability of route that is selected by ant and visibility controlling constant ( $\alpha$ ), therefore the optimal route would be obtained. The testing result shows that the worst accuracy value was reach when  $\alpha = 0$  and  $\beta = 0$ . On the other hand, the accuracy value close to 100% on some combination of the parameter such as ( $\alpha = 0$ ,  $\beta = 1$ ), ( $\alpha = 2$ ,  $\beta = 1$ ), ( $\alpha = 0$ ,  $\beta = 2$ ), ( $\alpha = 1$ ,  $\beta = 2$ ) to ( $\alpha = 2$ ,  $\beta = 5$ ). It shows that the accuracy

value is close to the best result. The change of parameter  $\alpha$  and  $\beta$  are the main priority on the shortest path searching because the values have been produced will be used as probability value of pheromone.

Keywords - shortest path; map visualization; Ant Colony algorithm; government agency location

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James Obert, Cyber R&D Solutions, Sandia National Labs, Albuquerque, NM, USA Huiping Cao, Computer Science Department, New Mexico State University, Las Cruces, NM, USA

Abstract — Multipath routing is the use of multiple potential paths through a network in order to enhance fault tolerance, optimize bandwidth use, and improve security. Selecting data flow paths based on cost addresses performance issues but ignores security threats. Attackers can disrupt the data flows by attacking the links along the paths. Denial-of-service, remote exploitation, and other such attacks launched on any single link can severely limit throughput. Networks can be secured using a secure quality of service approach in which a sender disperses data along multiple secure paths. In this secure multi-path approach, a portion of the data from the sender is transmitted over each path and the re-ceiver assembles the data fragments that arrive. One of the larg-est challenges in secure multipath routing is determining the se-curity threat level along each path and providing a commensu-rate level of encryption along that path. The research presented explores the effects of real-world attack scenarios in systems, and gauges the threat levels along each path. Optimal sampling and compression of network data is provided via compressed sensing. The probability of the presence of specific attack signatures along a network path is determined using machine learning techniques. Using these probabilities, information assurance levels are de-rived such that security measures along vulnerable paths are increased.

Keywords-component; Mutli-path Security; Information Assurance; Anomaly Detection.

## 5. Paper 31101338: On the Information Hiding Technique Using Least Significant Bits Steganography (pp. 34-45)

Samir El-Seoud, Faculty of Informatics and Computer Science, The British University in Egypt, Cairo, Egypt Islam Taj-Eddin, Faculty of Informatics and Computer Science, The British University in Egypt, Cairo, Egypt

Abstract — Steganography is the art and science of hiding data or the practice of concealing a message, image, or file within another message, image, or file. Steganography is often combined with cryptography so that even if the message is discovered it cannot be read. It is mainly used to maintain private data and/or secure confidential data from misused through unauthorized person. In contemporary terms, Steganography has evolved into a digital strategy of hiding a file in some form of multimedia, such as an image, an audio file or even a video file. This paper presents a simple Steganography method for encoding extra information in an image by making small modifications to its pixels. The proposed method focuses on one particular popular technique, Least Significant Bit (LSB) Embedding. The paper uses the (LSB) to embed a message into an image with 24-bit (i.e. 3 bytes) color pixels. The paper uses the (LSB) of every pixel's bytes. The paper show that using three bits from every pixel is robust and the amount of change in the image will be minimal and indiscernible to the human eye. For more protection to the message bits a Stego-Key has been used to permute the message bits before embedding it. A software tool that employ steganography to hide data inside of other files (encoding) as well as software to detect such hidden files (decoding) has been developed and presented.

Key Words—Steganography, Hidden-Data, Embedding-Stego-Medium, Cover-Medium, Data, Stego-Key, Stego-Image, Least Significant Bit (LSB), 24-bit color pixel, Histogram Error (HE), Peak Signal Noise Ratio (PSNR), Mean Square Error (MSE).

## 6. Paper 30091320: Color and Shape Content Based Image Classification using RBF Network and PSO Technique: A Survey (pp. 46-50)

Abhishek Pandey, Dept. of CSE, UIT-RGPV Bhopal (M.P) Prof. Anjna Jayant Deen, Dept. of CSE, UIT-RGPV Bhopal (M.P) Dr. Rajeev Pandey, Dept. of CSE, UIT-RGPV Bhopal(M.P)

Abstract - The improvement of the accuracy of image query retrieval used image classification technique. Image classification is well known technique of supervised learning. The improved method of image classification increases the working efficiency of image query retrieval. For the improvements of classification technique we used RBF neural network function for better prediction of feature used in image retrieval. Colour content is represented by pixel values in image classification using radial base function(RBF) technique. This approach provides better result compare to SVM technique in image representation. Image is represented by matrix though RBF using pixel values of colour intensity of image. Firstly we using RGB colour model. In this colour model we use red, green and blue colour intensity values in matrix. SVM with partical swarm optimization for image classification is implemented in content of images which provide better Results based on the proposed approach are found encouraging in terms of color image classification accuracy.

Keywords: RBF network, PSO technique, image classification.

#### 7. Paper 30091321: A Survey: Various Techniques of Image Compression (pp. 51-55)

Gaurav Vijayvargiya, Dr. Rajeev Pandey, Dr. Sanjay Silakari UIT-RGPV, Bhopal

Abstract — This paper addresses about various image compression techniques. On the basis of analyzing the various image compression techniques this paper presents a survey of existing research papers. In this paper we analyze different types of existing method of image compression. Compression of an image is significantly different then compression of binary raw data. To solve these use different types of techniques for image compression. Now there is question may be arise that how to image compress and which types of technique is used. For this purpose there are basically two types are method are introduced namely lossless and lossy image compression techniques. In present time some other techniques are added with basic method. In some area neural network genetic algorithms are used for image compression.

Keywords-Image Compression; Lossless; Lossy; Redundancy; Benefits of Compression.

#### 8. Paper 30091325: Optimization of Real-Time Application Network Using RSVP (pp. 56-62)

Vikas Gupta (1), Baldev Raj (2)

- (1) Assistant Professor, Adesh Institute of Engineering and Technology, Faridkot, Punjab, India
- (2) Research Scholar, Adesh Institute of Engineering and Technology, Faridkot, Punjab, India

Abstract — In this research work Resource Reservation Protocol (RSVP) — which works on receiver — oriented approach is used. Two different networks have been designed and implemented using OPNET. In the first scenario the client are available with and without the use of RSVP. In this scenario, the parameters that have been selected, simulated and analyzed are reservation status message, reservation and path states in all value mode, traffic delay experienced in the form of end-to-end delay parameter with and without the use of RSVP, packet delay variation with and without RSVP. The analysis reveal that the attempted reservation status was successful, the number of reservation and path states were one, the end-to-end delay with the use of RSVP was comparatively lower than with the use of RSVP and also the packet delay variation for node with RSVP was lower than that of the node not using RSVP. In another scenario the network was duplicated but the link used for connecting the subnets was changed from DS1 (1.544 Mbps) to DS3 (44.736 Mbps). The parametric analysis indicated that end-to-end delay, Packet delay variation for the network with DS3 as the link, was lower than the network with DS1.

Keywords: RSVP, OPNET

## 9. Paper 30111149: A New Scalable and Efficient Image Encryption Scheme Using Poly substitution Method and Genetic Algorithm (pp. 63-65)

G. Lokeshwari, Associate professor CSE, Aurora's Engineering College, Bhongir Dr. S. Udaya Kumar, Principal, MVSR Engineering college, Nadergul. G. Aparna, Associate Professor ECE, Aurora's Engineering College, Bhongir

Abstract - In today's world of information technology image encryption can be used for providing privacy and for protecting intellectual properties. During the transmission of images the threat of unauthorized access may increase significantly. Image encryption can be used to minimize these problems. In the proposed scheme of image encryption using poly substitution method we propose the possibility of taking the advantages of genetic algorithm features. In poly alphabetic substitution ciphers the plaintext letters are enciphered differently depending upon their placement in the text. As the name poly alphabetic suggests this is achieved by using several two, three keys and random keys combinations.

Keywords: Image Encryption, Decryption, Genetic algorithm, poly substitution.

## 10. Paper 31071319: Local Intrusion Detection by Bluff Probe Packet (LIDBPP) in A mobile Ad Hoc Network (MANET) (pp. 66-69)

Imad I. Saada and Majdi Z. Rashad

Department of Computer Science, Faculty of Computer and Information Sciences, Mansoura University, Egypt

Abstract - Mobile ad hoc network (MANET) is a collection of wireless nodes that are distributed without dependency on any permanent infrastructure. MANET security has been studied in recent years For example the black hole threats which make the source believes that the path to the destination being through it. Researchers have proposed their secure routing idea in order to encounter these threats, the problem is that the security threats still exists because it is not prevented or avoided completely in addition, some of the solutions adversely affected network performance, such as adding additional network overhead and time delay. The main objectives of this paper is to discuss some recent solutions that work to detect a black hole node by using different strategies, one of these solutions is S-ZRP, it will be developed in this paper to generate a new proposed solution called local intrusion detection by bluff probe packet (LIDBPP), it will locally begin detection by the previous node and not by the source node as in S-ZRP, this will decrease the negative impact n the performance of MANET such as network overhead and time delay in AODV based MANET.

Keywords: LIDBPP, MANET, Black hole, AODV, Network security.

## 11. Paper 31101322: Design and Analysis of (M/G/1): $(GD/\infty/\infty)$ and (Mi/Gi/1): $(NPRP/\infty/\infty)$ Queueing Systems (pp. 70-75)

G. T. Shakila Devi, Research Scholar, Department of Statistics, Manonmaniam Sundaranar University, Tirunelveli, India.

Dr. C. Vijayalakshmi, Professor, School of Advance Sciences, Department of Mathematics Division, VIT University, Chennai, India.

Abstract - There are many non Poisson queuing models. This paper mainly deals with the analysis of Non-Poisson queues (M/G/1): (GD/ $\infty$ /  $\infty$ ) and (Mi /Gi/1): (NPRP/ $\infty$ / $\infty$ ). The feasibility of the system is analyzed based on the numerical calculations and Graphical representations. When the mean system size and the queue size is high, optimized value is obtained so that the total expected cost is minimized. The outline here an approach that may be used to analyze a non-Poisson model which has job classes of multiple priorities. The priority discipline followed may be either non-preemptive or preemptive in nature. When the priority discipline is non-preemptive in nature, a job in service is allowed to complete its service normally even if a job of higher priority enters the queue while its service is going on. In the preemptive case, the service to the ongoing job will be preempted by the new arrival of higher priority. If the priority discipline is preemptive resume, then service to the interrupted job, when it restarts,

continues from the point at which the service was interrupted. For the preemptive non resume case, service already provided to the interrupted job is forgotten and its service is started again from the beginning. Note that there may be loss of work in the preemptive non-resume priority case. Such loss of work will not happen in the case of the other two priorities. Since the service times are assumed to be exponentially distributed, they will satisfy the memory-less property and that, therefore, the results will be the same both for the preemptive resume and preemptive non-resume cases.

Keywords-Pollazek-Khintchine formula; Priority service discipline; Non-Poisson queues

## 12. Paper 31101307: Applying Data Mining Techniques for Customer Relationship Management: A Survey (pp.76-82)

Ahmed M. El-Zehery, Hazem M. El-Bakry, Faculty of Computer Science & Information System, Mansoura University, Egypt

Mohamed S. El-Ksasy, Faculty of Engineering, Mansoura University, Egypt

Abstract — Data mining has various applications for customer relationship management. In this proposal, I am introducing a framework for identifying appropriate data mining techniques for various CRM activities. This Research attempts to integrate the data mining and CRM models and to propose a new model of Data mining for CRM. The new model specifies which types of data mining processes are suitable for which stages/processes of CRM. In order to develop an integrated model it is important to understand the existing Data mining and CRM models. Hence the article discusses some of the existing data mining and CRM models and finally proposes an integrated model of data mining for CRM.

#### 13. Paper 31101317: MAC Address as a Key for Data Encryption (pp. 83-87)

Dr. Mohammed Abbas Fadhil Al-Husainy

Department of Multimedia Systems, Faculty of Science and Information Technology, Al-Zaytoonah University of Jordan, Amman, Jordan

Abstract- In computer networking, the Media Access Control (MAC) address is a unique value associated with a network adapter. MAC addresses are also known as hardware addresses or physical addresses. TCP/IP and other mainstream networking architectures generally adopt the OSI model. MAC addresses function at the data link layer (layer 2 in the OSI model). They allow computers to uniquely identify themselves on a network at this relatively low level. In this paper, suggested data encryption technique is presented by using the MAC address as a key that is used to authenticate the receiver device like PC, mobile phone, laptop or any other devices that is connected to the network. This technique was tested on some data, visual and numerical measurements were used to check the strength and performance of the technique. The experiments showed that the suggested technique can be used easily to encrypt data that is transmitted through networks.

Keywords: Crossover, Mutation, Information Security, Random, Distortion

## 14. Paper 31101336: Identification of Diabetic Retinopathy using Fuzzy Logic and Back Propagation Neural Network (pp. 88-95)

C. Berin Jones, Research Scholar, Manonmaniam Sundaranar University, India-627012 Dr. S. Suresh Kumar, Principal, Vivekananda College of Technology for Woman, Tiruchencode, India-637205 Dr. Purushothaman S., Professor, PET Engineering College, Vallioor, India-627117

Abstract- Retinal exudates classification and identification of diabetic retinopathy to diagnose the eyes using fundus images requires automation. This research work proposes retinal exudates classification. Representative features are obtained from the fundus images using segmentation method. Fuzzy logic and back propagation algorithm are trained to identify the presence of exudates in fundus image. The presence of exudates is identified more clearly using Fuzzy logic and back propagation algorithm. By knowing the outputs of proposed algorithm during testing,

accurate diagnosis and prescription for treatment of the affected eyes can be done. Fifty fundus images are used for testing. The performance of proposed algorithm is 96% (48 images are classified). Simulation results show the effectiveness of proposed algorithm in retinopathy classification. Very large database can be created from the fundus images collected from the diabetic retinopathy patients that can be used for future work.

Keywords: Diabetic retinopathy; fundus image; exudates detection; Fuzzy logic; back propagation algorithm.

# An Integrated Public Key Infrastructure Model Based on Certificateless Cryptography

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Abstract—In this paper an integrated Certificateless Public Key Infrastructure (CLPKI) that focuses on key management issues is proposed. The proposed scheme provides two-factor private key authentication to protect the private key in case of device theft or compromise. The private key in the proposed scheme is not stored in the device, but rather it is calculated every time the user needs it. It depends also on a user's chosen password and then even if the device is stolen, the attacker cannot get the private key because he/she does not know the user's secret password. The proposed model provides many other key management features like private key recovery, private key portability and private key archiving.

#### I. INTRODUCTION

One main objective of the public key cryptography is to establish secure system that provides integrity, confidentiality, authentication and non-repudiation. Integrity and confidentiality are provided via symmetric crypto-systems such as the AES, and non-repudiation is provided through the digital signature. Any user within the system has public/private key pair, where the user's public key is used for symmetric key generation and signature verification. At this point, a key question is how the public key itself can be authenticated?, That is, who can insure that the particular public key belongs to the user that claims ownership. This problem is called the public key authentication and has remained a challenge for almost every secure system based on the public key cryptography technology.

The Public Key Infrastructure (PKI) is a complete system to solve the above mentioned problem. It provides public key authentication by binding the entity information like subject name, email address and its public key in standard formatted document called *digital certificate*. X.509 [1] is the one of the widely used digital certificate standard that is supported by the International Telecommunication Union. This digital certificate is issued according to a set of procedures and policies and then signed by a trusted certificate authority's (CA) private key. Each user within the system can use his/her certificate to provide confidentiality through encryption or authentication and non-repudiation through digital signature.

Within any PKI system, each certificate has a validity period after which it expires and consequently revoked. The PKI

provides a mechanism to check the validity of the certificate by different methods. The most popular methods are the certificate revocation list(CRL) and the online certificate status protocol(OCSP).

The X.509 specifies public key certificates, certificate revocation lists, attribute certificates, and a certification path validation algorithm. In the X.509 system, a certification authority(CA) issues a certificate binding a public key to a particular distinguished name in the X.500[2] tradition, or to an alternative name such as an e-mail address or a DNS-entry. An organization's trusted root certificates can be distributed to all employees so that they can use the company PKI system. Internet Browsers such as MS Internet Explorer, Firefox, Opera, Safari and Chrome come with a predetermined set of root certificates pre-installed, PKI certificates from larger vendors will work instantly, in effect the browsers' developers determine which CAs are trusted third parties for the browsers' users

Also, the X.509 includes standards for certificate revocation list (CRL) implementations, an often neglected aspect of PKI systems. The IETF-approved way of checking a certificate's validity is the Online Certificate Status Protocol (OCSP). There are many security protocols based on the PKI like Secure Socket Layer(SSL), IPSec, S/MIME, VPN, and SSH protocols.

Generally, the PKI suffers two problems, namely: scalability and certificate management[3]. The Identity-based Public Key Cryptography(ID-PKC) [4] came to address these two problems, but could not offer true non-repudiation due to the key escrow problem[3],[5].In ID-PKC, an entity's public key is derived directly from certain aspects of its identity, for example, an IP address belonging to a network host, or an email address associated with a user. Private keys are generated for entities by a trusted third party called a private key generator(PKG). The first fully practical and secure identity-based public key encryption scheme was presented in[6]. Since then, rapid development of ID-PKC has taken place. Currently, there exist Identity-based Key Exchange protocols (interactive[7] as well as non-interactive[8]), signature schemes [9], [10], [11], Hierarchical schemes[12] and a host of other primitives.

It has also been illustrated in [13], [14], [15] how ID-PKC can be used as a tool to enforce what might be termed "cryptographic work-flows", that is, sequences of operations (e.g. authentications) that need to be performed by an entity in order to achieve a certain goal[3]. ID-PKC also suffers from key escrow problem that the PKG knows all users's private keys in the system and furthermore can not offer true non-repudation.

In 2003 Al-Riyami and Paterson [3] introduced the concept of Certificateless Public Key Cryptography (CL-PKC) to overcome the key escrow limitation of the identity-based public key cryptography (ID-PKC). In CL-PKC a trusted third party called Key Generation Center (KGC) supplies a user with a partial private key. Then, the user combines the partial private key with a secret value (that is unknown to the KGC) to obtain his full private key. In this way the KGC does not know the user's private key. Then the user combines his secret value with the KGC's public parameters to compute his public key.

The certificateless cryptography is considered a combination between PKI and identity based cryptography [3]. It combines the best features of the PKI and ID-PKC, such as lack of certificates, no key escrow property, reasonable trust to trust authority and lightweight infrastructure[16]. It provides a solution to the non-repudiation problem, through enabling a user to generate his/her full long-term private key, where the trusted third party is unable to impersonate the user. The use of certificateless cryptography schemes have appeared in literature, this includes the uses of certificateless encryption[5], [17]; certificateless signatures [18], [19] and [20] and certificateless signcryption[21],[22] and [23].

Almost all the CLPKC schemes found in the literature focus on algorithms of public parameters generation, public/private key generation of system's parties, encryption and decryption processes, but leaves many key problems without clear solutions. Such problems like how the system parameters are published and where, what the authentication method that can be used between the users and the KGC server, what the users shall do if the KGC updates it's parameters and how they can be notified, what is the format of the elements of the CLPKC system, and so forth.

Also there are other challenges regarding trust models, such as to determining whether the traditional PKI trust models can be applied to CL-PKI, whether a PKI can be migrated to CLPKI, and whether an existing PKI-based system can be integrated with another CLPKI-based system.

In this paper, an integrated model of Certificateless Public Key Infrastructure(CLPKI) is proposed. It is assumed that there exists a Registration Authority(RA) which is responsible for user's registration in the system, and a Key Generation Center(KGC) that is used to generate the system parameters and master secret and publish the system parameters on the public directory(PD) and keep the master secret secure. The proposed model provides strong key management mechanism by separating the generation of public key from private key, this separation if it is controlled well provides private key protection from device theft or key compromise because the

private key is never stored in the user, but rather just the hashed value of the user's secret key along with the user's partial private key, this separation also provides private key recovery, private key archiving and private key portability, also the proposed model provides silent and transparent private key revocation in case of public key compromised or expired.

The rest of this paper is organized as follows. Section II gives backgrounds about elliptic curve and pairing. In Section III, we introduce the concept of certificateless public key cryptography. In Section IV, we introduce the proposed certificateless public key infrastructure model. Section V discusses security properties provided by the proposed model. Finally, Section VI concludes the paper.

#### II. BACKGROUNDS

In this section we give some backgrounds about pairing in elliptic curves, pairing-based cryptography, certificateless public key cryptography, password-based encryption, challengeresponse authentication method and certificateless key agreement protocols.

#### A. Pairings in Elliptic Curve

Throughout the paper,  $G_1$  denotes an additive group of prime order q and  $G_2$  a multiplicative group of the same order. We let P denote a generator of  $G_1$ . For us, a pairing is a map  $e: G1 \times G1 \longrightarrow G2$  with the following properties:

- 1) The map e is bilinear: given  $Q,W,Z\in G_1$ , we have:  $e(Q,W+Z)=e(Q,W)\cdot e(Q,Z)$  and  $e(Q+W,Z)=e(Q,Z)\cdot e(W,Z)$ . Consequently, for any  $a,b\in Z_q$ , we have  $e(aQ,bW)=e(Q,W)^{ab}=e(abQ,W)$  etc.
- 2) The map e is non-degenerate:  $e(P, P) \neq 1_{G_2}$ .
- 3) The map e is efficiently computable.

Typically, the map e will be derived from either the Weil or Tate pairing on an elliptic curve over a finite field. We refer to [24], [25], [6], [26], [27], [28], [29], [30] for a more comprehensive description of how these groups, pairings and other parameters should be selected in practice for efficiency and security. We also introduce here the computational problems that will form the basis of security for our CL-PKC schemes.

#### B. Bilinear Diffie-Hellman Problem(BDHP):

Let  $G_1,G_2,P$  and e be as above. The BDHP in  $G_1,G_2,e$  is as follows: Given P,aP,bP,cP with uniformly random choices of  $a,b,c\in Z_q^*$ , compute  $e(P,P)^{abc}\in G_2$ . An algorithm A has advantage  $\epsilon$  in solving the BDHP in  $G_1,G_2,e$  if:

 $\Pr[A(P,aP,bP,cP)=e(P,P)^{abc}]=\epsilon.$  Here the probability is measured over the random choices of  $a,b,c\in Z_q^*$  and the random bits of A.

#### C. BDH Parameter Generator:

As in [6], a randomized algorithm IG is a BDH parameter generator if IG:

- 1) takes security parameter  $k \ge 1$ ,
- 2) runs in polynomial time in k, and

3) outputs the description of groups  $G_1, G_2$  of prime order q and a pairing  $e: G1 \times G1 \longrightarrow G2$ .

Formally, the output of the algorithm  $IG(1^k)$  is  $(G_1, G_2, e)$ . There are other computational hardness assumptions related to pairings and are infeasible in polynomial time[6], [27].

- 1) Elliptic Curve Discrete Logarithm Problem: Given  $P,Q \in G_1$ , find an element  $a \in \mathbb{Z}_q^*$  such that Q = aP.
- 2) Computation Elliptic Curve Diffie-Hellman Problem: Given (P, aP, bP) in  $G_1$  where  $a, b \in \mathbb{Z}_q^*$ , compute abP.

#### III. CERTIFICATELESS PUBLIC KEY CRYPTOGRAPHY (CL-PKC)

In 2003 Al-Riyami and Paterson [3] introduced the concept of Certificateless Public Key Cryptography (CL-PKC) to overcome the key escrow limitation of the Identity-based Cryptography. In CL-PKC a trusted third party called Key Generation Center (KGC) supplies a user with partial private key, the user then combine the partial private key with a secret value (unknown to the KGC) to obtain his/her full private key. In this way the KGC does not know users private keys. Then the user combines the same secret value with the KGC's public parameters to compute his/her public key.

Compared to Identity-based Public Key Cryptography (ID-PKC), the trust assumptions made of the trusted third party in CL-PKC are much reduced. In ID-PKC, users must trust the private key generator (PKG) not to abuse its knowledge of private keys in performing passive attacks, while in CL-PKC, users need only trust the KGC not to actively propagate false public keys [3].

In CL-PKC users can generate more than one pair of key (private and public) for the same partial private key. To guarantee that KGC does not replace user's public keys Al-Riyami and Paterson[3] introduced a binding technique to bind a user's public key with his/her private key. In their binding scheme, the user first fixes his/her secret value and his/her public key and supplies the KGC his/her public key. Then the KGC redefine the identity of the user to be the user's identity concatenated with his/her public key. By this binding scheme the KGC replacement of a public key apparent, and equivalent to a CA forging a certificate in a traditional PKI.

#### A. Al-Riyami and Paterson Scheme

In this section we give a general description to Setup, Set-Secret-Value, Partial-Private-Key-Extract, Set-Private-Key and Set-Public-Key algorithms as introduced by Alriyami and Paterson [3].

Let k be a security parameter given to the Setup algorithm and  $\mathcal{IG}$  be a Bilinear Diffie-Hellman Problem (BDH) parameter generator with input k.

- 1) Setup (running by the KGC): this algorithm runs as
  - a) Run  $\mathcal{IG}$  on input k to generate output <  $G_1, G_2, e >$  where  $G_1$  and  $G_2$  are groups of some order q and  $e: G_1 \times G_1 \longrightarrow G_2$  is a pairing.
  - b) Choose an arbitrary generator  $P \in G_1$ .

- c) Select a master-key s uniformly at random from  $\mathbb{Z}_q^*$  and set  $P_0 = sP$ .
- d) Choose cryptographic hash functions

$$H_1: \{0,1\}^* \longrightarrow G_1^*$$

and

$$H_2:G_2\longrightarrow \{0,1\}^n$$

where n is the bit-length of plaintexts taken from some message space  $M = \{0,1\}^n$  with a corresponding ciphertext space  $C = G_1 \times \{0, 1\}^n$ .

Then, the KGC publishes the system parameters  $params = \langle G_1, G_2, e, n, P, P_0, H_1, H_2 \rangle$ , while the secret master-key s is saved secure by the KGC.

- Set-Secret-Value (running by the user): The inputs of this algorithm are params and entity m's identifier  $ID_m$ . It selects  $x_m \in \mathbb{Z}_q^*$  at random and output  $x_m$  as m's secret value. Then, the entity m computes  $X_m = x_m P$ and sends  $X_m$  to the KGC.
- 3) Partial-Private-Key-Extract (running by the KGC): The inputs of this algorithm are an identifier  $ID_m \in$  $\{0,1\}^*$  and  $X_m$ . The algorithm carries out the following steps to construct the partial private key for entity mwith identifier  $ID_m$ .

  - Compute  $Q_m = H_1(ID_m||X_m)$ . Output the partial private key  $D_m = sQ_m \in G_1^*$ .

Entity m when armed with it's partial private key  $D_m$ , it can verify the correctness of the partial private key  $D_m$  by checking  $e(D_m, P) = e(Q_m, P_0)$ .

- 4) Set-Private-Key (running by the user): The inputs of this algorithm are params,  $D_m$  (the partial private key of entity m) and  $x_m \in \mathbb{Z}_q^*$  (the secret value of entity m. It transforms the partial private key  $D_m$  to a private key  $S_m$  by computing  $S_m = x_m D_m = x_m s Q_m \in G_1^*$ .
- 5) Set-Public-Key (running by the user): The inputs of this algorithm are *params* and  $x_m \in \mathbb{Z}_q^*$  -which is the secrete value of entity m. It then constructs the public key of identity m as  $P_m = \langle X_m, Y_m \rangle$ , where  $X_m =$  $x_m P$  and  $Y_m = x_m P_0 = x_m s P$ .

The purpose of binding technique used in Al-Riyami and Paterson[3] scheme is to enforce users to have one public/private key pairs in the system, and if there are two working public keys of any user, then the other key was generated by the KGC and this is equivalent to CA certificate forgery in traditional PKI. There are some modified schemes appeared in the literature from the original Al-Ryami and Paterson schme[3], for example Mokhtarnameh et al[31] proposed little modification on original scheme by setting the user's public key  $P_A = x_A Q_A$  and used the new public key in his proposed two party key agreement protocol in the same paper, Yang et al[16] showed that the two party key agreement protocol that proposed by Mokhtarnameh et al[31] is attackable by the man-in-the-middle attack and also explained that the Mokhtarnameh et al[31] did not provide one-to-one correspondence between the user's identity and user' public key as they claimed, Mohammed et al [32] explained that Mokhtarnameh[31] and Yang et al[16] schemes suffer from key escrow problem by that the KGC can computer the user's private key  $S_A = sY_A$  because the public key components  $Y_A = x_A Q_A$ .

B. The Mohammed et. al's authenticated key agreement protocol

In [32], Mohammed et. al proposed a new modified certificateless public key cryptography scheme and binding technique and used their new scheme to create an authenticated two party key agreement protocol without interaction between parties, in this section we will mention the algorithms of Mohammed et al[32] scheme.

- 1) Setup (running by the KGC): the KGC chooses a secret parameter k to generate  $G_1, G_2, P, e$  where  $G_1$  and  $G_2$  are two groups of a prime order q, P is a generator of  $G_1$  and  $e: G_1 \times G_1 \longrightarrow G_2$  is a bilinear map. The KGC randomly generates the system's master key  $s \in \mathbb{Z}_q^*$  and computes the system public key  $P_{pub} = sP$ . Then the KGC chooses cryptographic hash functions  $H_1$  and  $H_2$ , where  $H_1: \{0,1\}^* \times G_1 \longrightarrow G_1$  and  $H_2: G_1 \times G_1 \times G_1 \times G_2 \longrightarrow \{0,1\}^n$ . Finally, the KGC publishes the system parameters  $params = \langle G_1, G_2, e, P, P_{pub}, H_1, H_2, n \rangle$ , while the secret master-key is saved and secured by the KGC.
- 2) Set-Secret-Value (running by the user): the user m with the identity  $ID_m$  downloads the system's public parameters from the KGC. Then, he/she picks a two random secret values  $x_m, x_m' \in \mathbb{Z}_q^*$ . Then, the user m computes  $X_m = x_m P$  and sends  $X_m$  to the KGC.
- 3) Partial-Private-Key-Extract (running by the KGC): on receiving  $X_m$  computed by user m with identity  $ID_m$ , the KGC first computes  $Q_m = H_1(ID_m||X_m)$ , then it generates the partial private key of user m as  $D_m = sQ_m$ . User m when armed with it's partial private key  $D_m$ , he/she can verify the correctness of the partial private key  $D_m$  by checking  $e(D_m, P) = e(Q_m, P_0)$ .
- 4) Set-Private-Key (running by the user): when user m receives  $D_m$  from the KGC, he/she computes his/her full private key  $S_m = x_m D_m$ .
- 5) Set-Public-Key (running by the user): the user m with identity  $ID_m$  computes  $Q_m = H_1(ID_m||X_m)$ ,  $Y_m = x_m x_m' Q_m$  and sets  $< X_m, Y_m >$  as his/her long-term public key  $P_m$ . Finally, user m sends  $Y_m$  to the KGC.

The purpose of the secret value  $x'_m$  is to prevent the key escrow problem that can be performed by the KGC.

In our modified scheme we make slight modification on Mohammed et al[32] scheme in terms of efficiency without changing the level of the security the scheme has.

#### IV. The Proposed Certificateless Public Key Infrastructure Model

The motivation of this paper is to propose a new CLPKI model, the proposed model is based on modified version of

Mohammed et al[32] scheme that was introduced previously in section 3.2.

#### A. The Modified Certificateless Cryptography Scheme

In this scheme Setup and Partial-Private-Key-Extract algorithms are the same as Mohammed et al[32] scheme.

- Set-Secret-Value (running by the user): the user m with the identity  $ID_m$  downloads the system parameters, picks a two random secret values  $x_m, x_m' \in \mathbb{Z}_q^*$  . Then, the user m computes  $X_m = x'_m P$  and sends  $X_m$  to the KGC. To provide two factor authentication and protecting the user's private key in case of device theft or compromise, the proposed scheme then enforce the user to choose a strong password pass, the system at client hashes the password to be  $z_m = H(pass)$ , multiplies the base point P by the hashed password to be  $z_m P(using special hash$ function to reserve the large size of the hashed value  $z_m$ to prevent brute-force attack on the point  $z_m P$  and by that get the user's hashed password), use the hashed value  $z_m$  as key along with the MAC function to encrypt the secret value  $x_m$  as  $MAC_{z_m}(x_m)$ , sends copy of it to the KGC's public directory and store copy of it along with the point  $z_m P$  locally. Note that here there is no need to store the password pass or it's hash value  $z_m$ .
- Set-Public-Key (running by the user): the user m with identity  $ID_m$  computes  $Q_m = H_1(ID_m||X_m), Y_m = x_m'Q_m$  and sets  $< X_m, Y_m >$  as his/her long-term public key  $P_m$ . Finally, user m sends  $Y_m$  to the KGC.
- Set-Private-Key (running by the user): every time the user needs to calculate and use his/her full private key, he/she enters his/her password, the system hashes it as  $z_m'$ , calculates  $z_m'P$  and comparing it with stored  $z_mP$ , if it is equals then the password is correct and the user is authentic, use it( $z_m$ ) as key to decrypt the stored  $MAC_{z_m}(x_m)$ , and after that use the extracted  $x_m$  to calculate the full private key by  $(x_m + z_m)D_m$ , otherwise the system aborts the process. We must note here that the private key is never stored on the client and it will be deleted after every usage.

Instead of multiplying both  $X_m$  and  $Y_m$  by  $x_m$  and  $x'_m$ , they should be multiplied by  $x'_m$  only. By this way, the number of field multiplications is decreased. The proposed scheme assumes that the user uses his/her password every time he/she needs to use his/her full private key, calculates the private key as previous and use it and after that delete it, the private key is never stored on the device storage, this separation of calculating the public and private keys if it is controlled well it will be very usefully feature in public key revocation when the private key is compromised or stolen, other features provided by this separation are private key recovery, private key portability and private key archiving. Furthermore, the proposed scheme provides two authentication factor, sine the authenticated user need to have the device that store the secret number  $x_m$  as first factor and after that authenticate himself/herself to the device by correct password, because the hashed value of the user's password is involved in private key

#### TABLE I

RECORD 1: CONTAINS THE KGC'S SYSTEM PUBLIC PARAMETERS WITH THE TIMESTAMP OF UPDATE

Timestamp | System Parameters

calculation, even if the attacker somehow get the user's device, he can't calculate the private key because he/she does not know the user's password.

We will use this modified scheme in our model to provide strong key management mechanisms based on certificateless cryptography.

Regarding our scheme, user A can check the authentication of KGC system parameters by validating the equality  $e(D_A,P)=e(sQ_A,P)=e(Q_A,sP)=e(Q_A,P_0)$  and user B can check the authentication of user A's public key  $P_A=(X_A,Y_A)$  also by validating the equality  $e(X_A,Q_A)=e(x_A'P,Q_A)=e(P,x_A'Q_A)=e(P,Y_A)$ . Validating the user's partial private key that downloaded from the KGC and public key by using pairing in certificateless cryptography is equivalent to verifying the CA's signature on the user's certificate in traditional PKI.

#### B. Components of the proposed CLPKI

In this section we describe the components of the proposed CLPKI and their functions as follows:

- 1) The Registration Authority(RA): It's function like in traditional PKI, the user may interact with this authority and fill in registration form, provides with personal information like names, address, national ID number and email address, after the RA authenticate the information of the user, it gives the user a unique random generated password for latter authentication purposes, in some cases the RA may give the user the system parameters which generated by the KGC server in a token or any electronic media.
- 2) The Key Generation Center(KGC): responsible for generating its master secret and the system parameters, keep it's master secret in a secure storage and publish the system parameters in a public directory. KGC also has database that holds the user identities with their password hashed by any strong cryptographic hash function like MD5 or SHA-1.
- 3) The KGC's Public Directory(PD): it is a public directory system that consists of the KGC's public parameters, users identities, users partial private keys, users public key and other user parameters. It should be well controlled, updated only by the KGC, read only and accessible just by authenticated users. The typical format of the public directory records are given in Table I and Table II.

Typically the RA has offline connection with KGC, and the password that given to the user by RA is originally generated and stored with user's Id by the KGC (the RA should just bypass it to the user without knowing it).

To register a user in the system, the registration process starts from the RA, where the user introduces his/her iden-

#### TABLE II

RECORD 2: CONTAINS THE USER'S IDENTITY INFORMATION ALONG WITH USER'S PUBLIC KEY AND PARTIAL PRIVATE KEY, TIMESTAMP INDICATE THE UPDATE TIME

Timestamp | UserID |  $Q_{ID}$  |  $X_{ID}$  |  $Y_{ID}$ 

tity proof together with the other needed information to the registration authority. The RA then gives the user a password and the system's parameters. another scenario is that the user downloads the KGC's system parameters from the public directory. Both the user and the server need to authenticate the identities of each other. Therefore, it is necessary to setup a robust authentication technique for both the user and the server.

#### C. Authentication at first time access

In this part, we introduce two techniques for the client to authenticate the server. The first technique is based on server response to a client challenge to authenticate the server, whereas the second technique is based on authentication of the server via a digital certificate. Bellow we detail each.

- 1) The challenge-response method:: The challenge-response method works as follows.
  - 1) The user hashes his password and uses his/her hash value as symmetric encryption key with any agreed symmetric cryptosystem with the KGC server like AES.
  - 2) The user generates a random nounce  $n_1$ , encrypts it using the symmetric key and sends the encrypted message to the KGC.
  - 3) On receiving the encrypted message from the user, the KGC decrypts the message using the stored user's hashed password as decryption key to extract the nounce  $n_1'$ . Then, the KGC concatenates the nounce  $n_1'$  with the URL of the download link url of the record in the public directory. Finally, it encrypts the message  $n_1'||url|$  using the same user's key and sends the encrypted message back to the user.
  - 4) The user decrypts the encrypted message to extract the nounce  $n'_1$  and the URL url. The user then verifies the validity of the nounce, if  $n'_1$  is equal to  $n_1$  then he/she uses the url link to download the public parameters from the public directory (not necessarily in secure channel).

If the KGC server needs to authenticate the user (in case of partial private key downloading), this mechanism can be extended to be:

- The user hashes his/her password and uses this hash value as symmetric encryption key with any agreed symmetric cryptosystem with the KGC server like AES.
- 2) The user generates a random nounce  $n_1$ , encrypts it using key and sends the encrypted message to the KGC.
- 3) The KGC receives the encrypted message from the user. The KGC decrypts the message using the stored user's hashed password as decryption key to extract the nounce  $n'_1$ . Then, the KGC generates another nounce  $n_2$ , concatenates the nounce  $n_2$  with the nounce  $n'_1$ . It

encrypts the message  $n'_1||n_2|$  using the same user's key and sends the encrypted message back to the user.

- 4) The user decrypts the encrypted message to extract the nounce  $n'_1$  and nounce  $n'_2$ . The user then verifies the validity of the nounce, if  $n'_1$  equals to  $n_1$ , he/she authenticates the KGC, the user encrypts the nounce  $n'_2$  and sends it back again to the KGC for user authentication.
- 5) The KGC decrypts the message  $n_2''$  and compares it with it's value  $n_2$ , if they are equal, the server trusts the user, and finally sends him/her the download link url of the requested information (partial private key as example) to user encrypted with the user's key.
- The user decrypts the URL and uses it to download the requested information from the public directory.

Note that by using this method, the attacker cannot carry out a replay attack, because every time the user generates a new nounce. Also, the attacker cannot carry out a password dictionary attack because the password is hashed and used as encryption/decryption key.

- 2) The certificate-based method:: The certificate-based method is another method to authenticate the KGC server for the users. It is a hybrid model that incorporates the traditional PKI and the model originally used by Chen et al in [13]. In this model the trusted Certificate authority (CA) generates and signs a digital certificate (typically X.509 format) for the KGC server that contains the KGC identity information (in Subject field) with it's public parameters(in the Public Key field), then the users authenticate the KGC by using this certificate as follows:
  - 1) The user sends a request to the KGC server.
  - 2) The KGC sends its certificate back to the user.
  - 3) The user checks the validity of the certificate, if it is valid then the user authenticates the KGC and extracts the public parameters from the certificate. Otherwise, the user rejects the certificate and abort the process.

We note that this hybrid model utilizes the PKI at the KGC levels and the CLPKI at users level, and this model can be extended to be used also in the hierarchal CLPKI model, in which the root KGC and each intermediate KGC can have a certificate. Hence, the user can authenticate the public parameters and the users in other domains using the chain path as in traditional hierarchal PKI.

#### D. Periodic update of passwords and system's parameters

The KGC must have a policy for the periodic updates of the users passwords to avoid any password learning attacks. One possible method is by determining a fixed period, when reached the KGC generates a new password for a particular user, encrypts it using the user's old password and sends it to the user, the user decrypts it to obtain the new one.

To enhance the security of the system, the KGC uses the Timestamp field in the public parameters record to indicate any update in its system parameters. When the KGC submits new parameters, it updates the Timestamp to the new one. The user must periodically check the Timestamp field in the public directory and compare it to the previously downloaded

one, if they do not match, the user downloads the new public parameters and updates his key pairs accordingly and publishes them to the public directory.

Another way to apply the policy of periodic system parameters update, the KGC can instead of submitting the Timestamp of the parameters generation, it can submit the lifetime of this parameters, therefore the application that installed on the user device must check the expiry date of the parameters. When those parameters expire, each user shall get access to the public directory and downloads the new system's parameters. Also, the user shall complete the procedure of changing it's key pairs as described above.

#### E. Generation of public-private key pairs

When the user completes his/her registration process and gets a copy of the KGC's system parameters, he/she can proceed to generate his/her public/private key pairs as follows:

1) First, the user runs the Set-Secret-Value algorithms to generate his/her two secret numbers  $x_{ID}, x_{ID}'$ . The worthy notice here is that there shall be a method to control the generation of the secret numbers  $x_{ID}'$ . This due to the fact that even if  $x_{ID}$  is same for many users, this will not result in collisions of the private keys of those users, for the private key of each user depends on his/her identity which is unique in the system. But in the case of  $x_{ID}'$ , in large-scale applications very large number of users, two users might use the same pseudo-random-generator (may be with different seeds) and generate the same secret number, leading to users's public key collusion which is not acceptable.

The collusion may appear because all users generate their secret numbers independently (i.e the generation algorithms are executed on the users devices not centrally on the server), and may be for some t users(t>=2) by accident have the same value of seed then it is strongly possible in that case to generate the same secret value, we have three proposed solutions to this problem. Below we discuss each.

- a) The KGC adds extra parameter to its public parameters, this parameter may be the pseudo-random-generator(PRG), so that the KGC divides the users domains to sub-domains and submit different PRG for each sub-domain, this can guarantee that each user generates unique secret value( $x_{ID}'$ ) even if he has same seed with other user(s).
  - Also this extra public parameter may be the seed itself and by dividing the users domain to subdomains this also can guarantee the uniqueness of the secret value.
- b) The KGC adds new field to the user's record in the public directory, this field holds the hash value of the user's secret value  $H(x_{ID}^\prime)$  generated by the users, when the new user generates his/her secret value, he/she must calculate its hash value and compare it to the hash values existing in the public directory, if any such match exists then he/she

generates new secret value until no matching exits, after he/she make sure that his/her secret value is unique, he/she must adds his/her hash value to his/her record of the directory, and by this way the directory in typical situation is growing up, so the numbers of the records in the directory be equal to the number of the users in the system, although this directory is public, but the hash values attacks like dictionary attack are infeasible because the secret numbers are very large.

- Making the generation of the user's seed depends on user's identity ID so that we can develop a special hash function  $H_{ID}(ID||S)$  that accept the user's identity with some secure random parameters S and return the seed that used latter to generate the user's secret value, we let this hash function generate the seed to allow the same user to use this seed to generate multiple secret values if the infrastructure policy support this scenario. This method is more efficient than the first two methods because it does not need any KGC intervention through system parameters neither search operation before confirmation of the secret value. The security of the secret value in this method depends totally on the way of choosing the secure random parameters S, therefore we need to control the parameters S and make it secure on each user, and also to protect this secret value we need at this time not to support any oracle to this hash function because this will trivially exposes the user's secret value to the attacker and impact the security of the whole protocol.
- 2) The user generates his/her public key  $X_{ID}, Y_{ID}$  and publishes them to the KGC server with his/her identity ID, note that  $Q_{ID} = H(ID||X_{ID})$  and this guarantees the one-to-one correspondence between the user's identity and his/her public/private key but not one-to-one correspondence between the user's public and private key.
- 3) The KGC accepts the user ID,  $Q_{ID}$ ,  $X_{ID}$ ,  $Y_{ID}$ , calculates the user's partial private key  $D_{ID}$  and publishes this record with Timestamp T into the public directory as  $(ID, Q_{ID}, X_{ID}, Y_{ID}, H(x'_{ID}), MAC(x_{ID}), T)$ .
- 4) The user authenticates the public directory to download his/her partial private key by one of three ways, either by using his/her password and challenge-response method described previously, or by checking the equality of the pairing operation  $e(D_{ID}, P) = e(Q_{ID}, P_0)$ , or by verifying the certificate of the KGC, also the KGC can authenticate the user by using the extended Challenge-Response method described previously.
- 5) The user's private key  $S_{ID}$  is never stored in the device and it is calculated every time the user needs it.

By adding the  $MAC(x_{ID})$  to the user's record in the public directory, our CLPKI model provides three important features,

Private-key Recovery, Private-key Portability and Private-key Archiving. This can be viewed as follows.

#### 1) Private-key Recovery:

Private-key recovery is provided in case of file system corruption or key theft. Sine the value( $MAC(x_{ID})$ ) exists in the directory, the user can decrypt this MAC value by his/her key to extract his/her secret values and use them to calculate his/her private key again.

#### 2) Private-key Portability:

Also this mechanism provide infrastructure portability because sine the user's secret value is stored in MAC publicly, then the user can calculate his/her private key from any where if he/she knows the MAC's secret key, we assume here that the MAC's secret key is derived from password chosen by the user.

#### 3) Private-key Archiving:

The KGC can make a backup of the user's record (we mean here by user record his/her public key along with the MAC of his/her secret value  $x_{ID}$ ) before any public/private key change request, therefore the KGC has multiple backups of user's past public/MAC values with beginning dates of the use durations. On the other hand, a user stores his/her passwords that the MAC keys depend on at different times in a secure media. Therefore, when the user needs to return to any encrypted message in the past (for example in case of secure endto-end mail system) he/she must retrieve the sets of keys used for the message encryption. He/She must first prove his/her identity to the KGC, and then requests the KGC to enable him/her to retrieve his/her public/MAC pair in the specific period of time, decrypts the MAC by the stored password and extracts the secret value  $x_{ID}$  and uses it to calculate his private key at that time and finally uses this private key for the message decryption. Also this backups records in the KGC allow the other users to download a particular user's public key in a particular time for signature verification purposes.

#### F. Public Key Revocation

One of the biggest challenges in the traditional PKI is pubic key revocation, key revocation problem includes periodic key renewal, key suspension and key revocation. By periodic key renewal we mean that the system policy should enforce key change every specific period of time say after one weak, one month and even one year depending on the application itself. The KGC must send a renewal request to each user, then the user generates new public/private key pairs and publishes them to the public directory.

The key suspension is temporarily key revocation that might happens when the user temporarily be out of the system. For example when the employee takes his/her annual vacation, his/her key must be revoked temporary(suspended) until he/she returns back to the work and the system shall restore his/her keys automatically without changing them.

The third issue is the permanent key revocation or simply key revocation. This might happen when the employee quits from the enterprise, and by then, the KGC must indicate his/her key revocation.

1) The notification system for public key revocation: In all of the above cases, the biggest problem that encounters the proposed system, is the notification method, i.e the mean by which the system can notify the other users that a pubic key of some user has been renewed, suspended or revoked. The traditional PKI has two known methods, Certificate Revocation List(CRL) and the Online Certificate Status Protocol(OCSP). In the first method the system publishes a list of revoked certificates called the CRL that contains the serial numbers of all the certificates that has been revoked. A user must download the CRL every time he/she needs to check a specific certificate. The CRL method is practical in some small-scale applications, but when the number of the users grows this method becomes impractical and inefficient, because of the overhead it puts at the user's side and also because the download requests of the CRL is not bandwidth efficient method. A third reason is that the system becomes vulnerable to Denial of Service(DoS) attack.

The other method uses the OCSP protocol which simply is a web service, the user in this case does not need to download the complete CRL to check the specific certificate. Instead, he/she only sends the serial number of the certificate that he/she needs to check to the OCSP server, then the OCSP sends the certificate status to the user, which is signed by the OCSP private key. The user verifies the signature of the OCSP and checks the OCSP response. The response of the OCSP is logical value, true if the certificate is revoked and false otherwise. This method is more efficient than the former one, but also vulnerable to DoS attacks. Moreover, the cost of the signature sign/verify must be considered when the system runs in a large-scale application. Some recent enhancement to testing the revocation status of the certificate, has been introduced through using the Micalli's NOVOMODO method[33] which replaced the signature by a hashing operation, and therefore, increases the efficiency of the OCSP protocol.

The public key revocation must include the following scenarios:

- 1) Applying the periodic renewal of the public key determined by the system's policy.
- 2) A user shall request the change his/her public key when its private key is stolen or compromised.
- 3) The system can suspend a particular user's pubic key for a while.
- 4) The shared problem in all previous scenarios is the notification mechanism, i.e how the system can notify the other users in the system about the status of the given user's public key in an efficient way.

The proposed model provides solutions to the key revocation and its notification problem, we can summarize these proposed solutions as follows:

1) We can add a new field to each user's record in the public directory called status field that indicates the status of the user's public key in a given time. The possible

- values of the status field can be (VALID, EXPIRED, SUSPENDED, REVOKED). The KGC is responsible of updating its users' status fields according to the current information, if the user's public key is expired, the system will automatically changes his/her status to EXPIRED, the user needs to send query about the specific public key every time he/she needs to use it, the KGC responses by the corresponding status word as explained.
- 2) The other proposed solution when we use the MAC of the secret value that stored in the public directory, then when a given user needs to change his/her private key(in case of device theft or public key compromised), basically he/she does not need to do any thing, because the proposed model provides automatic key recovery by its nature, this because the stolen device does not store the user's private key, just the MAC with the point  $z_m P$ , so the attacker to get the user's password( $z_m$ ) needs either to cryptoanalysis the MAC function or solve the Elliptic Curve Discrete Logarithm Problem which are assumed hard. The user also can make extra secure step to further protecting his/her private key and make the stolen device be useless by changing his/her password that latter converted to MAC key, calculating new MAC using the new key and publishes it into the public directory. Therefore, the private key that was stolen becomes useless, because in the next trial of using the old private key, the client system will fail to decrypt the MAC after downloading it from the public directory. This will drop out the risk of using the stolen private key without needing to change the published and distributed public key and also without the need to notify other users about this change procedure. Hence, the key revocation is done in fully transparency from the other users, note that this method required the client system to download the MAC from the public directory every time.

#### V. Security Analysis

In this section we mention the security services that our infrastructure support:

- Confidentiality: we use any symmetric key cryptosystem to provide confidentiality through message encryption/decryption, the session key that is used for encryption/decryption is agreed on previously between the two communicating parties before the session starts, the infrastructure allow the sender and receiver to authenticate each other using the pairing operation before the key agreement protocol starts.
- 2) Integrity: we use either hash function or MAC to authenticate the messages in each session, in case of using the MAC function, the two communicating parties run the key agreement protocol to generate another secret key other than the one that used for encryption/decryption.
- 3) **Authentication:** here we mean entity authentication, this is already provided in our model, sine the key agreement

- protocol authenticate the parties first then generate the secret key, so this insure that the only authenticated parties can compute the secret sharing key.
- 4) Non-Repudiation: by adding the signature on each message, the party can not deny that he/she was send the message and so the non-repudiation becomes exists, we can use any elliptic curve signature scheme like ECDSA.
- 5) Two-factor authentication since the private key depends on the user chosen password, then authentic user need to have the device that has the MAC of the secret key stored as first authentication factor, and also needs to enter the correct password to decrypt the MAC and calculate the full private key as the second factor.
- 6) Private Key Protection since the private key is never stored on the user's device, then the proposed scheme protect it in case of device theft, because the stolen device be useless in other hand than the authenticated user hand.

#### VI. CONCLUSIONS AND REMARKS

This paper discussed the weaknesses of the existing public key cryptography infrastructures, particularly the PKI and Identity-based Cryptography(IBC). It also addressed the procedural issues that are related to the Certificateless Cryptography. A new model for Cerificateless Public Key Infrastructure(CPKI) is proposed, where the public key and private key are independent. This means that the public key is generated from secret number other than the one that is used to calculate the private key, this separation adds many features to the CLPKI schemes, these feature are private key protection in case the device is stolen or compromised, transparent private key revocation, private key recovery, private key portability and private key archiving. The proposed model also provides two-factor authentication to access the private information and calculate the private key, the private key is never stored in the device and it is calculated every time the user needs it, the user needs a strong password to recover the private key and use it with other parameters to calculate the persession symmetric key or signing the message to provide nonrepudiation. The proposed model also addressed the public key revocation problem, if the private key is compromised, the user does not need to change his/her public key, he/she just needs to change his/her password and calculate and publish new MAC into the public directory, this will eliminates a lot of work regarding calculating new public key, publishing it and notifying the other users about his/her new public key. The MAC that is used in the proposed scheme provides private key portability, i.e the ability of user to calculate his/her private key any time and from any where, this will be achieved because the MAC of the user's secret value is stored into the public directory, every time the user needs to recover his/her private key, simply he/she downloads his/her MAC from the public directory, decrypts it to extract his/her secret number and use it to calculate his/her private key(assuming that he/she has the system public parameters).

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## An Attribute-Based Public Key Infrastructure

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Abstract—While X.509 Public Key Infrastructures (PKIs) and X.509 Attribute Certificates (ACs) enforce strong authentication and authorization procedures (respectively), they do not give the user management over his/her own attributes. This is especially important in regards to the users' personal information when a service provider requests more than necessary, sensitive information such as medical data, and the users need control over the attributes they are sharing. We present an Attribute-Based Public Key Infrastructure that addresses the management of users' attributes and giving more control to the users' concerns in identity and access management system and in documents signatures. Our user-centric scheme also simplify the confidence of the attributes validity and the verification procedures.

Index Terms—Attribute-Based, Public Key Infrastructure, Identity Management, Attributes, User-Centric.

#### I. INTRODUCTION

The increase of Service Providers (SPs) available over the Internet (e.g., social networks, e-mail, e-commerce, e-learnings, multimedia centers) and the facility to be accessed through smartphones and others mobile devices, demands close attention in the authentication and authorization procedures to the SPs and users. many Authentication and Authorization Infrastructures (AAIs) demand the users' registration, the storage and management of users' attributes in a database or directory. It is important that the attributes' management be trustworthy and the attributes can not be used for other purposes than what was determined by the owner.

The X.509 Public Key Certificate (PKC), provides asymmetric cryptography functions and the advantage in binding a key pair with the subject's specific information. The PKCs support a strong authentication method and digital signatures [1], [2]. The X.509 Attribute Certificate (AC) enables the use of digital certificates for access control and delegation functions [3]. The management of a certificate's life-cycle, provided by a X.509 Public Key Infrastructure (PKI) or a X.509 Privilege Management Infrastructure (PMI) for example [4], is criticized because of the amount of processes needed to verify the trust of a certificate and the management of the revocation procedures [5], [6]. Another drawback to PKI is the way that digital certificates are issued, which do not allow the owners to switch any personal information within the certificates. If any information needs to be changed, then a new certificate has to be requested and the previous one revoked. This procedure may be costly for the users and for the infrastructure.

The management of access control, roles and permission attributes from the user's digital certificates could be solved by the use of attribute certificates. While these do provide some benefits, ACs can only be used for authorization procedures. Attribute certificates can be used together with PKC to provide a stronger authentication and authorization mechanism. If the AC is linked to a public key certificate, the verification procedures' complexity will be increased. Different from other AAIs (e.g., Shibboleth [7], OpenID [8]), PKCs and ACs are simpler for the entities involved but costly for users. Here we consider a way to increase the attributes management capabilities from PKCs and ACs while also providing the same functions. This would improve identity management, access management and digital signatures.

a) Contribution: The aim of this paper is to propose an Attribute-Based Public Key Infrastructure for identity and access management (IAM) and documents signatures to improve the management and the disclosure of users' attributes. Our model simplifies the way that users disclose their attributes, the verification procedures necessary, and the validation of attributes and digital signatures by a trusted party. The idea is based on the use of asymmetric cryptographic functions and self-signed assertions by the user. The assertion, which contains attributes claimed by the user, is verified and certified by a Notarial Authority (NA). The NA certifies the assertion by contacting the responsible authority for the management of user's attributes life-cycle.

b) Outline: We start this paper by describing the difficulties related to X.509 PKI and PMI, and its usage as an authentication and authorization mechanism. In Sect. III we list related works. Next, we present our proposal, followed by definitions and illustrated examples (Sect. IV). More practical descriptions of our idea, including a description of the procedures that players in our scheme perform are also shown (Sect. IV-B). Afterwards, in Sect. V, we describe the analysis of the model through the comparison with the X.509 PKI and PMI model. Finally, we present our considerations and future works (Sect. VI).

#### II. PROBLEMS

Public key infrastructure emerged in order to manage the life-cycle of public key certificates [1]. PKCs can be applied to automated identification, authentication, digital signatures, access control and authorization functions in a digital environment. In contrast of the benefits provided by PKCs (the

asymmetric cryptography functions), a PKI architecture brings some disadvantages. One of the disadvantage is the verification procedure of a certificate which a certification path is needed to know which certificate authorities participated in the issuance of the end user certificate [5]. This may not always be performed easily and quickly, thus causing a problem in some environments and situations. Furthermore, it can also impact the revocation and verification procedures of a certificate.

To avoid the security of PKCs' functions, a revocation procedure needs to be fast, efficient and timely for large infrastructures, reducing the amount of data transmitted [5]. The knowledge of the certificate's revocation state needs to be published via certain techniques, e.g., Certificate Revocation List (CRL) [1] or On-line Certificate Status Protocol (OCSP) [9]. The certificate's validation is composed of verification processes, e.g., checking the certificate integrity, the validity period, the key usage (the applicability according to the PKI policies), getting the certification path and the revocation status of all certificates in the path. The device which is being used to verify a certificate requires a minimum of computational, storage and networking resources. For signature verification, the revocation status needs to be included into the signature. Sometimes, these procedures cannot be done off-line or in devices with limited resources.

Before the end of the certificate validity, if anything happens to the certificate (e.g., the loss of the private key, the key being stolen, a change in information) a revocation procedure is needed [10]. Since most attributes for access control, role, and permission do not have a long lifetime (i.e., more than a certificate valid period), it is not recommended to include these types of information into a PKC. Additionally, the use of PKCs for access control is not recommended because the certification authorities may not be the responsible for the management of those users' attributes. In this case, attribute certificates could be a solution, however two different infrastructures will be necessary to manage PKCs and ACs, increasing the costs, the human and computational resources, and the security issues.

PKCs have the advantage of being supported and implemented with other authentication and authorization mechanisms. However, PKI is difficult and expensive to implement. It requires a lot of effort for its management and maintenance, leaving doubts as to the cost-benefit as regards its functionality [11], [12]. Beyond the problems we have already stated above, we explore the following problems related to PKI as an identity and access management.

**Problem 1.** The management of the users' attributes to issue and maintain PKCs (or attribute certificates) is not optimal. The use of a PKC discloses some of the user's information that may not be necessary in that particular situation. The procedure to issue certificates as well as in identity and access management, the users' attributes must be managed and stored by trusted appropriated entities which are responsible for those attributes, avoiding copies and increasing the reliability. Additionally, the users must have more control in the disclosure of their attributes by claiming only the necessary ones.

**Problem 2.** The amount of procedures and the complexity required to implement a PKI and to maintain the PKC's trust (and increased with the use of the AC) make a PKI costly to the domain and to end-users. The functions provided by the use of PKCs (e.g., authentication, authorizations, digital signature) should maintains their strength, but reducing the complexity and the costs to have the same level of cost-benefits with others identity and access systems.

#### III. RELATED WORK

There are many proposals in literature to improve the conventional PKI. In this section we present the works in regard to the problems described in Sect. II.

Some works proposed alternatives to improve the certificate revocation mechanisms, like the CRL based alternatives which attempt to overcome the scalability problem [13], [14]. Other works provide revocation data that is smaller and easier to evaluate than CRL [15]–[17]. Some works aim to improve the existing revocation mechanism [18], while others propose a PKI without revocation checking [19]. Faced with various revocation mechanisms, both existing and proposed, some works aimed to analyze the cost of each mechanism [20]–[22].

Alternative PKI models and concepts were created to give a different architecture of a PKI. Moecke et al. [23] proposed a change to the form in which certificates are issued, by creating a Validation Authority to replace the responsibility of the certification authority and the Time Stamping Authority (TSA) function in digital signatures. To reduce the validation difficulties of a digital signature and the certificate chains, it uses selfsigned certificates. Another PKI scheme is the SPKI (Simple Public Key Infrastructure) [24]. It proposes and simplifies the PKI architecture and focus on authorization processes, binding one key with a user's authorization [25]. The SDSI (Simple Distributed Security Infrastructure) combines the SPKI design and the definition of groups to issue certificates to group membership [26]. Despite its simplicity, SPKI/SDSI is limited because there is no formal bondage of trust between entities involved and a member can make an inquiry on behalf of its group, for example.

Focusing on digital signature issues, Custódio et al. [27] proposed the issue of a special certificate (optimized certificate) to make certificate path verification and digital signing more efficient, replacing the signer's certificate and validity. It can also substitute the time-stamping service. Vigil et al. [28] extended on the work of Custódio et al. by using a new entity named "CryptoTime" to publish "Novomodo proofs" and presented the comparative costs to store and verify a signed document.

NBPKI (Notary Based PKI) focus on long-term signatures [29]. Based on the real world of handwritten signatures, notaries are responsible for certifying that a signer's certificate is trustworthy by verifying a particular signature at a specific time. The user issues their own PKC and it is validated and certified by a notary. This model simplifies the maintenance of

a document's signature and makes the process more intuitive, but does not focus on users' attributes management.

Attribute-Based Cryptography (ABC) is based on Identity-Based Cryptography [30], which allows users to decrypt a cipher-text by using their attributes and the policies associated with the message and the user [31], [32]. The users requests their private keys (like in IBC) based on attributes/policies. Anyone can create cipher-texts by incorporating attributes and policies. One negative point in ABC is the same in IBC, where it is necessary a trusted third party to issue the users' private keys and also there is the key escrow problem.

#### A. Identity and Access Management

Over the past few years many standards, paradigms, specifications, frameworks and softwares have been implemented to address the improvement of the AAIs [33]. Jøsang and Pope's work reports and concludes that the user-centric approaches for AAI improves the user experience and the security of on-line service provision as a whole [34]. The user-centric paradigm aims the user's control at the different aspects of his identity, i.e., his "partial identities".

Another common AAI paradigm is the federated one. Normally used for academic federations, (e.g., Shibboleth framework based on the SAML standard), is composed by an Identity Provider (IdP) and Services Providers (SPs), where the first is responsible for managing the users' attributes and the users' authentication for the SPs [35]. The SP authorizes users to access a resource according to the users attributes received by the IdP. All entities in the federation form a "circle of trust" and they must agree to the same policies.

In on-line systems, where IdPs create access tokens on demand (e.g., SAML, OpenID, WS-Federation) [35], [36], the impersonation of its users and the tracking of user's accesses on-line is a possible consequence. Systems with off-line token creation, such as X.509 PKCs and some WS-Trust profiles [37] force the user to reveal more attributes than needed (as otherwise the issuer's signature cannot be verified). In order to minimize these effects, it would be desirable to make a request to an IdP without the IdP knowing what SP the user is accessing, with the use of a signed assertion claiming the necessary attributes.

There are not many systems that support attribute certificates. One of them is the PERMIS project (Privilege and Role Management Infrastructure Standards Validation) and it is an access control management system that complements an authentication system [38]. This framework controls access by using attribute certificates to store users' roles. All access control decisions are driven by the authorization policy, which is included in the attribute certificate, thus guaranteeing its integrity. The integrity with PKCs is possible, but it will increase the complexity.

#### IV. ATTRIBUTE-BASED PUBLIC KEY INFRASTRUCTURE

Attribute-Based Public Key Infrastructure (ABPKI) aims to manage users' attributes in a way that gives the user more control over the disclosure of his attributes to services providers for authentication and authorization procedures. The model takes advantage of real world notarial responsibilities and services and helps users to be more aware of the service policies and what attributes are shared with services providers. ABPKI also supports the document signature' functions, enabling the appropriate user's attribute choice to be binded to the signature and validated by a notary. In this section, it is described the entities involved, the procedures' flows when a user requests his attributes verified to get a resource to the SP, and how ABPKI works in the document signature procedure.

#### A. Components

In this subsection, we define the concepts involved in our model. We define two main entities: Attribute Provider (AP) and Notarial Authority. ABPKI uses a Trust-service Status List (TSL) to manage trusted entities.

- 1) Attribute Provider: An Attribute Provider is an entity responsible for registering attributes for the user (e.g., name, surname, e-mail address, occupation, public key), storing the information in a trusted database system, and keeping attributes up to date. APs could be the entities already responsible for registering users' attributes for governmental, professional, or even business purposes. Each AP has an asymmetric cryptographic key pair to be used in the communication's flow, and managed into a Trust-service Status List.
- 2) Notarial Authority: A Notarial Authority is a point of trust responsible for receiving self-signed assertions from users and validating users' attributes. The NA communicates with the attribute provider and, if the AP confirms the correctness of the user's attributes, the NA co-signs the assertion. This procedure certifies the truthfulness of the user's attributes. To be defined as a trust authority, each NA has an asymmetric cryptographic key pair used to sign the assertions and to make the communication secure. The trust of the public keys tied to each NA and AP is managed by a Trust-service Status List. For document signature purposes, NA certifies the user's information binded to the document's signature.
- 3) Trust-service Status List: A Trust-service Status List provides an assessment structure which has an overseer role with respect to trust in the services and their providers. TSL makes trustworthy information about services and their providers available, along with a historical status and the associated public keys [39]. A TSL may be composed of a list of TSLs and it is managed, signed, and published into a trust public repository by a trusted entity of its domain.

#### B. How it works

For the user to take advantage of ABPKI, he must create an asymmetric cryptographic key pair and register his public key into each AP's database that already managed or will manage one or more of his attributes. The registration could be done personally or by a web service. If the AP already has an authentication mechanism installed, then the registration of the user's public key is done after the user authentication. Then, the user authentication mechanism is migrated to the use of

asymmetric key pairs. Otherwise, the most secure way is for the registration to be done personally.

The creation of a key pair can be done by an software, e.g., a local one (desktop), a web-service provided by the AP which the private key must be generated at the user side. The keys are placed into a secure device (e.g., smartcard or USB token), which the public key can be easily extracted and the private one can only be used with a secret (e.g., password, PIN). The key pair is not associated with any digital certificate, consequently the keys can exist for much longer. However, their validity is equally associated with the cryptographic algorithm, i.e., when the algorithm is not considered secure, the keys created will no longer be useful either. If something happens to the user's private key during the time of validity, a procedure to change the association with the user's public key and his attributes must be executed.

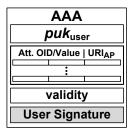
This procedure requires that the user purchases a code (a sequence of characters) from the AP (e.g., by e-mail, paper, device) at the moment he registers his public key. This code is a One Time Password (OTP) [40], and it is used only once. With this code, the user accesses a web service to change the association of his new public key. This process will require a challenge-response mechanism to confirm the identity of the user, like a confirmation question about an attribute value known by that AP. We envisage that such an OTP code can be initially printed on a paper document (like into a paper certification) and handed over to the user.

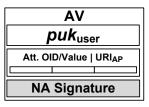
#### C. Getting Attributes Certified

Let us suppose that a user wants to request a resource from an SP. First, the user has to create a data structure containing his attributes claimed by himself. We called this data structure an Attribute Authentication Assertion (AAA) and it is illustrated in Fig. 1a. An AAA contains: the user's public key; one or more tuples of attributes, which a tuple is composed by an Object Identifier (OID) of the attribute, the attribute's value and a reference (e.g., URI) of the responsible AP for that attribute; and an AAA's validity (set by the AAA's owner). The user's public key is an identification attribute that is associated with other attributes in the AP's database. If the user manages two or more different key pair registered in different set of AP, the AAA must contain the correct user's public key already registered to the corrected AP. The *validity* field is set by the owner (the user) and its default value is a week. The structure is signed by the user.

There are two modes in which a user can utilize an AAA: by sending it directly to an SP without being certified by an NA, or the user gets the AAA certified first and then sends it to the SP. Depending on the service's policies, it may consider that the user is trustworthy and has claimed the valid attributes values. However, the SP might want to check the veracity of the AAA and the user's attributes by sending it to an NA.

To get an AAA certified by an NA, the AAA has to be sent to an NA, represented by 1 in Fig. 2. The NA verifies the user's AAA signature using the user's public key and verifies the validity. If the signature is correct and the expiration date has





(a) Attribute Authentication Assertion.

(b) Attribute Validation.

Fig. 1. Data structures used in the workflow model.

not been exceeded, then the NA proceeds the user's attributes verification by creating a data structure, called an Attribute Validation (AV). The AV's structure is shown in Fig. 1b. An AV is composed of the user's public key, the attributes' tuples (containing the OID, attribute' value and the AP's reference). The NA signs the AV with its private key. The AV is sent to the AP that is referenced in the tuple of attribute. This procedure is shown by 2 in Fig. 2. For each tuple with a different AP's reference, a new AV is created by the NA to be sent to the respective AP.

As soon as the AP receives the attribute validation request, it verifies the NA signature and the truthfulness of the binding of the user's public key with the set of attributes' values registered in its records. If all the attributes' values are correct, then the AP signs the AV and returns only the signature to the NA (step 3). The NA verifies the AP's signature of each AV (if many) and if all correct, the NA co-signs the AAA and returns it to the sender (could be a user or an SP) to be used for authentication or authorization processes (step 4). The AAA co-signed by the NA means that the NA has verified, along with the responsible AP, the veracity of the attributes. If it is an SP which requested the AAA validation, consequently, the AAA's signature done by the NA is also returned to the user by the SP. The user gets the NA's signature of the AAA and concatenates with his AAA to be reused in another moment.

As previously stated, an NA is a trust entity for society. However, the same does not necessarily occur with an AP, i.e., there could exist an AP that was not delegated by the government and is not obligatorily trusted by all NA. Depending on the policy of an NA, the NA might not accept the validity of an attribute managed by a certain AP. In these cases, another procedure could be done if there is an NA (at least one) that trusts in the respective AP. When an NA receives an AAA (step 1) that contains an untrusted AP reference (for this NA), this NA should look for which NA trusts in that AP and sends the user's AAA to that NA (the NA<sup>2</sup> in step 5). To prevent a possible loop in this process, every NA manages and publishes its own TSL which contains the APs they trust. If there is no NA that trusts in that specific AP, the attributes claimed by the user can not be verified. The NA<sup>2</sup> communicates to the respective AP (the  $AP^2$  in step 6) and if the user's attributes were correct (step 7), the NA<sup>2</sup> signs the AV and sends the signature to the NA (step 8). As a result, the NA sends the

NA2's signature to the user (step 9).

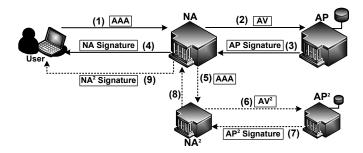


Fig. 2. Workflow to certify an attribute set.

Each NA manages the trustworthiness with the APs, according to their policies. The AP's information and its public key must be included into the NA's TSL if it is trustworthy or was once trustworthy. If the AP was once considered trustworthy by an NA but is no longer trusted, the TSL must indicate that the AP is no longer trustworthy and since when. Every NA has its own TSL. A TSL can be composed of a list of TSLs, then every NA might have the TSL from other NAs and know which APs everyone trusts. We consider that the domain's TSL is managed by the point of trust of the domain (e.g., an entity delegated by the government of a county). All TSL should be signed by the its manager.

#### D. Verifying an AAA

The user can send to a service provider an AAA just self-signed or already certified by an NA. If the SP received an AAA self-signed by the user, it verifies the AAA user's signature and the validity, and the SP can take an action based on the user's attributes. If the SP wants to get the AAA verified by a notarial authority, the SP should send it to an NA (cf. Sect. IV-C). The other method is having received an AAA already verified by an NA from the user, which the SP verifies the NA's signature included in the user's AAA. The "validity" field is also verified by the SP, but it's policy could require a fresh AAA. To verify if the user who sent the AAA is the same that created the AAA structure, the SP executes a challenge-response mechanism with the user in both methods, using a public key challenge-response protocol. All communication is made using a trust channel, like SSL/TLS.

#### E. Signing and Verifying Documents

The ABPKI can be used to validate and certify document signatures with less verification processes than PKI. To certify a document signature, the user signs a document using his private key. Then he creates an AAA, where he includes the document's signature and some other attributes from himself, e.g., that he is a lawyer or something that identify him as a lawyer. The NA verifies the user's attributes with the respective AP and signs the AAA if they are valid. The NA's AAA signature (i.e., the AAA co-signature) confirms that the signer's public key and the attributes were trustworthy and associated with the document's signature when the AAA was

co-signed. Thereafter, the user attaches the AAA certified by the NA with the related document.

The verification of the document's signature can be done in different steps. First, the mathematical verification of the document's signature is done by the public key included in the AAA. After, the semantic correctness is guaranteed if the signer's public key and his attributes were trustworthy at the moment that the NA co-signed the AAA. The verifier (who receives the AAA from the owner and the correlated signed document) gets the corresponding NA's public key (in the TSL) and verifies the AAA's co-signature. If the cryptographic algorithm used is still valid, then the signer's attributes, the signer's public key, the document's signature are still valid and certified by that NA. The verifier can calculate the document's digest and compare it to the digest in the document signature's values in the AAA as an attribute. If all verifications for mathematical and semantic correctness succeed, then the verifier infers that the document was correctly signed with the trustworthy attributes and they existed at the time the NA co-signed the AAA.

#### F. Use Cases

The ABPKI aims to maintain the same features than PKCs, but with much more facilities for the user. This means that when a user wants to prove personal information to a digital service, or in a physical place, ABPKI can facilitate the requirements for authentication and authorization necessities. The user maintains control over his own information, and decisions about when, where and who can receive the set of attributes. Take, for example, in a health care environment, a person that has a disease (e.g., human immunodeficiency virus, cancer) and he needs a treatment medication, but does not want to be identified by his name or to inform which disease he has. This person is allowed to get treatment (e.g., in a drugstore, medical center), but he needs to prove some attributes (e.g., the medication's name, the dose, the doctor's identifier who prescribed). The person creates an AAA claiming these attributes, sends to the medical center responsible sector, and the medical center requests to an NA the verification of the AAA. The NA communicates with the related AP (e.g., the hospital that the user was diagnosed). If the information was correct, an NA's signature of the user's AAA is received and the medical center can authorize the person to receive the treatment. The person could present an AAA already validated by an NA and the verification processes could be shortened and faster.

Another use case could involve a Driver and Vehicle Licensing Authority (DVLA) acting as an AP and the user wanting to contract a insurance service for his vehicle. The user could claim to the insurance company any information about his vehicle and the DVLA validates them and he could claim some personal name to be verified by a government responsible AP. The user signs the contract and the insurance company verifies it like described in Sect. IV-D. This company could also give to its users some kind of benefits (e.g., a charge discount) for those who claim that do not received any penalty during last years for example. The DVLA should confirm to an NA

the user's claimed information and be validated to be used by the company. In this last case, the user does not need to be registered or even show his name or other unnecessary attributes.

With the use of asymmetric keys, the user can access SPs through a public key challenge-response protocol. An AAA loads the user's public key and his necessary attributes to allow access to a resource. The ABPKI aims to be used in simpler environments, where an X.509 PKI does not fit. The user can manage his AAAs through smartphones or other mobile devices, in order to be able to apply for access anytime and anywhere.

#### V. ANALYSIS

In this section we present the evaluation of ABPKI. For this, trust assumptions from X.509 PKI and identity management systems are described.

An NA is a trusted party in ABPKI and all NAs are delegated by the government. We assume that the private key of the NA cannot be compromised. If something happens to the NA's private key, it must be reported to the domain's TSL and a new key pair must be created. We assume that the publication of an updated TSL is done as soon as the problem was reported and resolved. The history of each authority must be described in the TSL's records. It is also assumed that the private key of the AP is held securely. If something happens to the AP's private key, then it must be reported to all NAs and a new key pair must be created and registered. The trust of an AP is managed by the NA. It may happen that an NA does not agree with the AP's policies (e.g., the manner that the users' attributes are updated). On the other hand, other NAs could agree and accept to validate the attributes managed by that AP. It is important that one NA trusts at least one AP. If no AP is trusted by an NA, then that AP is considered untrusted by the ABPKI scheme.

In X.509 PKI, it is costly for the end-user to obtain a PKC. Moreover, the information included in users' certificates may not always be necessary or have the same validity period. An X.509 attribute certificate can not resolve these problems because it will be necessary to be associated with the user's PKC to provide a strong authentication. On the other hand, in ABPKI the control is left for the user to decide which attributes are disclosed in each environment and situation. The user will not have a cost for acquiring a certificate, neither a key pair. Otherwise, the NAs can earn something by charging who ever requests the verification of an AAA. With this intention, those who use the ABPKI functions more must spent more, which differs in a PKI model.

We do not set a specific format type for the AAA, but it could be in XML. The SPs should specify a template informing to users which attributes are necessary to access the resources. The user could manage many AAAs validated by an NA, and with a different public key registered in each different AP. Despite the advantage of increasing of the user's privacy in association with public key and attributes, complexity for the user is increased. If a user maintains more than one AAA

co-signed by an NA and different key pairs, we assume that he could use software to manage the files. This software could be installed onto a desktop, mobile device, or in the cloud to facilitate usability.

The trustworthiness of the user's information is simplified by the verification of the NA's signature in the AAA. If it is necessary to authenticate the sender, the public key included is used to perform a challenge-response protocol. There is no certificate revocation mechanism in ABPKI, because there is no certificate. The AAA's validity is set by the user and could not be more than one year, however the SP can decide if it wants to receive a newer AAA. The user's key revocation is done by using an OTP code and registering a new public key in the AP's records. This is realized in each AP in which the user has an attribute. As a result, all PKI problems described in Sect. II do not occur in ABPKI.

Compared with other AAIs, like the federated ones that there must be a "circle of trust" between all IdP and SPs, and they must keep the same policies agreement. In the ABPKI, the point of trust is set by the NAs and how trustful APs manage users' attributes. The SPs inform users which attributes are necessary and the users decide and claim which attributes' values they want to disclose. In some federation systems, the IdP just inform the user which attributes the SPs are requesting, letting the user accepts the attributes transference to get the resource or do not accept and not be allowed to get the resource. The IdPs do not allow the user in selecting which one he wants to disclose or not. Another issue in the federated identity infrastructures is if the user belongs to many different IdPs, he has to maintain more than one different authentication information. Additionally, if the user's IdPs manage the same set of user's attributes, the user's attributes types may not have different values.

In relation to document signatures in X.509 PKI, there are limitations in terms of the management of the signer's attributes in the signature. The signature information is the same as what is in the signer's public key certificate. The verification procedure is also complex, depending of the validity of the signer's PKC. On the other hand, in ABPKI the signer includes the necessary attributes for each document signature. The verification of the document signature is reduced in ABPKI through the validation by a NA. The signatures remain valid until the validity of the cryptographic algorithm used becomes obsolete and, after that, only another co-signature from a notarial authority is required to maintain the validity.

#### VI. CONSIDERATIONS AND FUTURE WORK

We proposed an alternative public key infrastructure aimed at the management of users' attributes. Our proposed model keeps the essence of the traditional X.509 PKI and PMI, improving the usage in identity management, access management and document signatures. Instead of digital certificates and all the verification processes needed (e.g., certificates in the certification path, revocation lists), no public key certificate is used in our approach. The notarial authority signature maintains the trustworthiness of the user's claimed attributes, simplifying the

verification processes and keeping the infrastructure lighter. The simplicity provided in ABPKI makes use possible in many environments where X.509 PKI would not be possible.

Based on the real world, the notaries' responsibilities are used as a trusted third party to prove users' attributes. We can compare an NA to a Root CA (in X.509 PKI) or an Attribute Authority (in X.509 PMI), whose private key is used to sign end user's certificates. Through this, the NAs makes the ABPKI a distributive scheme, which the scalability could be increased.

An attribute provider is comparable to an identity provider. Upon the request of an attribute's validation to an AP, the users' attributes tend to be updated and trustworthy to be validated. No attribute needs to be copied to others APs, thus avoiding the problem of replication and incompatibility of user's information values that some identity providers may suffer. The user-centric paradigm increases the users' control and knowledge about which attributes are important and necessary for each time an authentication or authorization procedure is realized.

Devices and situations where there are not sufficient requisites to apply the X.509 PKI functions could work with ABPKI. For future work, we suggest a calculation of how much simpler ABPKI is to focus in ubiquitous computing and environments. Another objective is to improve the anonymity of the model, where the public key would not be traceable, making the linkage of many AAAs difficult. A solution for this could be the use of Anonymous Credentials, which permit the owner of the AAA to prove the affirmation of the attributes' values without revealing any additional information about the user [41].

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# Map Visualization of Shortest Path Searching of Government Agency Location Using Ant Colony Algorithm

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Abstract— The case of the shortest path searching is an issue to get the destination with the efficient time and the shortest path. Therefore, some shortest path searching system has been developed as a tool to get the destination without spent a lot of time. This paper implements the visualization of searching result for shortest path of the government agency location on the map using ant colony algorithm. Ant colony algorithm is an algorithm which has a probabilistic technique that is affected by ant pheromone. The shortest path searching considers some factors such as traffic jam, road direction, departures time and vehicle type. The testing is done to obtain the ant tracking intensity controlling constant (a) for calculation probability of route that is selected by ant and visibility controlling constant (B), therefore the optimal route would be obtained. The testing result shows that the worst accuracy value was reach when  $\alpha = 0$  and  $\beta = 0$ . On the other hand, the accuracy value close to 100% on some combination of the parameter such as  $(\alpha = 0, \beta = 1)$ ,  $(\alpha = 2, \beta = 1)$  $\beta = 1$ ), ( $\alpha = 0$ ,  $\beta = 2$ ), ( $\alpha = 1$ ,  $\beta = 2$ ) to ( $\alpha = 2$ ,  $\beta = 5$ ). It shows that the accuracy value is close to the best result. The change of parameter  $\alpha$  and  $\beta$  are the main priority on the shortest path searching because the values have been produced will be used as probability value of pheromone.

Keywords - shortest path; map visualization; Ant Colony algorithm; government agency location

#### I. INTRODUCTION

The development of means of transport volume results traffic in more compact, especially at certain hours. Congestion that occurs is influents in day-to-day activities of the community to reach a location with time. Therefore, to facilitate community activity then developed a shortest path search system so it will not drain a lot of time. One of the places that are frequently visited by community is the location of government agencies such as the governor's office, immigration office, tax office, liaison office and others.

To obtain the optimal path, much the shortest path algorithm developed. One of the algorithms that are often used is the Ant Colony. Based on the analysis was conducted by Nan Liu et al showed that the algorithm is quite stable against changes in the value of the parameter [1]. Ant Colony Algorithm is adopted from the behavior of an ant colony, known as the ant system to find the path of colony toward food source [2]. The path can be found because of the marking pheromone by other ants. When the paths found have the same distance, then the first route found will be chosen [3]. The location search using Ant Colony is influenced by the probability of ant pheromones in choosing the desired location. The higher the level of probability of ants choose the higher path probability of ants will move to that location [4].

Optimal path information has been generated is often stated in the order of street names that must be followed. This will make difficulty for residents or newcomers who do not know the location of the roads. For this purposes, it is necessary to visualize this result on a map. Based on the description, this study develops an application seeking the shortest route toward government agencies location using Ant Colony algorithm and visualize the route on the map. The shortest route search considers departure hour, traffic density, vehicles type and road direction.

#### II. DATA AND METHOD

#### A. Data

The data used in this study is a digital map city of Banda Aceh municipality in PNG (Portable Network Graphics) format and contain attribute data such as location government agencies and road data. The government agencies data consist of agency\_name and agency\_id attributes, while road data consists of some attributes such as street\_id, street names, road\_distance, road\_width, road\_direction and traffic density of each way per hour. This attributes are stored in XML format (eXtensible Markup Language). The data was fit manually road capacity made by the Directorate General Bina Marga [5].

#### B. General Flow of Application

In this application there are several stages namely initialization process of map data obtained from the XML format. After that calculate shortest path is done using Ant Colony algorithm. Input of the algorithm is the starting point, the point of destination, departure time and vehicle type. Then proceed with the showing of resulting route on the map. General flow diagram of application is shown in Figure 1.

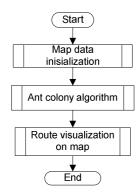


Figure 1 General flow of application

#### C. Ant Colony Algorithm

Ant Colony Algorithm is a probabilistic computing technique that can be used to find the best path. In principle, this algorithm mimics ant colony in finding the shortest traces from the nest toward food sources. There are some steps of ant colony algorithm that are detailed discussed at subsection below. The steps and defining parameters are adopted from [2] [3] [6].

#### D. Parameter Initialization of Ant Colony Algorithm

The parameters needed to determine the shortest path is:

- Ant trail intensity between the point and the changes
   (Tij). This parameter is important in the selection of path
   will be traversed by ants.
- 2. Departure point and destination point
- The value of ant intensity footprints (feromon) difference
   (Q)
- 4. Constant of ant trail intensity controller  $(\alpha)$ , with  $\alpha \geq 0$ . This parameter is used to calculate the probability of the route will be passed by ants.
- 5. Constant of visibility controller ( $\beta$ ), where  $\beta \ge 0$ .
- 6. Visibility between points =  $1/d_{ij}$ .
- 7. The number of ants (m), stating the number of ants on the resulting route.
- 8. Constant of ant trail evaporation ( $\rho$ ), is the intensity of the next ant trail. The value of  $\rho$  should be > 0 and < 1 to prevent an infinite trail of ants.
- 9. The maximum number of cycles (NCmax), a fixed parameter when the program is running.

#### E. Filling Point to Tabu List

Tabu list contains all the points that have been visited on each trip. Filling point to tabu list is done from the initialization of point, so the first point of the initialization will be populated with the certain index point. Tabu List (k) can contain a number of point index beetwen k to n initialization point.

#### F. Trip Route Selection

The selection of ant trip route to any point is by placing ant colony at every point. Then the colony move to points that are not available at Tabu (k) for the purpose of further points. Ant colonies perform continuous visiting at every point. If the original point is expressed as tabu(s) and the other points mentioned in {N-taboo}, then the probability of a point can be calculated by (1).

$$P_{ij}^{k} = \frac{\left[\tau_{ij}\right]^{\alpha} \cdot \left[\eta_{ij}\right]^{\beta}}{\sum_{k \in \{N-tabu_{k}\}^{[\tau_{ik}}]^{\alpha}, [\eta_{ik}]^{\beta}}}$$
(1)

for 
$$j \in \{N - tabu_k\}$$

Where  $P_{ij}$  is probability of point i to point j, i is i<sup>th</sup> point; j is j<sup>th</sup> point,  $\tau_{ij}$  is pheromone of point i to point j,  $\beta$  is constant of visibility controller,  $\alpha$  is ant trail intensity controller,  $\eta_{ij}$  is visibility from point i to point j and k is number of possible paths traversed.

## G. Calculation of Path Distance and Ant Footprints Intensity Price Change Between Points.

The calculation of path distance is done when the ants has done one cycle (iteration) and visit every point that does not form a close cycle. This calculation is based on the value of tabu (k) and using (2).

$$L_{k} = d_{tabu_{k}(n),tabu_{k}(1)} + \sum_{s=1}^{n-1} d_{tabu_{k}(s),tabu_{k}(s+1)}$$
 (2)

The calculation of ant footprints intensity changing can be done using (3).

$$\Delta \tau_{ij}^{k} = \frac{Q}{L_{k}}, (i, j) \in source \ and \ destination \ node \ on \ tabu_{k}$$

$$\Delta \tau_{ij}^{k} = 0 \ for \ the \ other \ (i, j) \tag{3}$$

Where  $\Delta \tau_{ij}^k$  is ant footprints intensity changing between points, k is the number of ant, Q is ant cyclic constant, and  $L_k$  is sum of all distances to be passed by the ants.

Ant footprints calculation between points with subsequent cycles has certain changes due to evaporation and the difference in the number of ants crossing the path. Therefore, it needs to calculate the price of footprints intensity on subsequent cycles using (4).

$$\tau_{ii} = \rho . \tau_{ii} + \Delta \tau_{ii} \tag{4}$$

Where  $\Delta \tau_{ij}$  is the changing of ant footprints intensity price between points, and P is a constant of ant footprints evaporation.

#### H. Discharging of Tabu List

The tabu list needs to be emptied before entering the next cycle. If the number of cycles / iteration (NCmax) and the convergence has not yet been reached, this step is repeated again until the process is stopped and reaches maximum iteration. This process uses the pheromone intensity between points that have been improved as the parameters.

#### I. The Accuracy of Ant Colony Algorithm

Base on [3] is obtained values of parameters to achieve the optimal solution are  $\alpha$ =1,  $\beta$ =1 to 5 and  $\rho$  = 0.5.

In this study, the values of the parameter to be tested are  $\alpha$ ,  $\beta$ ,  $\rho$  and Q. Referring to the previous study [3] determined the value of the parameters are:  $\alpha \in \{0, 1, 2, 5\}$ ,  $\beta \in \{0, 1, 2, 5\}$ ,  $\rho \in \{0.3, 0.5, 0.7, 0.99\}$  and  $Q \in \{5, 10, 20\}$ .

The accuracy of Ant Colony algorithm can be calculated by using [5].

$$Accuracy = \frac{best}{best + worst} \tag{5}$$

Where *best* is the number of experiments close to the best solution and *worst* is the number of experiments close to the worst solution.

#### J. Completion Shortest Part Base on Travel Time

Solving the shortest route is a problem to obtain a route with the shortest weight or have a fastest journey time. To achieve the destination with the fastest time is influenced by several constraints such as traffic density.

The process to obtain journey time of the shortest path need distance between points (s). Here, S is the distance between points on each route of  $s_1, s_2, s_3...s_k$ . To calculate the total cost field by using (6).

Total cos t field = 
$$(D_1 + D_2 + .... + D_n = \sum_{k=1}^{n} D$$
 (6)

Where D is the selected route and N is the number of distances between points/segments.

Once the distance is calculated, then the travel time taken by the route that considers vehicle speed is also calculated. Vehicle speed is denoted by  $V_{average}$ , i.e. the average of vehicle speed (km/h). To calculate the fastest travel time (hours) can be used (7).

Fastest 
$$\cos t$$
 field  $(CF) = t = \frac{dis \tan ce}{speed}$  (7)

While the total cost field can be calculated by using (8).

Total cos t field = 
$$(E_1 + E_2 + .... + E_n = \sum_{k=1}^{n} E$$
 (8)

Where E is selected route at the fastest analysis

#### III. RESULT AND DISCUSSION

The interface of application is shown in Figure 1. Part A is used to select the point of origin and point of destination, vehicle used and the time of departure. In the B section shows the area where to fill in the values of the parameters used in the calculation. In section C there is a button to do the search process of shortest path. In section D are shown the investigation results of route to be traversed by the shortest distance and time required. On the E displayed the best path search results on the map.



Figure 1. The user interface of the application

In this research, testing is done to determine the effect of  $\alpha$  and  $\beta$  values to find the distance changes and to find out the accuracy of Ant Colony algorithm.

The first test is done in a combination of  $\alpha$  and  $\beta$  values to get the best value combination. Testing performed at the Q=1,  $\rho=0.1$ , cycle = 10 with a combination of parameter values  $\alpha \in \{0,1,2,5\}$ ,  $\beta \in \{0,1,2,5\}$ . In this study, each test with different combination  $\alpha$  and  $\beta$  were done five times. The example of tested route searches was performed with origin point is Jln.Tengku Muda and destination point is the office of liaison agency at Jln. Mayjend. Hamzah Bandahara1.

Table 1 the testing result at  $\alpha \in \{0, 1, 2, 5\}$  and  $\beta = 0$ 

Testing	β=0			
	α=0	α=1	A=2	α=5
1	1,396	1,498	1,498	1,067
2	1,067	1,722	1,362	1,53
3	1,396	1,067	1,396	0,689
4	1,881	1,458	1,53	1,498
5	1,458	1,436	1,53	1,586

**TABLE 1** shows the results of testing with test parameters  $\alpha \in \{0, 1, 2, 5\}$  and  $\beta = 0$ . Test results shows shortest path about 0689 km is obtained at 3rd experiment with the value  $\alpha = 3$  and  $\beta = 5$ .

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Table 2 the testing result at  $\alpha \in \{0, 1, 2, 5\}$  and  $\beta = 1$ 

Tastina	B=1			
Testing	α=0	α=1	α=2	α=5
1	1.067	1.601	0.595	1.067
2	1.067	1.897	1.067	1.067
3	1.067	0.595	1.067	1.067
4	1.067	0.595	0.987	1.067
5	1.067	1.067	0.696	1.396

TABLE 2 shows the results of testing with test parameters  $\alpha \in \{0, 1, 2, 5\}$  and  $\beta = 1$ . This test shows that the shortest distance is 0595 km were found at the first test with  $\alpha = 1$  and  $\beta = 2$ ; third test with  $\alpha = 1$  and  $\beta = 1$ , also at the forth test with  $\alpha = 1$  and  $\beta = 1$ .

**Table** 3 the testing result at  $\alpha \in \{0, 1, 2, 5\}$  and  $\beta = 2$ 

			( - , , , ,	
Testing	β=2			
resumg	α=0	α=1	α=2	α=5
1	0.595	1.067	1.067	0.595
2	0.595	0.595	0.595	0.595
3	0.595	1.067	1.067	0.595
4	0.595	0.595	0.987	0.595
5	1.067	0.595	0.595	0.696

TABLE 3 shows the results of testing with the value of the test parameter  $\alpha \in \{0.1.2.3.4.5\}$  and  $\beta=2$ . The test result shows that most of the combinations produce the shortest distance of 0.595 km.

Table 4 the testing result at  $\alpha \in \{0, 1, 2, 5\}$  and  $\beta = 5$ 

Testing	β=5			
	α=0	α=1	α=2	α=5
1	0.595	0.595	0.595	0.595
2	0.595	0.595	0.595	0.595
3	0.595	0.595	0.595	0.595
4	0.595	0.595	0.595	1.601
5	0.595	0.595	0.595	0.595

TABLE 4 shows the results of test at the value of the parameter  $\alpha \in \{0.1.2.3.4.5\}$  and  $\beta=2$ . Based on The test results shows almost all of combination produces the shortest distance of 0.595 km. This suggests that the combination of this parameter approach stable ant algorithm.

Based on the results of tests on several combinations of parameters  $\alpha$  and  $\beta$  above, it can be seen that the Ant Colony produces the same shortest distance when the  $\beta$  value of 1 to 5, and stable approach when  $\beta$  is worth 5.

Testing the accuracy of the results is represented by the best and the worst of the respective parameters have been tested. Accuracy of the test results in this study is shown in TABLE 5. Based on the test results can be seen that changes in the parameters of Ant Colony algorithm affects the accuracy resulted. At the time of the parameter  $\alpha=0$  and  $\beta=0$ 

produced an accuracy of 20%, whereas when  $\alpha=2$  and  $\beta=0$  accuracy decreases in the value of the worst. It also can be seen from the table that the Ant Colony produces the best accuracy approach on the optimal path at value of  $\alpha=0$  and  $\beta=1;$   $\alpha=2$  and  $\beta=1;$   $\alpha=0$  and  $\beta=2,$   $\alpha=1$  and  $\beta=2$  to the  $\alpha=2$  and  $\beta=5.$ 

TABLE 5 ACCURACY TEST RESULTS OF ANT COLONY

Parameter		Accuracy	
A	В	Accuracy	
0	0	20%	
1	0	20%	
2	0	0%	
5	0	40%	
0	1	100%	
1	1	60%	
2	1	100%	
5	1	80%	
0	2	100%	
1	2	100%	
2	2	100%	
5	2	100%	
0	5	100%	
1	5	100%	
2	5	100%	
5	5	80%	

#### IV. CONCLUSION

In this study, the Ant Colony algorithm is implemented to search the shortest path of Government Agency location and visualize this path. Representation of the algorithm on the map is by taking the coordinates on the image map as a reference point. Based on the test results are known the best accuracy obtained on combined value of  $\alpha=0$  and  $\beta=1$ ;  $\alpha=2$  and  $\beta=1$ ;  $\alpha=0$  and  $\beta=2$ ,  $\alpha=1$  and  $\beta=2$  and with  $\alpha=2$  and  $\beta=5$ . While the worst accuracy obtained when  $\alpha=2$  and  $\beta=0$ .

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# Determination of Multipath Security Using Efficient Pattern Matching

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Abstract—Multipath routing is the use of multiple potential paths through a network in order to enhance fault tolerance, optimize bandwidth use, and improve security. Selecting data flow paths based on cost addresses performance issues but ignores security threats. Attackers can disrupt the data flows by attacking the links along the paths. Denial-of-service, remote exploitation, and other such attacks launched on any single link can severely limit throughput. Networks can be secured using a secure quality of service approach in which a sender disperses data along multiple secure paths. In this secure multi-path approach, a portion of the data from the sender is transmitted over each path and the receiver assembles the data fragments that arrive. One of the largest challenges in secure multipath routing is determining the security threat level along each path and providing a commensurate level of encryption along that path. The research presented explores the effects of real-world attack scenarios in systems, and gauges the threat levels along each path. Optimal sampling and compression of network data is provided via compressed sensing. The probability of the presence of specific attack signatures along a network path is determined using machine learning techniques. Using these probabilities, information assurance levels are derived such that security measures along vulnerable paths are increased.

Keywords-component; Mutli-path Security; Information Assurance; Anomaly Detection.

#### I. Introduction

Typical network protocols select the least-cost path for routing data to destinations and thus address delivery efficiency along a single network path. On networks using single-path routing, attackers can launch attacks upon any link which seriously compromises data integrity, availability, and confidentiality along the path. Network countermeasures required along a compromised path include TCP resets of the offending attack node or nodes and involves disrupting the flow of traffic on the path for a period of time, and switching to an alternate path. Nevertheless, deploying these countermeasures generally requires manual intervention and an associated switching time [1]. Having multiple paths available for traffic propagation hinders an attacker's ability to focus the attack on a single routing path. However, multipath traffic propagation conversely introduces complexity into the system: using multiple paths requires sophisticated packet-reordering methods and buffering methods [2], [3]. In a fully secure multipath network a sender simultaneously transmits data over multiple paths with varying levels of security enabled along each path. The level of security along each path should reflect a measured threat level on the path and be dynamically adjusted as the attack environment varies.

Despite the importance of associating and adjusting the security level to each path in multipath routing, existing multipath routing protocols such as Multipath TCP lack the ability to actively determine the level of security threats along a path [4], [31].

In this paper, we present a novel approach that utilizes compressed sensing (CS) [13] and machine learning techniques to determine the information assurance level of network paths in multipath networks. Compressed sensing (CS) allows network data to be optimally sampled below the normally required Nyquist 2X signal sampling frequency while simultaneously compressing data and lowering data dimensionality. CS data compression enables the storage of large data windows by up to a factor of 10X. The combination of data compression and data dimensionality reduction effectively filters out noncontributing network traffic features which increases the efficiency and data handling capabilities of anomaly detection algorithms used in network path security determination.

Compared to other types of multipath network security methods, the proposed approach is based on recognizing real-world attack patterns within compressed and dimension reduced data sets. Additionally, most multipath security schemes are based on hypothetically derived trust models while the proposed approach finds the likelihood of the presence of real-world attack patterns in data event windows and assigns information assurance levels to paths that can be subsequently utilized to actively adjust path security measures [1-8].

The remainder of this paper is organized as follows. We provide in Section II a review of related work. Section III presents the *compressed sensing - signature cluster path security determination* methods. In section IV evaluation results are presented, and finally in section V conclusions are discussed.

#### II. BACKGROUND

In multipath routing, data is transmitted along multiple paths to prevent fixed unauthorized nodes from intercepting or injecting malicious data onto a network. Ideally, the simplest form of multipath routing entails using no encryption and data is split among different routes in order to minimize the effects of malicious nodes. The approach in [5] uses existing multiple paths such that an intruder needs to spread resources across several paths to seriously degrade data confidentiality. In the approach of [6], one path is used as a central path while the other paths are alternatives. When the central path's performance is seriously affected, one of the alternative paths is selected as the new central path. These two multipath protocols base the effectiveness on the ability to either disperse data along multiple paths or in having the option to switch to alternate paths. However, none of the approaches suggests an adequate or explicit means for combining dispersive data security methods with path differentiating data security measures.

The differentiating approach proposed in this paper is to intelligently sense the threat level present along each network path and correspondingly increase the encryption strength on more vulnerable paths while decreasing it on the less vulnerable ones. In order to maintain overall throughput, the transmission rates on more vulnerable paths will drop, while it will increase on the less vulnerable ones. The proportional multipath encryption and routing approach is expressed in Eq. (1) and maintains a *secure quality of service (SQoS)*. Packets are proportionally routed over paths  $P_i$  and  $P_j$  according to values I, C, E over graph edges, which are defined shortly.

#### III. NETWORK PATH SECURITY DETERMINATION

Given a network, let I be the information assurance factor, C be the link cost factor (i.e., OSPF cost), and E be the encryption scaling factor. For distinct edges or links in a network, the values of these factors are different. To differentiate the factor values on different links, we use subscript i to denote the factor value for an edge  $e_i$ . E.g.,  $I_i$  is the information assurance factor for an edge  $e_i$ . Given a message with length L, we need to formulate the throughput for sending this message from a source node  $v_s$  to a destination node  $v_e$  when using multipath routing by leveraging these factors. In general, if all paths that are used to send a message is P/I, and the length of a path  $P_i$  is  $n_i$ , then the throughput is defined as follows.

$$T_{v_s \to v_e} = L \sum_{i=1}^{|P|} \sum_{j=1}^{n_i} I_{ij} C_{ij} E_{ij}$$
 (1)

For example, assume that the network routing algorithm decides to use two paths  $P_i$  = path  $(v_1, v_6, v_3, v_4, v_2)$  and  $P_j$  = path  $(v_1, v_6, v_5, v_7, v_2)$  to send a message with length L from  $v_1$  to  $v_2$  in Figure 1.

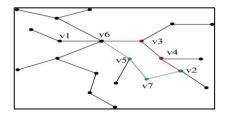


Figure 1: Multipath Graph

Then, its throughput is:

$$T_{v_{i\rightarrow v^{2}}} = L \cdot \sum_{i=1}^{n} I_{i} C_{i} E_{i} + L \cdot \sum_{j=1}^{n} I_{j} C_{j} E_{j}$$
 (2)

The throughput to destination vertex " $v_e$ " is maintained, but the encryption "E" scaling factors are dynamically adjusted according to the values of the information assurance factor I over each edge.

It will be shown that the information assurance factors I along a path can be derived by finding the likelihood of the presence of attack signature patterns within a defined event window of network traffic (Section III.D). Link encryption factors E and link cost factors C are inversely proportional to the value of information assurance factors I. Derivation of factors E and C in maintaining SQoS and throughput  $T_{vs \to ve}$  is reserved for future research.

Our approach determines the security levels of network paths by examining the traffic data with different temporal partitions. In particular, the network traffic is partitioned into event windows where each window collects data over 30 minute sampling periods. For each 30-minute event window, we collect *N* sample from the network traffic for a single path.

For each event window, our approach performs traffic sampling, anomaly detection, and path security determination as shown in the diagram of Figure 2.

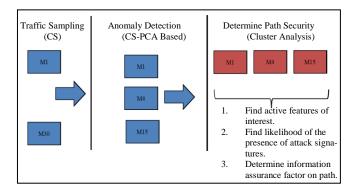


Figure 2: Processing Flow for Traffic in One Event Window

As Figure 2 shows, compressed sensing (CS) [13] is used to optimally sample network traffic data and store them in a compressed form (Section III.A). Behavioral anomaly detection is conducted on the CS data (Section III.B and Section III.C). The compressed data are passed to the path security determination process (Section III.D), which performs cluster analysis on the traffic samples. Significant clusters are inspected for the presence of active attack signature features, and the likelihood of a respective cluster containing attack signatures is calculated (Section III.D). Given the likelihood of specific attack features being present on a path  $P_i$ , the cyber threat level  $W_r$  and information assurance  $I_i$ , are determined using Eq. (13) and Eq. (14), which are discussed in Section III.E. In what follows, we discuss every step of Figure 2 in detail.

### A. Traffic Sampling

Network packet header, network time, port, protocol, and flags are collected at each router interface. An event window corresponds to a set of TCP/IP packet records for a path transiting a set of subnets or virtual LANs (VLAN) contained within an autonomous system.

For each event window, we first define several notations used in the process of data sampling and the later discussions.

- *f*: one feature that is abstracted from the network packet records. When there are multiple features, we also use *f*<sub>i</sub> to denote the *i*-th feature.
- *N*<sup>f</sup>: the total number of features that are of our interest. In this research, a total of 19 features were extracted from the captured network packets. These features correspond to a specific subset of TCP, ICMP, HTTP, OSPF protocol states which are most often associated with router and host attacks.
- $X_f$ : samples for a feature f in one event window. It records the number of samples of feature f at different sample moment. If there are N sample moments, then X is an N-dimensional column vector.
- N: the number of samples that we take for one event window.
- $\Phi = (X_1, X_2, ... X_{Nf})$ : a  $N \times N^f$  matrix with the N samples that are taken for an event window. Here,  $X_i$  is represented as a N-dimensional column vector.

Three separate network based suits, namely reconnaissance, vulnerability scanning, and exploitation, were used in emulating real-world host and network conditions. Each suite possesses a unique  $signature(S_r)$ . The threat level  $(W_r)$  is assigned to each attack suite type, which ranges from 1 for least severe to 5 for most severe. Table 1 shows the detailed information of the network attack suites that we used in this research.

Table 1	Network Attack Suites		
Suite	Description	Active	Threat
Signature	•	Features	Level
$(S_r)$			$(W_r)$
1	Cloud Guest Recon-	6	3
	naissance, Vulnera-	$\{f_1, f_2, f_3,$	
	bilities & Exploita-	$f_4, f_9, f_{11}$	
	tion		
2	Cloud Infrastructure	6	5
	Reconnaissance, Vul-	$\{f_1, f_5, f_6,$	
	nerabilities & Exploi-	$f_7, f_8, f_9$ }	
	tation		
3	Cloud Services Re-	5	4
	connaissance, Vul-	$\{f_1, f_5, f_8,$	
	nerabilities & Exploi-	$f_9, f_{10}$ }	
	tation		

Both compressed and uncompressed data in event windows were used in the analysis. Compressed data in event windows were created by sampling the uncompressed data in the corresponding event window.

### B. Data Compression Using Compressed Sensing

Compressed data for each event window are calculated using the CS technique [12, 13]. The theory of the CS technique is explained as follows.

Compressed sensing relations are listed below. For the observed data  $x \in R^N$  with Q representing the number of nonzero elements. The value of Q is determined by finding those vectors where the sum of the absolute values of the columns is minimum. This otherwise known as the L-1 norm and represented by  $min_x \|x\|_1$ .

$$min_x \|x\|_1$$
 subject to  $Y = U_y x$  (3)

In Eq. (3)  $U_{\nu} \in R^{M \times N}$  is an  $M \times N$  orthogonal matrix called the sensing or measurement matrix,  $\nu$  is a random subset of the row indices, and Y is the linearly transformed compressed data.

We note that the |V|=M and dictates the level of compression which is afforded when the linear transformation is performed on  $\Phi$ 

$$|V| \ge \operatorname{Const} \cdot \mu^2(\mathbf{U}) \cdot Q \cdot \log N$$
 (4)

$$\mu(\mathbf{U}) = \max_{i,j} |U_{i,j}| \tag{5}$$

 $Y=U_{\nu}$  x is a linear transformation reducing the data dimensionality from N to M with  $U_{\nu}$  columns normalized to unit norm. If the sparseness of x is considered, a dimension k represents the components with high variance, and M is chosen such that  $M \geq k$ .

From Eq. (4), the CS sampling rate which yields the best results is captured in Eq. (6) where  $\varepsilon$  is a constant proportional to the number of active features and M is the number of samples to be taken.

$$M = \varepsilon * \sqrt{N} * \log N \tag{6}$$

### C. Anomaly Detection

The previous step calculates the compressed data Y from the original traffic data  $\Phi$  for each event window. Our anomaly detection component detects the event windows that may contain traffic with anomalous behavior. In this section, we first describe the detailed steps of this component. Then, we explain the theory behind each step.

The anomaly detection component works as follows. The first step performs Principal Component Analysis (PCA) on the compressed data from one event window. I.e., PCA is performed on a covariance matrix of Y. The second step applies a residual analysis over the original data  $\Phi$  and calculates a squared prediction error. If the prediction error for one feature is bigger than a threshold, then that event window is considered to contain anomalous behavior.

The compressed event window is represented by Y in Eq. (7).

$$Y = U_{ij}x \tag{7}$$

The sampling matrix  $U_v$  projects x to a residual subspace; however, eigenvalue decomposition of the covariance matrix of x and Y yields near-identical eigenvalue magnitudes from which anomaly detection can be derived [10]. This fact allows one to inspect the compressed data samples, Y, for anomalies reducing the computational complexity to  $\mathcal{O}(M^3)$  and storage of the data to  $\mathcal{O}(M^2)$  with  $M = \mathcal{O}(k \log N)$ . This is a substantial running time reduction of the PCA analysis over the covariance matrix of x, which requires  $\mathcal{O}(N^3)$  computations and memory storage of  $\mathcal{O}(N^2)$ .

A residual analysis method [9] decomposes the observed data x (in our case, one row vector in  $\Phi$ ) into principal subspace which is believed to govern the normal characteristics. Within the residual subspace in which Y resides, abnormal characteristics can be found. The residual method performs the eigenvalue decomposition of the covariance matrix of x from which x principle eigenvectors x are obtained. The projection of a data instance x onto the residual subspace is

$$z = (\mathbf{I} - EE^T) x \tag{8}$$

Assuming the data is normal, the squared prediction error is  $\|z\|_2^2$  which follows a non-central chi-square distribution. Anomalous activity is detected when the squared prediction error  $\|z\|_2^2$  exceeds a certain threshold called *Q*-statistics which is the function of the non-principle eigenvalues in the residual subspace and is approximated by

$$Q_{\beta} = \theta_{1} \left[ \frac{c_{\beta} \sqrt{2\theta_{2}h_{0}^{2}}}{\theta_{1}} + \frac{\theta_{2}h_{0}(h_{0}-1)}{\theta_{1}^{2}} \right]^{\frac{1}{h_{0}}}$$
(9)

where  $h_0 = 1 - \frac{2\theta_1 \, \theta_3}{\theta_1^2}$ ,  $\theta_i = \sum_{j=p}^N \lambda_j^i$  for  $i = 1, 2, 3, c_\beta = (1 - \beta)$  percentile in a standard normal distribution and  $Q_\beta$ , and  $\lambda_j$ , i = I, ...., k are the eigenvalues of the covariance matrix. Anomalies are detected when the prediction error  $\|z\|_2^2 > Q_\beta$ . [9]

### D. Determination of Path Security

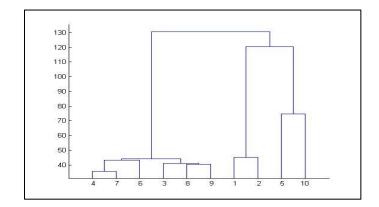
Using the approach discussed in Section III.C, volume-based anomalous behavior within an event window is identified. Such anomalous behavior provides an indication that an attack may exist within this event window. If anomalous behavior exists in an event window with high probability, then this component attempts to determine the security level for paths in this event window by using hierarchical clustering techniques described in this section.

Agglomerative hierarchical clustering was chosen as the method for deriving anomalous and baseline models because of its ability to identify clusters without providing an initial estimate of the number of clusters present. Agglomerative hierarchical clustering algorithm iteratively groups data points or clusters of points to form new clusters. Each iteration results in the previously found points and clusters being clustered with another point or cluster. Generally, the results of hierarchical clustering of sizable data sets are a large number of clusters, many of which contain a small fraction of the samples. A

straightforward approach to prioritizing clusters is to eliminate the minor clusters by cutting the hierarchical dendrogram lower tiers

Once clusters are identified in an event window (Algorithm 1), determination of which clusters contain attack signature features of high magnitude is conducted (Algorithm 1). The path information assurance factor is calculated (Algorithm 2).

In order to lower computational complexity, only those event windows found to have volumetric anomalies in the residual subspace are used in determining network path security. The relative magnitudes and spectral properties of each feature in principal subspace are calculated, and the uncompressed form of each anomalous event window is analyzed. A signature consisting of a distinct collection of significant features is associated with each attack suite; thus, the nature of significant features contained within the traffic data of an event window is captured in a hierarchical clustering as illustrated in Figure 3.



**Figure 3:** Hierarchical Clustering of Data Event Windows, vertical axis distance, horizontal axis cluster number.

Algorithm 1 is used to generate the clustering dendrogram as illustrated in Figure 3. Algorithm 1 implements a modified hierarchical agglomerative clustering algorithm that merges clusters until a minimum distance threshold between clusters is reached or all the clusters are merged to only one. When a minimum distance threshold is used, the algorithm ensures maximum partitioning of data into feature-rich clusters and increases the probability that the top-tier clusters contain a full attack signature feature set.

**Algorithm 1** takes as input (1)  $SSig_{attack}$ , the set of attack signatures, each of which consists of several features, (2)  $\Phi$  with the N samples, where each sample is a row vector in  $\Phi$ , and (3)  $\delta$ , the distance threshold to stop cluster merging. This algorithm groups the samples in one event window to c clusters (Lines 3-8). Then, for each cluster, it finds the attack signature that has the highest probability to match the cluster's features (Lines 10-21). The signatures and matching probabilities for all the clusters are put into SSig and PProb respectively. This algorithm outputs a triple (C, SSig, PProb) where C[i] is the i-th derived cluster, SSig[i] contains the attack signature with the highest probability (in PProb[i]) to match C[i]'s features.

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In this algorithm, c represents the total number of clusters found so far, and is initialized to 0 (Line 2).  $D_i$  is a cluster that is being processed. Initially,  $D_i$  is initialized to contain the i-th sample in one event window. C is the set of clusters finally derived, and is initialized as an empty set.

### **Algorithm 1:** SignatureMatchProb(SSig<sub>attack</sub>, $\Phi$ , $\delta$ )

```
1 begin
2 initialize c = 0; C = \{\}; D = \{D_i, ..., D_N\} where D_i is the i-th sample;
3
     do /*merge cluasters*/
4
        c = c + 1
5
         find the two nearest clusters D_i and D_j from D
6
         merge D_i and D_j to a new cluster and insert the new cluster to C
7
     until dist(D_i, D_i) > \delta
8
     end do
     i = 0; PProb = \{\}; SSig = \{\};
     /* for each cluster, find the attack signature
         which matches this cluster's features with the highest probability*/
10
     for each cluster D_i (\in \mathbb{C}) do
          k=0; maxProb_i=0; maxProbSig_i=\{\};
11
12
         for each attack signature S_k (\in SSig_{attack})
13
             H_i = extract features from D_i that also exist in S_k
14
             N_i = \# of H_i feature with conditional entropy higher than H(F)
             N_k = \# features in S_k
15
16
             if(N_i/N_k > maxProb_i)
17
                      \max Prob_i = N_i / N_k
18
                      maxProbSig_i = S_k
19
             end if
20
             k = k + 1
21
         end for
22
         PProb = PProb \cup \{\max Prob_i\}
23
        SSig = SSig \cup \{maxProbSig_i\}
24
26
     end for
27
     return (C, SSig, PProb);
28 end
```

Lines 3-8 merges samples to c significant clusters. This cluster merging process stops when the minimum distance between two nearest clusters exceeds the distance threshold  $\delta$ . In finding the nearest clusters from all the existing ones, both Ward and complete linkage methods can be utilized. Past research [20] showed that the complete linkage method Eq. (10) yields the best ratio between the within group sum of squares (WGSS) and between groups sum of squares (BGSS); thus, indicating tighter grouping between inter-cluster members and optimal cluster-to-cluster spacing.

dist 
$$(D_i, D_j) = \max\{d(x_i, x_j): x_i \in D_i, x_j \in D_j\}$$
 (10)

For each cluster  $D_i$  out of the c clusters in C, Lines 10-21, calculate the probability that its features match every attack signature. The details of the signature matching are omitted in the algorithm for simplicity purpose. We discuss the details here. Identifying feature matches is performed by measuring the entropy for each feature within an event window. As Figure 4 indicates, the entropy of an individual significant feature

 $f_j$  increases when attack suite traffic is injected into the network.

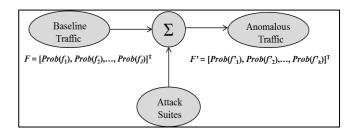


Figure 4: Features and entropy relationships.

The average entropy for features in the baseline traffic H(F) is

$$H(F) = -\sum_{j=1}^{Nf} Prob(f_j) log Prob(f_j)$$
 (11)

The average conditional entropy for features in anomalous traffic is

$$H(F'|f'_{k}) = -\sum_{j=1}^{Nf} Prob(f_{j}|f'_{k}) \log Prob(f_{j}|f'_{k})$$
(12)

It is important to only classify valid clusters. Only clusters with active feature frequencies greater than 2% of the total number of samples in an event window become candidates for classification. In addition, of the candidate clusters those with the largest cophenetic distances and highest inconsistency factor are selected for feature entropy comparisons.

A feature  $f'_k$  appearing in anomalous traffic is significant if  $H(F'/f'_k)$  is greater than H(F). Significant features are subsequently used in determining the probability that a cluster is associated with a specific attack suite

I		$\mathbf{D}_1$	$\mathbf{D}_2$	$\mathbf{D}_5$	D <sub>9</sub>
	$f_{I}$	X	X	X	X
I	$f_2$	X	X	X	X
ĺ	$f_3$		X	X	X
ĺ	$f_9$	X			X

Figure 5: Attack Signature Matching Probabilities

Let us look at an example for signature matching probabilities. Assume that we are given an attack signature  $S_k$  ( $\in$   $SSig_{attack}$ ) which consists of four features,  $f_1$ ,  $f_2$ ,  $f_3$ ,  $f_9$ , i.e.,  $S_k = \{f_1, f_2, f_3, f_9\}$ . Algorithm 1 finds clusters and extracts the features that exist in  $S_k$ . As shown in Figure 5, for candidate clusters  $D_1$ ,  $D_2$ ,  $D_5$  and  $D_9$ , the algorithm founds matching features  $H_1 = \{f_1, f_2, f_9\}$ ,  $H_2 = \{f_1, f_2, f_3\}$ ,  $H_5 = \{f_1, f_2, f_3\}$ , and  $H_9 = \{f_1, f_2, f_3\}$ ,  $f_9\}$ . They all have high (>=75%) feature matches to  $S_k$ . Among these four clusters,  $D_9$  has the highest feature match probability (100%). I.e., all the four features in the attack signature  $S_k$  exist in cluster.

After calculating the signature matching probability (Lines 13-16), the attack signature with the highest feature-

matching probability is recorded by  $maxProbSig_i$  (Line 18) and the matching probability is recorded by  $maxProb_i$  (Line 17). When the highest matching probability  $maxProb_i$  and the matching attack signature  $maxProbSig_i$  for each cluster is found, they are put into sets PProb and SSig, respectively (Line 22-23).

### E. Calculate Assurance Level for a Path

Once the set of clusters C are derived and probabilities of the presence of specific signatures in those clusters (PProb and SSig) are calculated, the path information assurance factor  $I_i$  for network path  $P_i$  is calculated using Eq. (13) and Eq. (14). Based on domain specific cyber security threat models [30], for each cyber threat level  $W_i$ , and a corresponding traffic threat signature  $S_i$  present in an event window, the likelihood of cyber threat signatures being present is high if both  $W_i$  and  $Prob(S_i)$  are high. For a path  $P_i$ , which consists of c clusters (discovered in the previous step), we can sum up the threat for each cluster (Eq. (13)). Then the information assurance factor  $I_i$  for  $P_i$  is derived using Eq. (14):

$$O_f = \sum_{i=1}^c W_i. Prob(S_i)$$
 (13)

$$I_i = \frac{1}{o_f} \tag{14}$$

Algorithm 2 calculates the information assurance factor *I* for a path by utilizing Eq. (13) and (14).

### **Algorithm 2:** $PathInfoAssuranceLevel(C, SSig, PProb, W_r)$

```
1 initialize O = 0; i=1;
2 begin
3
     for the i-th cluster D_i in C
4
       Calculate its corresponding threat level W_i using
             its attack signature S_i (\in SSig) and threat level W_r
5
       Get its highest feature-matching probability Prob_i (\in PProb)
       O = O + W_i \times Prob_i /* According to Eq. (12) */
6
7
       i = i + 1
8
     end for
     I = 1/O /* According to Eq. (14) */
10
    return I
11 end
```

The path information assurance factor  $I_i$  is calculated in Line 9.

### F. Summary of Methodology

As mentioned in Section III.A, a total of 19 features were extracted from the packet records. Network traffic, which consists of the packet records, is partitioned into 30-minute event windows. For each 30-minute event window, a traffic feature frequency matrix  $\Phi$  is extracted to contain the samples of the 19 features.

Algorithm 3 summarizes the complete algorithm to calculate the information assurance measurement I for each event window. It takes three parameters as input. The first parameter is the  $N \times N^f$  sample matrix  $\Phi$  for an event window. Its traffic data is composed of the baseline traffic and anomalies. The second parameter is the set of attack threat signature  $SSig_{attack}$ . It consists of S signatures. The third parameter is the threat level  $W_r$ .

```
Algorithm 3: PathInfoAssurance(\Phi, SSig<sub>attack</sub>, W_r)
```

```
U_v \leftarrow GetSensingMatrix(N, M)
2
         Y \leftarrow CSSample(U, M, \Phi) /* Section III.A*/
3
         \{Z_1, ..., Z_{Nf}\} \leftarrow DetectAnomalies(\Phi, Y) /* Section III.B*/
4
         if \exists Z_i (\in \{Z_I, ..., Z_{Nf}\}) \text{ s.t. } \|Z_i\|_2^2 > Q_\beta \text{ then }
5
            (C, SSig, PProb) \leftarrow Signature Match Prob (\Phi, SSig_{attack}, \delta) /* Alg. 1*/
6
           I \leftarrow PathInfoAssuranceLevel(C, SSig, PProb, W_r) /*Alg. 2 */
7
           Store(Y)
8
         else
9
             Store(Y)
10
         end if
```

The algorithm works as follows. In Line 1, the sampled data (feature frequency matrix)  $\Phi$  is used to generate a CS sensing matrix  $U_{\nu}$  (, which is a  $M\times N$  matrix). In Line 2,  $\Phi$  and the sensing matrix  $U_{\nu}$  are multiplied to produce Y, an  $M \times N^f$  matrix using Eq. (3). In Line 3, the volume-based anomaly detection is performed on each column of the Y matrix, and the corresponding prediction error  $\|z\|_2^2$  is returned. In Line 4, if there exists any feature's prediction error  $||z||_2^2 > Q_B$ , it means that there is the likelihood that attack signatures are present in  $\Phi$ . Then,  $\Phi$ is analyzed for the presence of attack signatures (Line 5). Otherwise, the event window that produces  $\Phi$  is determined to have a low probability of containing malicious content and is stored in compressed form for possible future analysis. In Line 5, the signature-matching component is called to determine the probability of the presence of specific attack signatures in this event window. It produces the attack signatures SSig, data clusters C, with highest signature presence of PProb. In Line 6, the information assurance value I for a path  $P_i$  is calculated using the set of signatures SSig, and their corresponding matching probability (in *PProb*) to attack signatures.

### G. Complexity and Efficiency Gains

The overall computational complexity of *PathInfoAssur-ance* expressed in Big O notation is as follows. Let N be the number of samples,  $\theta$  be the number of non-sparse components, c be the number of clusters, S be the number of attack signatures, and  $M = \mathcal{O}(\theta \log N)$ .

GetSensingMatrix	$\mathcal{O}(N^2 \log N)$
CSSample	$O(N^{2.373})$
DetectAnomalies	$\mathcal{O}(M^3)$

SignatureMatchProb  $O(SN^2 \log N)$ PathInfoAssuranceLevel O(c)

The following assumptions are considered when performing complexity analysis:

- 1. The number of signatures S can grow very large.
- DetectAnomalies and its predecessors must always be run in order to detect zero-day attack behaviors within an event window.
- The accuracy of *DetectAnomalies* is assumed high enough that *SignatureMatchProb* is executed only when anomalies are detected.

Taking into consideration that M and c are small while N is very large, the computational complexity lies primarily in Get-SensingMatrix, CSSample, and SignatureMatchProb. As it is assumed that DetectAnomalies and its predecessors must process each event window, the principal savings come when no anomalies are detected and it is subsequently unnecessary to call SignatureMatchProb.

### IV. RESULTS

In this section, Section IV.A presents the strategies to collect traffic data and analyzes the characteristics of the network traffic. Section IV.B discusses the effect of applying the CS technique. Section IV.C then shows the accuracy of our presented approach. Section IV.D explains the gains in running time of our approach.

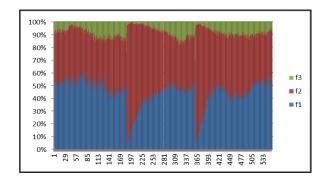
### A. Characterization of Sample Data

The goal of this research was to accurately model threats encountered by modern cloud service providers and clients. The most often used data sets, DARPA/Lincoln Labs packet traces [26], [27] and the KDD Cup data set derived from them [28], are found to be inadequate for such modeling as they are both over a decade old and do not contain modern threats. These data sets containing synthetic data that do not reflect contemporary attacks, and have been studied so extensively that members of the intrusion detection community find them to be insignificant [29]. For these reasons, the data sets used in this research consists of contemporary cloud service provider attacks generated on large scale test networks.

In order to establish the ground truth to evaluate the accuracy of anomaly detection, we conducted an analysis of the traffic in a baseline event window B, which is free of attacks, and the same window's traffic  $\Phi$ , which is injected with attack data. In particular, the baseline traffic event windows B without anomalies were fully sampled and descriptive statistics (e.g., mean, standard deviation, correlations) were calculated. Then, router and host node attacks were singly launched on the network where they were fully sampled. Descriptive statistics and signatures for each attack were calculated. This information established the ground truth for later analysis. The router and host attacks were injected into the baseline data in a random Poisson distribution to form anomalous event windows  $\Phi$ .

Compressed event windows were assembled via compressed sensing of individual anomalous event windows.

We analyzed the characteristics of the normal baseline traffic data B and the traffic data with injected malicious traffic  $\Phi$ . This analysis was conducted prior to CS sampling and subsequent path information assurance level determination. Examples of 30-minute event windows for B and  $\Phi$  are shown in Figures 6 and 7. For the event window B with normal traffic (i.e., baseline), we plotted in Figure 6 the percentage of the frequencies of principal features.

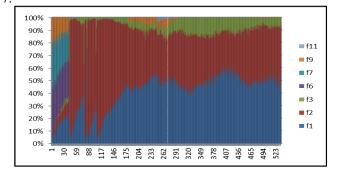


**Figure 6:** Baseline Data Free of Attacks (*B*), vertical axis percentage, horizontal samples.

These features are ICMP redirect (feature  $f_1$ ), HTTP reset (feature  $f_2$ ), and synchronization (feature  $f_3$ ) packets.

Table 2	Principal Features for Baseline Network Traffic
Feature	Indicator
$f_{I}$	ICMP Redirect
$f_2$	TCP http [RST]
$f_3$	TCP http [SYN, ACK]

The principal features for the baseline data (in normal traffic) all showed a large measure of variance over the sampling period. The large variance measurements indicate that these features can be adequately sensed during the CS sampling process. For the traffic data  $\Phi$  in an event window with anomalous behavior, we plotted the percentage of major features in Figure 7.



**Figure 7:** Baseline Data Plus Injected Attacks ( $\Phi$ ), vertical axis percentage, horizontal samples.

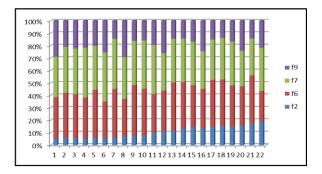
Figure 7 shows that the number of significant features in  $\Phi$  increased, due to the addition of abnormally high Border Gateway Protocol (BGP), HTTPS, OSPF, and SSH protocol traffic resulting from router and host attack network traffic. Figure 7 also shows that the variance for each feature is also relatively high which indicate that CS sampling can effectively capture data patterns.

 Table 3
 Principle Features for Network Traffic

 with Attacks

WITTITITITION	
Feature	Indicator
$f_{I}$	ICMP Redirect
$f_2$	TCP http [RST]
$f_3$	TCP http [SYN, ACK]
$f_6$	TCP bgp [RST, ACK]
$f_7$	TCP ospf-lite [RST, ACK]
$f_9$	TCP https [RST, ACK]
$f_{II}$	TCP ssh [RST, ACK]
$f_{12}$	TCP telnet [RST, ACK]

Attack signature data was characterized prior to processing. A router attack signature is shown in Figure 8, which indicates that features  $f_2$ ,  $f_6$ ,  $f_7$ , and  $f_9$  are significant features.



**Figure 8:** Router Attack Signature, vertical axis percentage, horizontal samples.

### B. Effect of Compressed Sensing (CS)

Using FFT generated frequency components of  $\Phi$  to generate the sampling matrix  $U_v$ , the restricted isometric constant (RIC)  $\delta_k$  with  $\theta$  representing non-sparse components, was kept very small. Keeping  $\delta_k$  small guaranteed good linear transformation of  $\Phi$  and high orthogonality of columns in  $U_v$ .

$$(1 - \delta_k) \|\Phi\|_2^2 \le \|U_v \Phi\|_2^2 \le (1 - \delta_k) \|\Phi\|_2^2 \tag{15}$$

The dimension M was optimally determined by using Eq. (6), and the error rate was measured. Because  $U_v$  is highly orthogonal, the geometry preserving properties allow for the detection of volumetric anomalies in the residual subspace. The largest eigenvalues constituting 90% of the spectral power. With a probability of 1- conf where conf ( $\in$  [0,1]) is the confidence interval, the changes in the eigenvalues between

residual  $(3_i)$  and principle  $(\lambda_i)$  subspaces equal to  $|\lambda_i - 3_i|$  and are bound by:

$$|\lambda_i - \beta_i| \le 4\sqrt{2\lambda_1} \left(\sqrt{\frac{n_v}{M}} + \sqrt{\frac{2\ln\frac{1}{conf}}{M}}\right)$$
 (16)

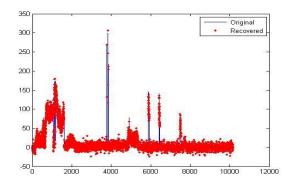
Where  $\lambda_1$  is the largest eigenvalue found in the residual subspace,  $i = 1,..., n_v$ .

The false alarm rate  $\Delta F$  is bound by:

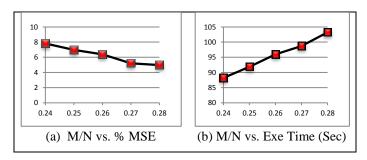
$$\Delta F \leq \mathcal{O}\left(\sqrt{\frac{n_v}{M}} + \sqrt{\frac{2\ln\frac{1}{\text{conf}}}{M}}\right) \tag{17}$$
 Traditionally, the confidence threshold 90% is used which

Traditionally, the confidence threshold 90% is used which makes the  $\sqrt{2 \ln \frac{1}{conf}}$  term small when compared to  $\sqrt{\frac{n_v}{M}}$ . Thus, a smaller M increases the probability of a false alarm and also increases the compression error rate [10]. The obvious advantage in using a smaller M was a lower computational overhead. Accordingly, M was chosen such that the intrinsic sparseness of  $\Phi$  represented by  $\varepsilon * \sqrt{N}$  in Eq. (6) yields the lowest compression error with  $\varepsilon * \sqrt{N} \ll M \ll N$ .

The optimal derivation of the constant  $\varepsilon$  in Eq. (6) was achieved by identifying those feature components of x that had the highest variance and magnitudes. The value of  $\varepsilon$  was found to be directly proportional to the number of active features. The compression mean squared error (MSE) was verified by measuring the error contained in convex optimized reconstruction.



**Figure 9:** CS Reconstruction, Baseline + Injected Attacks  $(G \leftarrow Y)$ , vertical axis frequency, horizontal samples.



**Figure 10**: Compression ratio M/N versus MSE where N is the number of samples (without CS) and M is the number of sam-

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ples (with CS) (a) Recovered data fidelity and (b) Execution Time.

Figure 11 shows the  $\|z\|_2^2$  values calculated from the baseline and anomalous event windows.

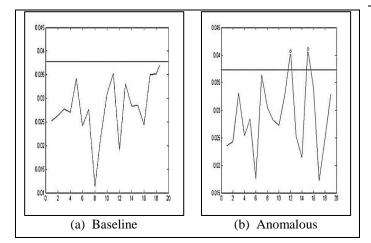


Figure 11: Detected Anomalies:  $Q_B$  – horizontal line Q-Statistics threshold; horizontal axis represents network traffic features; vertical axis  $\|\mathbf{z}\|_{2}^{2}$ .

The  $\|z\|_2^2$  values for the baseline network traffic features are all less than the  $Q_B$ , Q-Statistics threshold indicating an absence of network attack traffic (a). Figure 11(b) shows  $\|z\|_2^2$  values which is greater than  $Q_B$  indicating an increase in the number and magnitude of network traffic attack features and a high possibility that network attack traffic is present in the event window.

Table 4 shows the relationships exhibited between optimally derived values for M, associated error, false positive alarm rate, and execution time for a 24 hour testing period with attack suites 1-3 randomly injected in a Poisson distributed. Each of the 3 attack suites discussed in Table 1 was analyzed resulting in an average effective anomaly detection performance greater than 93%.

Table 4         Anomaly Detection Accuracy						
Attack Suite	No. of instances	Detected	False Pos.	False Neg.		
1	5269	4894	12	10		
2	8020	7575	24	27		
3	2920	2802	12	5		

### C. Overall Accuracy

The following table summarizes the observed accuracy of Algorithms 1, 2, and 3 in correctly detecting event window anomalies and in performing subsequent classifications.

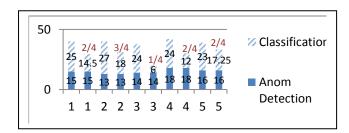
Table 5	Cluster Signature Probability Accuracy			
Attack	No. of	No. of	Classified	Avg. Threat

			VOI. 11, IVO. 1	1, November
Suite	Attack	Forwarded	Instances	Measure
	instances	Attack	with	% Accura-
		instances	> 90%	cy
			Confidence	
1	5269	4894	4635	87.96%
2	8020	7575	7194	89.70%
3	2920	2802	2703	93.14%

Out of a total of 16,209 attack instances, residual subspace anomaly detection correctly sensed 94.21% and forwarded those attack instances for subsequent classification. From the set of forwarded attack instances, Algorithm 1 correctly classified 95.16% with an average confidence greater than 90%. In total, the *DetectAnomalies-SignatureMatchProb* chain identified an average of 90.27% of all attack instances injected along network paths with an average probability of correct match greater than 90%.

### D. Efficiency

Figure 12 illustrates the efficiency gains derived when SignatureMatchProb is not called. The vertical axis represents the execution time in seconds while the horizontal axis represents the ID of the data sets presented to the system. To illustrate the efficiency gains, each data set was presented to the chain initially without removing non-anomalous event windows. Then the same data set was presented to the chain, but allowing non-anomalous windows to be dropped prior to classification. In the case of first data set, two out of the four event windows were dropped, which leads to the corresponding efficiency gain of 50% in the signature classification phase. Similar efficiency gains were recorded for all data sets.



**Figure 12:** CS Anomaly detection and Signature Classification: horizontal axis represents tested data sets; vertical axis is run time in seconds.

Summarizing, an acceptably high percentage of attack instances were detected by anomaly detection (94.21%) of which 95.16% of these forwarded instances were associated with attack suite signatures with high confidence. Overall efficiency gains of over 50% were observed when non-anomalous event windows are dropped prior to classification. Additionally, using this unique combination of methods, the information assurance factor  $I_i$  on any path  $P_i$  was derived with greater than 90% confidence.

### V. CONCLUSION

In this paper, we studied the problem of determining the information assurance level for different paths in multipath networks. We showed it was possible to intelligently sense and quantify threats along individual paths with a high degree of confidence. In the process, we devised a novel approach that combines optimal network data sampling (CS) with residual subspace PCA anomaly detection and probabilistic signature-based intrusion detection. This methodology was shown to simultaneously compress sampled network traffic and reduce data dimensionality by filtering out non-contributing network traffic features. On the compressed and dimension-reduced data set, our approach efficiently performs path threat detection and classification. This approach increases the efficiency and data handling capabilities of both the anomaly and signature-based detection algorithms.

We also derived a theoretical multipath *SQoS* relation, Eq. (1). This relationship allows for the dynamic adjustment of security measures along each path and maintains the overall throughput at the same time. The determination of the security measures using our newly developed approach solves the most technically challenging portion of the multipath *SQoS* relation Eq. (1). Our approach and the multipath *SQoS* relations lay a solid foundation for the future expansion of adaptive multipath security approaches.

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# On the Information Hiding Technique Using Least Significant Bits Steganography

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Abstract—Steganography is the art and science of hiding data or the practice of concealing a message, image, or file within another message, image, or file. Steganography is often combined with cryptography so that even if the message is discovered it cannot be read. It is mainly used to maintain private data and/or secure confidential data from misused through unauthorized person. In contemporary terms, Steganography has evolved into a digital strategy of hiding a file in some form of multimedia, such as an image, an audio file or even a video file. This paper presents a simple Steganography method for encoding extra information in an image by making small modifications to its pixels. The proposed method focuses on one particular popular technique, Least Significant Bit (LSB) Embedding. The paper uses the (LSB) to embed a message into an image with 24-bit (i.e. 3 bytes) color pixels. The paper uses the (LSB) of every pixel's bytes. The paper show that using three bits from every pixel is robust and the amount of change in the image will be minimal and indiscernible to the human eye. For more protection to the message bits a Stego-Key has been used to permute the message bits before embedding it. A software tool that employ steganography to hide data inside of other files (encoding) as well as software to detect such hidden files (decoding) has been developed and presented.

Key Words—Steganography, Hidden-Data, Embedding-Stego-Medium, Cover-Medium, Data, Stego-Key, Stego-Image, Least Significant Bit (LSB), 24-bit color pixel, Histogram Error (HE), Peak Signal Noise Ratio (PSNR), Mean Square Error (MSE).

### I. INTRODUCTION

One of the most important properties of digital information is its easiness in producing and distributing unlimited number of its copies (i.e. copies of text, audio and video data) regardless of the protection of the intellectual and production rights. That requires innovative ways of embedding copyright information and serial numbers in those copies.

Nowadays, the need for private and personal computer communication for sharing confidential information between two parties has increased.

One such technique to solve the above mentioned problems is Steganography [11][3]. It is the art of hiding private information in public information used or sent on public domain or communication from an unwanted party.

These private information need to be undetectable and/or irremovable, especially for the audio and video data cases.

The art of hiding messages is an ancient one. Steganography (literally meaning covered writing) is a form of security through obscurity. For example, a message might be hidden within an image. One method to achieve that is by changing the least significant bits to be the message bits. The term steganography was introduced at the 15th century. Historically, steganography was used for long time ago. Messages were hidden (i.e. tattooed) on the scalp of slaves. One famous example being Herodotus who in his histories tells how Histiaeus shaved the head of his most trusted slave and tattooed it with a message which disappeared once the hair grew back again. Invisible ink has been for quite some time. Microdots and microfilm technology used after the advance of the photography science and technology.

Steganography hides the private message but not the fact that two parties are communicating. The process involves placing a hidden message in a transport medium (i.e. the carrier). The secret message is embedded in the carrier to form the steganography medium. Steganography is generally implemented by replacing bits of data, in regular computer files, with bits of different, invisible information. Those computer files could be graphics, sound, text or HTML. The hidden information can be plain text, cipher text, or images.

In paper [2], the authors suggested an embedding algorithm, using two least significant bits that minimize the difference between the old value of the pixel in the cover and the new value of the pixel in the stego-image in order to minimize the distortion made to the cover file. Experimental results of the modified method show that PSNR is greater than the conventional method of LSBs replacement.

A distinguish between stegnography and cryptography should be emphasized. Steganography is the science and art of hiding information from a third party. Cryptography is the science and art of making data unreadable by a third party. Cryptography got more attention from both academia and industry than steganography.

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Nowadays, steganography is becoming increasingly important for both military and commercial communities [9].

### II. STEGANALYSIS

Steganalysis is the science and art of detecting and breaking steganography. Examining the color palette is one method of the steganalysis to discover the presence of hidden message in an image. Generally, there will be a unique binary encoding of each individual color. If the image contains hidden data, however, many colors in the palette will have duplicate binary encodings. If the analysis of the color palette of a given image yields many duplicates, we might conclude with high confidence of the presence of hidden information.

Steganalysts have a tough job to do, because of the vast amount of public files with different varieties (i.e. audio, photo, video and text) they have to cover. Different varieties require different techniques to be considered.

Steganalysis and cryptanalysis techniques can be classified in a much similar way, depending upon the known prior information:

- Steganography-only attack: Steganography medium is available and nothing else.
- Known-carrier attack: Carrier and steganography media are both available.
- Known-message attack: Hidden message is known.
- Chosen-steganography attack: Steganography medium as well as used steganography algorithm are available.
- Chosen-message attack: A known message and steganography algorithm are used to create steganography media for future analysis.
- Known-steganography attack: Carrier and steganography medium, as well as the steganography algorithm, are available.

In [1] the author urges the steganalysis investigation of the three least significant bits.

Until recently, information hiding techniques received very much less attention from the research community and from industry than cryptography, but this has changed rapidly. The search of a safe and secret manner of communication is very important nowadays, not only for military purposes, but also for commercial goal related to the market strategy as well as the copyright rights.

Steganography hides the covert message but not the fact that two parties are communicating with each other. The steganography process generally involves placing a hidden message in some transport medium, called the carrier. The secret message is embedded in the carrier to form the steganography medium. The use of a steganography key may be employed for encryption of the hidden message and/or for randomization in the steganography scheme.

### III. How does it work?

Without any loss of generality, the paper will use the following equation to support us with a general undurstanding of the steganographic process:

cover\_medium + hidden\_data + stego\_key = stego\_medium.

The cover\_medium is the file to be used to hide the hidden\_data. A stego\_key could be used if an encryption scheme (i.e. private/public key cryptography) will be mixed with the steganography process. The resultant file is the stego\_medium, which will be the same type of file as the cover\_medium. In this paper, we will refer to the cover\_image and stego\_image, because the focus is on the image files.

Classification of stenography techniques based on the cover modifications applied in the embedding process is as follows:

### A. Least significant bit (LSB) method

This approach [19][6][5][4][14][12] is very simple. In this method the least significant bits of some or all of the bytes inside an image is replaced with a bits of the secret message. The least significant bit (LSB) substitution and masking & filtering techniques are well known techniques to data hiding in images. LSB is a simple approach for embedding information in an image. Replacement of LSBs in digital images is an extremely simple form of information hiding.

### B. Transform domain techniques

This approach [7][10] embeds secret information in the frequency domain of the signal. Transform domain methods hide messages in significant areas of the cover image which make them more robust to attacks such as: compression, cropping, and some image processing, compared to LSB approach.

### C. Statistical methods

This approach [8] encodes information by changing several statistical properties of a cover and uses a hypothesis testing in the extraction process. The above process is achieved by modifying the cover in such a way that some statistical characteristics change significantly i.e. if "1" is transmitted then cover is changed otherwise it is left as such.

### D. Distortion techniques

In this technique [13][18][17][16] the knowledge of original cover in the decoding process is essential at the receiver side. Receiver measures the differences with the original cover in order to reconstruct the sequence of modification applied by sender.

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The simplest approach to hiding data within an image file is the least significant bit method (LSB). If a 24-bit color is used, then the amount of change will be minimal and indiscernible to the human eye.

In [15], authors mixed between strong cryptography schemes and steganography, the time complexity of the overall process increases but at the same time the security achieved at this cost is well worth it. The cryptography algorithm was used is the RSA public key cryptography algorithm. The complexity of pure steganography combined with RSA algorithm (three bits) increases by 15 to 40% in comparison to two bit pure steganography combined with RSA. The complexity of Pure Steganography steganography combined with Diffie Hellman algorithm is nearly the same.

In this paper the presented steganography method is based on the spatial domain for encoding private information in an image by making small modifications to its pixels. The proposed method focuses on one particular popular technique, Least Significant Bit Embedding. The paper emphasizes on hiding information in online image. Example of a software tool that uses steganography to hide private data inside of public image file as well as to detect such hidden private data will be presented. In this paper the cryptography used was simple symmetric encryption and decryption. One of the main goals is to show the robustness of using three bits least significant bits per pixel.

### IV. LEAST SIGNIFICANT BIT (LSB) INSERTION

Suppose we have an 8-bit binary number 11111111. Changing the bit with the least value (i.e. the rightmost bit) will have the least effect on that binary number. That is why the rightmost bit name is the Least Significant Bit (LSB). The LSB of every byte can be replaced. The effect on overall file will be minimal.

The binary data of the private information is broken up into bits and inserted into the LSB of each pixel in the image file.

One way to implement that insertion is by special rearrangement of the color bytes. Suppose we have an 8-bit color image. A stego software tool can make a copy of an image palette. The copy is rearranged so that colors near each other are also near each other in the palette. The LSB of each pixel (i.e. 8-bit binary number) is replaced with one bit from the hidden message. A new color in the copied palette is found. The pixel is changed to the 8-bit binary number of the new color.

The number of bits per pixel will determine the number of distinct colors that can be represented. A 1 bit per pixel image uses 1-bit for each pixel, so each pixel can be either 1 or 0. Therefore we will have: 1 bit per pixel= $2^1 = 2$  colors, 2 bit per  $pixel=2^2 = 4 colors$ , 3 bit per  $pixel=2^3 = 8 colors$ , 24 bit per

pixel= $2^{24} \approx 16.8$  million colors. In this paper we will assume that the picture has 24 bit per pixel.

As an example, suppose that we have three adjacent pixels (nine bytes) with the following encoding (see figure 1):

Pixel 1= 10010101	00001101	11001001
Pixel 2= 10010110	00001111	11001010
Pixel 3= 10011111	00010000	11001011

Fig. 1.

For example, in order to hide the following 8 bits of data that represents character "H": 01001000, we overlay these 8 bits over the LSB of the 9 bytes of figure 1 as a consequence we get the following representation (see figure 2):

Pixel 1= 1001010 <b>0</b> Pixel 2= 10010110		
Pixel 3= 1001111 <b>0</b>	00010000	11001011

Fig. 2. The bits in **bold** have been changed

Note that we have successfully hid 8 bits at a cost of only changing 3 bits, or roughly 33%, of the LSBs. In this we are using 24-bit color. Therefore, the amount of change will be minimal and unnoticeable to the human eye. We will leave it as a further work to answer the question of what are the maximum number of bits per pixel that could be used to embed messages before noticing the difference? (see table 1).

TABLE I.

Message Bit	1 <sup>st</sup> LSB	Effects on pixel	2 <sup>nd</sup> LSB	Effects on pixel	3 <sup>rd</sup> LSB	Effects on pixel
0	0	None	0	None	0	None
1	1	None	1	None	1	None
0	1	-1	1	-256	1	-65536
1	0	+1	0	+256	0	+65536

The mentioned LSB description is meant as an example. At the case of gray-scale images, LSB insertion works well. The gray-scale images has the benefit of hiding data in the least and second least significant bits with minimal effect on the image.

Some techniques of image manipulation could make the vulnerable. LSB insertion Converting lossless a compression image (i.e. GIF or BMP) to a lossy compression image (i.e. JPEG) and then converting them back can destroy the data in the LSBs.

### V. ENCODING AND DECODING STEPS IN (LSB)

Section (5.1) show the steps needed to get and set LSB bits of very byte. Section (5.2) show the steps required to create the stego file. Figure 4 represents the flow chart of the encoding algorithm used in this paper. The decoding algorithm works in the opposite way round and the flow chart for the decoding algorithm is given in figure 5.

### A. Get and set bits at LSB algorithm (see figure 3)

For each byte of the message, we have to:

- 1) Grab a pixel.
- 2) Get the first bit of the message byte.
- 3) Get one color component of the pixel.
- 4) Get the first bit from the color component.
- 5) If the color-bit is different from the message-bit, set/reset it.
- 6) Do the same for the other seven bits.

### B. Create stego file

- 1) Open the cover file into stream.
- 2) Check if the cover file is bitmap file.
- 3) Check if the cover file bitmap is 24 bits.
- 4) Write the header of cover file to stego file (new stream)
- 5) Add the length of message at the first (4) bytes of stego file (new stream)
- 6) Encrypt the message using simple symmetric encryption key.
- 7) Hide the message by using LSB algorithm (i.e. get and set).

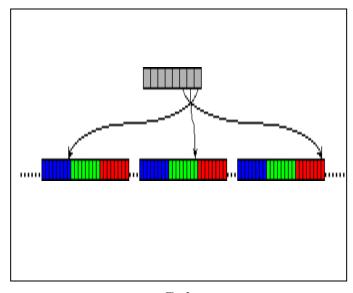


Fig. 3.

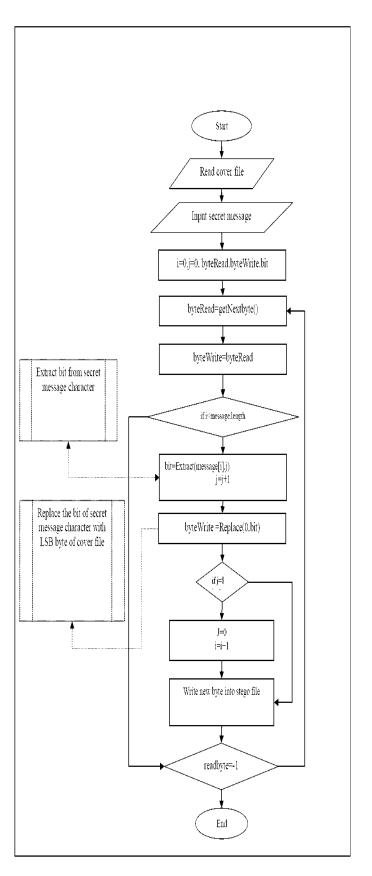
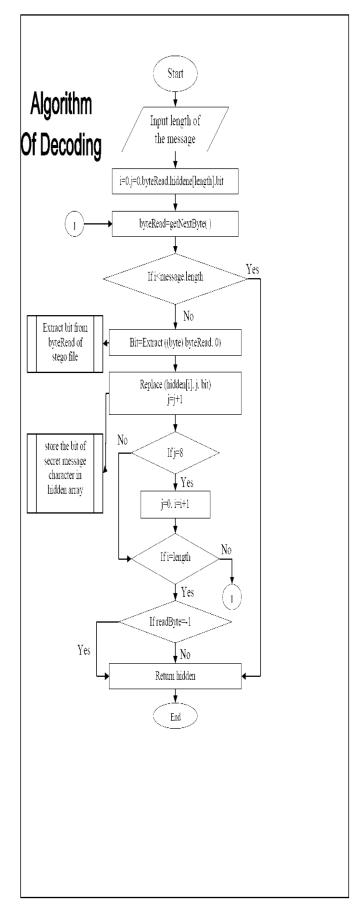


Fig. 4. Encoding Algorithm



# C. Example (Please revise the previous section of least significant bit (LSB) insertion)

Plain Message character:H=72	01001000	
Key=55	00110111	XOR
Encrypted Message character =127	01111111	
Encrypted Message character=127	01111111	
Enerypted wiessage character-127	00000001	AND
Get the first bit of encrypted message	00000001	MIND
Get the first bit of energy pied message	00000001	
Get the first byte in the cover image of		
Pixel1	1100100 <mark>1</mark>	
TIXCII	11001001	
Set the first bit of the encrypted	11001001	
message in the (LSB) of the first byte	11111110	AND
of the cover image of Pixel 1.	11001000	TIND
of the cover image of fixer 1.	00000001	OR
Resulted first byte of Pixel1	1100100 <mark>1</mark>	OR
Encrypted Message character=127	01111111	
Encrypted Message Character-127	00000010	AND
Get the second bit of encrypted	00000010	AND
71	00000010	
message		
Shift right once to put the second bit	00000001	
0 1	00000001	
as (LSB)		
Get the second byte in the cover	0000110 <mark>1</mark>	
image of Pixel1	0000110 <mark>1</mark>	
illiage of 1 ixeri		
Set the shifted second bit as (LSB) of	00001101	
the encrypted message in the (LSB) of	11111110	AND
the second byte of the cover image of	00001100	MIND
Pixel 1.	000001100	OR
Resulted second byte of Pixel1	00000001	OK
· · · · · · · · · · · · · · · · · · ·	01111111	
Encrypted Message character=127		AND
Cat the third hit of an amounted	00000010	AND
Get the third bit of encrypted	00000100	
message		
Chift wight trying to must the third hit as	00000001	
Shift right twice to put the third bit as	00000001	
(LSB)		
Cot the third byte in the cover image	1001010 <mark>0</mark>	
Get the third byte in the cover image	1001010 <mark>0</mark>	
of Pixel1		
Set the shifted third bit as (LSB) of the	10010100	
` '		AND
encrypted message in the (LSB) of the third byte of the cover image of	11111110 10010100	AND
Pixel 1.	10010100	OP
	00000001	OR
Resulted third byte of Pixel1	1001010 <mark>1</mark>	

Original Pixel 1= 1001010<mark>0</mark> 0000110<mark>1</mark> 1100100<mark>1</mark> Resulted Pixel 1= 10010101 00001101 11001001

Continue as above for the rest of the bits of the encrypted message characters.

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The C#-functions for getting and setting single bit are simple:

A proposed Pseudo-code for hiding messages:

fo	for all bytes in the message stream						
	read a byte from the key stream						
	read a byte from the message stream						
	XOR these bytes, store result in currentByte						
	for(int index=0; index<8; index++)						
	calculate the position of the next pixel						
	get the colour of the pixel						
	get the value of the bit at position index from currentBy						
	set the lowest bit of the R, G or B value to the same va						

A proposed Pseudo-code for extracting hidden messages:

fe	for all expected bytes of the message						
	read a byte from the key stream						
	initialize a variable (currentByte) as a buffer for extracted bits						
	for(int index=0; index<8; index++)						
	calculate the position of the next pixel						
get the colour of the pixel							
	get the value of the bit in position <i>index</i> from R, G or B						
	set the bit at position index in currentByte to the same value						
	write currentByte into the message stream						

### VI. EXPERIMENTS

This Section explains the steganography application in order to encode a text message into image file and decode that message from the stegano file.

The following figure shows the Main Menu screen with three buttons: the two buttons in the upper side of the screen used for encrypting and decrypting a text message into image file, the third button in the middle of lower side of the screen is used for encrypting and decrypting images.

By pressing the **Encrypt Text File** text box button or **Dealing With Images** text box button will lead you to the first screen of the encoding process, after you finish the encoding process click the button in the upper right side of the screen **Decrypt The Stegano File** to continue the decoding process.



Fig. 6. Main menu screen

### A. Encoding:

### 1) Step 1

In the first step, insert the path of the required image to be encoded in the "Source Image File" text box, or click the **Browse** button to select it. The selected image could be seen in the "Source Image Preview" picture box (see figure 7).

The application shows the image size in bytes in the "Image Size" text box, and it also shows how many bytes you can hide inside this image. The maximum number of bytes you could hide will be displayed in the text box "You can hide up to" (see figure 7). Click button **Next** to proceed to the next step.



Fig. 7. Encoding screen (step 1)

2) Step 2

In the next step two options are available (see fig. 8):

- a) Either write the required text message to be hide in the image in the text box shown on the screen.
- b) Or select the file that contains the text message to be hide in the image by clicking the button **Browse** and insert the path in the text box "File Name".

The number of bytes to be encoded in the image will be displayed in the text box "No. of Bytes". Click button "Next" to proceed to the next step.

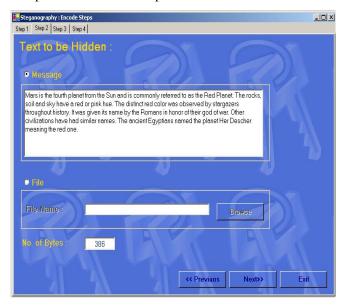


Fig. 8. Encoding screen (step 2)

### 3) *Step 3*

In the third step (see fig. 9) type the output image name that contains the encoded message in the text box "Stego File Name", and a security password in the text box "Password".

Finally, click button **"Finish"** to create the target file and go to the next step.



Fig. 9. Encoding screen (step 3)

Here below is the encoded message (text) into the source image



### 4) Step 4

At this screen (see fig. 10), a comparison between the original image before encoding (*Cover Image*) and the output image after encoding (*Stego Image*) could be seen by the naked eve.

Click button "Close" when finishing comparison.



Fig. 10. Encoding screen (step 4)

If the button "Decrypt The Stegano File" at the Main Menu screen (Figure 6) had been pressed, then the next screen will leads the user through two steps to complete the decoding stage. These two steps are explained below:

### B. Decoding:

### 1) Step1

At this stage, the encoded message with the given stegofile name is stored in main directory with the current path. Now go to the main menu (see fig. 6) and click, this time, the button "Decrypt". Click button "Browse" to select the new created image (encoded image) and the application will show the encoded image size in bytes in the text box "Stego Image Size". Also the encoded image will be shown in the

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picture box "Stego Image Preview". Type the same password that entered while encoding that message (see Figure 9). You will be popped by the screen in figure 11.



Fig. 11. Decoding screen (step 1)

### 2) Step 2

In this step, click the button "Decode" to decode the message. The encoded message will be extracted and will be shown in text box "The Extracted Message" (see fig. 12).

Either save the message to a file by pressing the button "Save To File" or clear the message shown by pressing the button "Clear". Click button "Exit" to exit the application.

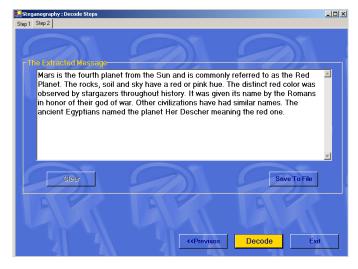


Fig. 12. Decoding screen (step 2)

### C. Results of Experiments

Many changes could happen to an image due to applying stenography techniques. Some of the finer details in the image can be sacrificed due to embedding of a message. That corruption to the original image is acceptable as long as the error between the original and the stenography image is tolerable. Three error metrics have been used in this paper to compare the various image differences between original image and stenography image techniques and to measure the degree of corruption. These three error metrics are:

 The Mean Square Error (MSE) is the mean of the cumulative squared error between the stenography and the original image. Given a noise-free m×n monochrome image I (i.e. original image) and its noisy approximation K (i.e. stenography image), MSE is defined as:

$$MSE = \frac{1}{mn} \sum_{i=0}^{m-1} \sum_{j=0}^{n-1} [I(i,j) - K(i,j)]^2$$

A lower value for MSE means lesser error. So, it is a target to find an image stenography scheme having a lower MSE. That will be recognized as a better stenography.

2) The Peak Signal to Noise Ratio (PSNR) is a measure of the peak error. (PSNR) is usually expressed in terms of the logarithmic decibel scale. (PSNR) is most commonly used to measure the quality of stenography image. The signal in this case is the original data, and the noise is the error introduced by stenography. PSNR is an approximation to human perception of stenography quality. Here,  $MAX_I$  is the maximum possible pixel value of the image. When the pixels are represented using 24 bits per sample, then  $MAX_I$  =16777215 ( $2^{24}$ ).

$$PSNR = 10 \log_{10} \left( \frac{MAX_I^2}{MSE} \right)$$
  
=  $20 \log_{10} (MAX_I) - 10 \log_{10} (MSE)$ 

From the above equations, there are an inverse relation between the (MSE) and (PSNR), this translates to a high value of (PSNR). The higher the value of (PSNR), the better is the stenography.

B) The Histogram Error (HE) is an image histogram (HE) is a chart that shows the distribution of intensities in an indexed or grayscale image. The images used in this paper are colored. In order to work on all the color channels, the colored images will be stretched into vectors before doing image histogram function. The image histogram function creates a histogram plot by making equally spaced bins, each representing a range of data values (i.e. grayscale). It then calculates the number of pixels within each range.

HE shows the distribution of data values. We intend to find the similarity of two images by measuring the histogram error (HE) between them. The smaller the (HE), the closer the similarity. It is calculated by measuring how far are

the differences between two normalized histograms that belong to two different images, from each other. That could happen by subtracting the two normalized histograms vectors from each other and then squaring the resulted vector. There exist an inverse relationship between the value of (HE) and how close the two normalized histograms are to each others. It implies that the smaller the (HE) the closer to each other are the images. Let the two histogram images Im1 (i.e. original image) and Im2 (stenography image) be denoted by Im1 and Im2, respectively, and assuming the two images having the same m×n size. Calculate the Normalized Histograms hn1 and hn2 of Image 1 and Image 2, then finally calculate (HE) as the following:

$$hn1 = \frac{imhist(Im1)}{numel(Im1)}, hn2 = \frac{imhist(Im2)}{numel(Im2)}$$

$$HE = \sum [hn1 - hn2]^2$$

The following figure 13 and figure 13a are an example of an image and it's Histogram:



Fig. 13. Example of an image

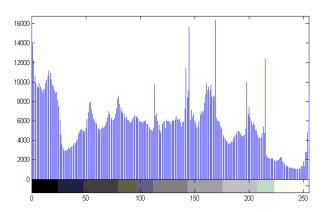


Fig. 13a. Example of an image' histogram

The experiment will be done by comparing (the original image vs. the *stego*image) against (the original image vs. corrupted original image) in order to discover how far is the *stego*image from the original image.

The corrupted original image will be calculated by adjusting the matrix entries of the original image (X) by a factor of  $(0.40,\,0.50,\,0.90\,\&\,0.9977)$ . The results corrupted image will be  $(X*0.10,\,X*0.50,\,X*0.90\,\&\,X*0.9977)$ . See figure 14 to figure 19 for each image and its associated histogram, and see also table 2.

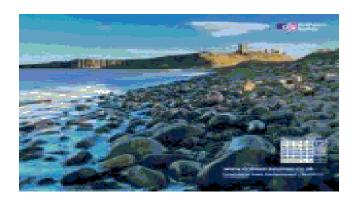


Fig. 14. Original Image

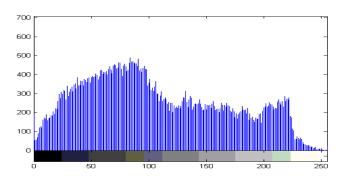


Fig. 14a. Histogram of the original image



Fig. 15. Corrupted image X\*0.40

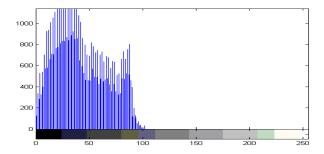


Fig 15a. Histogram of X\*0.40



Fig. 16. Corrupted image X\*0.50

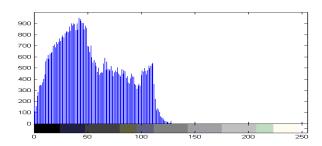


Fig 16a. Histogram of X\*0.50



Fig. 17. Corrupted image X\*0.90

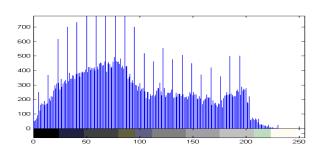


Fig. 17a. Histogram of X\*0.90



Fig. 18. Corrupted image X\*0.9977

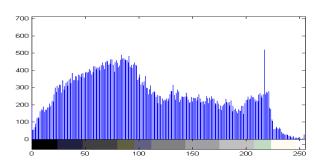


Fig. 18a. Histogram of X\*0.9977



Fig. 19. Stegoimage

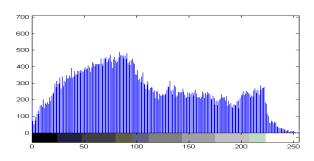


Fig. 19a. Histogram of Stegoimage

TABLE II THE PEAK SIGNAL TO NOISE RATIO (PSNR), HISTOGRAM ERROR (HE) VALUES AND MEAN SQUARE ERROR (MSE) VALUES

	X vs. X*0.40	X vs. X*0.50	X vs. Y		
PSNR	120.6227	120.6970	160.8882		
HE	7.1e-03	3.9e-03	0.0016387e-03		
MSE	243.8776	239.7416	0.0229		

	X vs. X*.90	X vs. X*.9977	X vs. Y		
PSNR	123.7007	158.1746	160.8882		
HE	0.85064e-03	0.023843e-03	0.0016387e-03		
MSE	120.0511	0.0429	0.0229		

Experimental results show that the Peak Signal to Noise Ratio (PSNR) is substantially greater for a fair amount of input see figure 8 and figure 12.

### VII. CONCLUSION AND FURTHER WORK

This paper presents a Steganography method based on the Least Significant Bit Embedding. The paper emphasizes on hiding private information in public image. Examples of software tool that employ steganography to hide private data inside of image file as well as software to detect such hidden data were presented. The paper used simple symmetric encryption and decryption. The paper shows the robustness of using three bits least significant bits per pixel.

As mentioned before, it remains as a further work to know what are the maximum number of bits per pixel that could be used to embed messages before noticing the difference? In other words, is there a mathematical relationship between the numbers of bits per pixel that make up the image's raster data and the number of bits that could be used in each pixel of the cover image to embed messages before noticing the difference? In our case, we used 3 least significant bits per pixel; each pixel has 24-bit to store the digital image.

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# Color and Shape Content Based Image Classification using RBF Network and PSO Technique: A Survey

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### Abstract

The improvement of the accuracy of image query retrieval used image classification technique. Image classification is well known technique of supervised learning. The improved method of image classification increases the working efficiency of image query retrieval. For the improvements of classification technique we used RBF neural network function for better prediction of feature used in image retrieval.Colour content is represented by pixel values in image classification using radial base function(RBF) technique. This approach provides better result SVM technique representation.Image is represented by matrix though RBF using pixel values of colour intensity of image. Firstly we using RGB colour model. In this colour model we use red, green and blue colour intensity values in matrix.SVM with partical swarm optimization for image classification is implemented in content of images which provide better Results based on the proposed approach are found encouraging in terms of color image classification accuracy.

Keywords: RBF network, PSO technique, image classification.

### Introduction

classifying Image classification is defined as the task of the number of images into (semantic) categories based on the available supervised data. The main objective of digital image classification procedure is to categorize the pixels in an image into land over cover classes. The output is thematic image with a limited number of feature classes as opposed to a continuous image with varying shades of gray or varying colors representing a continuous range of spectral reflectance [20].

RBF function is a neural network approach. It is based on function values which is measure by origin. The distance show colour intensity of image. Image

features are colour, texture, shape and size. Large collections of images are becoming available to the public, from photocollection to web pages or even video databases. Sincevisual media requires large amounts of memory and computing power for processing and storage, there is aneed to efficiently index and retrieve visual information from image database [21].

The idea of RBFderives from the theory of function approximation. We have already seen how Multi-Layer Perception (MLP) networkswith a hidden layer of sigmoid units can learn to approximate functions. RBF Networks take a slightly different approach [11, 17]. Their main features are: They are two-layer feed-forward networks. The hidden nodes implement a set of radial basis functions (e.g. Gaussian functions). The output nodes implement linear summation functions as in an MLP. The network training is divided into two stages: first the weights from the input tohidden layer are determined, and then the weights from the hidden to output layer [16].

The Image classification using SVM classifier,RBF classifier and POS optimization technique based on content of image are providing comparatively result. Efficient indexing and Extraction of large number of color images, classification plays an important and challenging role. The main aim of this research work is devoted to finding suitable representation for images and classification generally requires comparison of images depending on the certain useful features [5].

### **Literature Survey**

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which combines the characteristics of Brushlet and Wavelet transform.

- (2) P. J.Gri ffi Ths, J. S. Marsland and W.Eccleston in Leverpoolin 2003. They discussed work on "A Study of Noise in PWM Neural Networks". This paper shows the effect of introducing noise to the weight set and at the input to the neuron. The MLP investigated is tolerant to noise added at the input to the neuron and therefore could be implemented using the PWM neural network with the RC time constant set close to the PWM period.
- (3) Keiji Yanai in Japan in 2003. They work out on "Generic Image Classification Using Visual Knowledge on the Web." In this paper, They describe a generic image classification system with an automatic knowledge acquisition mechanism from the World Wide Web.
- (4) Luca Piras and Giorgio Jiacinto in University of Cagliari in Italy in 2010. They proposed work on "Unbalanced learning in content-based image classification and retrieval." In this paper we propose a technique aimed at artificially increasing the number of examples in the training set in order to improve the learning capabilities, reducing the unbalance between the semantic class of interest, and all other images. The proposed approach is tailored to classification and relevance feedback techniques based on the Nearest-Neighbor paradigm. A number of new points in the feature space are created based on the available training patterns; so that they better represent the distribution of the semantic class of interest.
- (5) Saurabh Agrawal, Nishchal K Verma, Prateek Tamrakar, Pradip Sircar in Indian Institute of Technology Kanpur, India at 2011. They work on "Content Based Color Image Classification using SVM." They implement classification of image using SVM classifier in the colour content of image. They use optimal hyper planes technique thorough support vector machine. In this paper, they use color image classification on features extracted from histograms of color components. The benefit of using color image histograms are better efficiency, and insensitivity to small changes in camera view-point i.e. translation and rotation.
- (6) Siu-Yeung Cho inthe University of Nottingham Ningbo China in 2011. They research on "Content Based Structural Recognition for Flower Image Classification." In this paper, a study was made on a development of content based image retrieval system to characterize flower images efficiently. In this

- system, a method of structural pattern recognition based on probabilistic based recursive model is proposed to classify flower images.
- (7) Giuseppe Amato, Fabrizio Falchi and Claudio Gennaro in Pisa, Italy in 2011. They carried out work on "Geometric consistency checks for kNN based image classification relying on local features." In this paper In this paper we propose a technique that allows one to use access methods for similarity searching, such as those exploiting metric space properties, in order to perform KNN classification with geometric consistency checks.
- (8) Wang Xing Yuan, Chen Zhi feng and Yunjiao Jiao in China in 2011. They carried out work on "An effective method for colour image retrieval based on texture." They proposed that effective colour image retrieval method based on texture, which uses the colour occurrence matrix to extract the texture feature and measure the similarity of two colour images.
- (9) Yu Zeng , Jixian Zhang , J.L. Van Genderen , Guangliang Wang Chinese Academy of Surveying and Mapping, Beijing , P.R.China in 2012. They research on SVM-based Multi-textural Image Classification and Its Uncertainty Analysis. This paper presents a supervised image classification method which ismultiple and multi-scale texture features and support vector machines (SVM).
- (10)Masato Yonekawa and Hiroaki Kurokawa in the School of Computer Science, Tokyo University of Technology, Tokyo Japan in 2012. They proposed on "The Content-Based Image Retrieval using the Pulse Coupled Neural Network." In this paper they proposed a learning method to define the parameters in the PCNN for image matching. The learning method improves the performance of image matching using the PCNN. On the other hand, recently, a lot of researches on the Content-Based Image Retrieval (CBIR) have been studied.
- (11) Methaq Gaata ,Sattar Sadkhn, Saad Hasson Montpellier, France in 2012. They work on "Reference Quality Metric Based on Neural Network for Subjective Image Watermarking Evaluation." In this work the goal of IQA research is to design computational models which have ability to provide an automatic and efficient way to predict visual quality in a way that is consistent with subjective human evaluation.
- (12) R. Venkata Ramana Chary, D. Rajya Lakshmi and K.V.N. Sunitha Tiruvannamalai, TN., India In

December, 2012 carried out work on "Image Searching Based on Image Mean Distance Method." They discussed that when the size of database is increasing image similarity finding. It is big task for the researchers to give the efficient solutions. Content-Based Image Retrieval (CBIR) systems are used in order to retrieve image from image dataset. In our proposed method, we are utilizing clustering method for retrieve the images.

- (13) Mihir Jain,Rachid Benmokhtar,Patrick GrosINRIA Rennes in 2012. They carried out work on "Hamming Embedding Similarity-based Image Classification." They propose a novel image classification frame- work based on patch matching. More precisely, we adapt the Hamming Embedding technique, first introduced for image search to improve the bag-of-words representation. We propose a mapping method to cast the scores output by the Hamming technique into a proper similarity space.
- (14) Amel Znaidia, Aymen Shabou, Adrian Popescu, Hervé le Borgne, Céline Hudelot in france in 2012. They carried out work on "Multimodal Feature Generation Framework for Semantic Image". Classification unified framework which mixes textual and visual information in a seamless manner.
- (15)Feilong Cao,Bo liu and Dong Sun Park in china in 2012. They research on "Image classification based on effective extreme learning machine." In this work, a new image classification method is proposed based on extreme k means (EKM) and effective extreme learning machine. The proposed processes has image decomposition with curve let transform, reduces dimensiolity with discriminative locality alignment (DLA).
- (16)Yan leng, Xinyan Xu and Guanghui Qi in china in 2013. They carried out work on "Combining active learning and semi supervised learning to construct SVM classifier." In this work active semi supervised SVM algorithm perform much better than the pure SVM algorithm
- (17) Ming Hui Cheng,Kao Shing Hwang Jyh Horng Jeng and Nai Wei lin Taiwan in 2013. They work on "classification based video super resolution using artificial neural networks." In this study, they proposed to enhance low resolution to high resolution frames. The proposed method consists of four main steps classification motion trace volume collection temporal adjustment and ANN prediction classifier is designed based on the edge properties of a pixel in the frame to identify the spatial information.

- (18) Marko Tkalcic , AnteOdic, Andrej Kosir and Jurij Tasic member of IEEE in feb 2013. They carried out work on "Affective Labeling in a Content-Based Recommender System for Images." In this paper we present a methodology for the implicit acquisition of affective labels for images. It is based on an cotent detection technique that takes as input the video sequences of users facial expressions. It extracts Gabor low level features from the video frames and employs a k nearest neighbor's machine learning technique to generate affective labels in the valence-arousal-dominance space.
- (19) Serdar Arslan, Adnan Yazici, Ahmet Sacan, Ismail H, Toroslu Esra Acar in USA in 2013. They proposed work on "Comparison of feature based and image registration based retrieval of image data using multidimensional data access methods" They proposed that multidimensional scaling can achieve comparable accuracy while speeding up the query times significantly by allowing the use of spatial access methods.

# Comparison between RBF network and other classification technique:

- (1)The classification techniques are not providing better optimal result. Some techniques are traditional. Radial basis function network technique is artificial neural network technique. It is provide optical classification which is based on supervised learning and training data set.
- (2) As a SVM classifier SVM suffering two problems:
- (i) How to choose optimal feature sub set input.
  - (ii) How to set best kernel parameters.

These problems influence the performance and accuracy of support vector machine. Now the presampling of feature reduced the feature selection process of support vector machine for image classification.

(3) For the improvements of classification technique we used RBF neural network function for better prediction of feature used in image retrieval. Our proposed method optimized the feature selection process and finally sends data to multiclass classifier for classification of data.

### Conclusion

After survey of papers we find that Image is classified through its content like colour, texture, shape and size. In this paper, feature extraction of image is based on colour, shape and size content. Feature extraction of image is optimal. Optimal Feature of image is classified by RBF classifier. Classification of image is using RBF neural network. Radial basis network (RBF) is a artificial neural network technique. It is provide supervised classification of image features. The Gaussian distribution function is used in hidden unit of RBF network. Classifications of optimal feature of image are implemented by RBF algorithm. In Radial basis function, feature value is represented in matrix form. In this technique distance of pixel is measured optically with origin. This technique provides better performance and accuracy of image compare to KNN classification and **SVM** approach.For improvement of support vector machine classifier we used RBF neural network and POS optimization technique. Our empirical result shows better efficiency instead of support vector machine classifier. This approach provides better result of colour feature of image classification.

### **Future scope of work**

Radial basis function network have a hidden processing unit where apply different type of training algorithm. It increases image quality through modification of algorithm. Timing of classification can also improve compare to other classification technique. RBF network can be applied to other type of classification technique of image processing. The Accuracy of classification of image play vital role in medical field. RBF network and POS optimization technique using trained feature so this technique can be more enhancement are possible in the future.

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# A Survey: Various Techniques of Image Compression

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Abstract—This paper addresses about various image compression techniques. On the basis of analyzing the various image compression techniques this paper presents a survey of existing research papers. In this paper we analyze different types of existing method of image compression. Compression of an image is significantly different then compression of binary raw data. To solve these use different types of techniques for image compression. Now there is question may be arise that how to image compress and which types of technique is used. For this purpose there are basically two types are method are introduced namely lossless and lossy image compression techniques. In present time some other techniques are added with basic method. In some area neural network genetic algorithms are used for image compression.

Keywords-Image Compression; Lossless; Lossy; Redundancy; Benefits of Compression.

### I. INTRODUCTION

An image is an artifact that depicts or records visual perception. Images are important documents today; to work with them in some applications there is need to be compressed. Compression is more or less it depends on our aim of the application. Image compression plays a very important role in the transmission and storage of image data as a result of and storage limitations. The main aim of image compression is to represent an image in the fewest number of bits without losing the essential information content within an original image. Compression [3] techniques are being rapidly developed for compress large data files such as images. With the increasing growth of technology a huge amount of image data must be handled to be stored in a proper way using efficient techniques usually succeed in compressing images. There are some algorithms that perform this compression in different ways; some are lossless and lossy. Lossless keep the same information as the original image and in lossy some information loss when compressing the image. Some of these compression techniques are designed for the specific kinds of images, so they will not be so good for other kinds of images. In Some algorithms let us change few parameters they use to adjust the compression better to the image. Image compression

is an application of data compression that encodes the original image with fewer bits. The objective of image compression [1] is to reduce the redundancy of the image and to store or transmit data in an efficient form.

The compression ratio is defined as follows:

 $C_{r=} N1/N2$ 

where N1 is the data of the actual image and N2 is the data of compressed image.

### II. IMAGE COMPRESSION

Image compression addresses the problem of reducing the amount of information required to represent a digital image. It is a process intended to yield a compact representation of an image, thereby reducing the image storage transmission requirements. Every image will have redundant data. Redundancy means the duplication of data in the image. Either it may be repeating pixel across the image or pattern, which is repeated more frequently in the image. The image compression occurs by taking benefit of redundant information of in the image. Reduction of redundancy provides helps to achieve a saving of storage space of an image. Image compression is achieved when one or more of these redundancies are reduced or eliminated. In image compression, three basic data redundancies can be identified and exploited. Compression is achieved by the removal of one or more of the three basic data redundancies.

### A. Inter Pixel Redundancy

In image neighbouring pixels are not statistically independent. It is due to the correlation between the neighboring pixels of an image. This type of redundancy is called Inter-pixel redundancy. This type of redundancy is sometime also called spatial redundancy. This redundancy can be explored in several ways, one of which is by predicting a pixel value based on the values of its neighboring pixels. In order to do so, the original 2-D array of pixels is usually mapped into a different format, e.g., an array of differences between adjacent pixels. If the original image [20] pixels can be reconstructed from the transformed data set the mapping is said to be reversible.

### B. Coding Redundancy

Consists in using variable length code words selected as to match the statistics of the original source, in this case, the image itself or a processed version of its pixel values. This type of coding is always reversible and usually implemented using lookup tables (LUTs). Examples of image coding schemes that explore coding redundancy are the Huffman codes and the arithmetic coding technique.

### C. Psycho Visual Redundancy

Many experiments on the psycho physical aspects of human vision have proven that the human eye does not respond with equal sensitivity to all incoming visual information; some pieces of information are more important than others. Most of the image coding algorithms in use today exploit this type of redundancy, such as the Discrete Cosine Transform (DCT) based algorithm at the heart of the JPEG encoding standard.

### III. BENEFITS OF COMPRESSION

- It provides a believable cost savings involved with sending less data over the switched telephone network where the cost of the call is really usually based upon its duration.
- It not only reduces storage requirements but also overall execution time.
- It reduces the probability of transmission errors since fewer bits are transferred.
- It provides a level of security against unlawful monitoring.

### IV. COMPARISON BETWEEN LOSSLESS AND LOSSY TECHNIQUES

In lossless compression schemes, the reconstructed image, after compression, is numerically identical to the original image. However lossless compression can only a achieve a modest amount of compression. An image reconstructed following lossy compression contains degradation relative to the original. Often this is because the compression scheme completely discards redundant information. However, lossy schemes are capable of achieving much higher compression.

### A. Types of Image Compression

On the bases of our requirements image compression techniques are broadly bifurcated in following two major categories.

- Lossless image compression
- Lossy image compression

### 1) Lossless Compression Techniques:

Lossless compression compresses the image by encoding all the information from the original file, so when the image is decompressed, it will be exactly identical to the original image. Examples of lossless [2] image compression are PNG and GIF. When to use a certain image compression format really depends on what is being compressed.

a) Run Length Encoding: Run-length encoding (RLE) is a very simple form of image compression in which runs of data are stored as a single data value and count, rather than as the original run. It is used for sequential [19] data and it is helpful for repetitive data. In this technique replaces sequences of identical symbol (pixel), called runs. The Run length code for a grayscale image is represented by a sequence { Vi, Ri} where Vi is the intensity of pixel and Ri refers to the number of consecutive pixels with the intensity Vi as shown in the figure. This is most useful on data that contains many such runs for example, simple graphic images such as icons, line drawings, and animations. It is not useful with files that don't have many runs as it could greatly increase the file size. Run-length encoding performs lossless image compression [4]. Runlength encoding is used in fax machines.

	65	65	65	70	70	70	70	72	72	72
[65,3] [70,4] [				{72,	,3}					

- b) Entropy Encoding: In information theory an entropy encoding is a lossless data compression scheme that is independent of the specific characteristics of the medium. One of the main types of entropy coding creates and assigns a unique prefix-free code for each unique symbol that occurs in the input. These entropy encoders then compress the image by replacing each fixed-length input symbol with the corresponding variable-length prefix free output codeword.
- c) Huffman Encoding: In computer science and information theory, Huffman coding is an entropy encoding algorithm used for lossless data compression. It was developed by Huffman. Huffman coding [8] today is often used as a "back-end" to some other compression methods. The term refers to the use of a variable-length code table for encoding a source symbol where the variable-length code table has been derived in a particular way based on the estimated probability of occurrence for each possible value of the source symbol. The pixels in the image are treated as symbols. The symbols which occur more frequently are assigned a smaller number of bits, while the symbols that occur less frequently are assigned a relatively larger number of bits. Huffman code is a prefix code. This means that the (binary) code of any symbol is not the prefix of the code of any other symbol.
- d) Arithmetic Coding: Arithmetic coding is a form of entropy encoding used in lossless data compression. Normally, a string of characters such as the words "hello there" is represented using a fixed number of bits per character, as in the ASCII code. When a string is converted to arithmetic encoding, frequently used characters will be stored with little

bits and not-so-frequently occurring characters will be stored with more bits, resulting in fewer bits used in total. Arithmetic coding differs from other forms of entropy encoding such as Huffman coding [10] in that rather than separating the input into component symbols and replacing each with a code, arithmetic coding encodes the entire message into a single number.

e) Lempel-Ziv-Welch Coding: Lempel-Ziv-Welch (LZW) is a universal lossless data compression algorithm created by Abraham Lempel, Jacob Ziv, and Terry Welch. It was published by Welch in 1984 as an improved implementation of the LZ78 algorithm published by Lempel and Ziv in 1978. LZW is a dictionary based coding. Dictionary based coding can be static or dynamic. In static dictionary coding, dictionary is fixed when the encoding and decoding processes. In dynamic dictionary coding, dictionary is updated on fly. The algorithm is simple to implement, and has the potential for very high throughput in hardware implementations. It was the algorithm of the widely used UNIX file compression utility compress, and is used in the GIF image format. LZW compression became the first widely used universal image compression method on computers. A large English text file can typically be compressed via LZW to about half its original size.

### 2) Lossy Compression Techniques:

Lossy compression as the name implies leads to loss of some information. The compressed image is similar to the original uncompressed image but not just like the previous as in the process of compression [9] some information concerning the image has been lost. They are typically suited to images. The most common example of lossy compression is JPEG. An algorithm that restores the presentation to be the same as the original image are known as lossy techniques. Reconstruction of the image is an approximation of the original image, therefore the need of measuring of the quality of the image for lossy compression technique. Lossy compression technique provides a higher compression ratio than lossless compression.

Major performance considerations of a lossy compression scheme include:

- Compression ratio
- Signal to noise ratio
- Speed of encoding & decoding

Lossy image compression techniques include following schemes:

a) Scalar Quantization: The most common type of quantization is known as scalar quantization. Scalar quantization, typically denoted as Y=Q (x), is the process of using a quantization function Q to map a scalar (one-dimensional) input value x to a scalar output value Y. Scalar quantization can be as simple and intuitive as rounding high-precision numbers to the nearest integer, or to the nearest multiple of some other unit of precision.

b) Vector Quantization: Vector quantization (VQ) is a classical quantization technique from signal processing which allows the modeling of probability density functions by the distribution of prototype vectors. It was originally used for image compression. It works by dividing a large set of points (vectors) into groups having approximately the same number of points closest to them. The density matching property of vector quantization is powerful, especially for identifying the density of large and high-dimensioned data. Since data points are represented by the index of their closest centroid, commonly occurring data have low error, and rare data high error. This is why VQ is suitable for lossy data compression. It can also be used for lossy data correction and density estimation.

### V. LITERATURE SURVEY

In 2010, Jau-Ji Shen et al presents vector quantization based image compression technique [5]. In this paper they adjust the encoding of the difference map between the original image and after that it's restored in VQ compressed version. Its experimental results show that although there scheme needs to provide extra data, it can substantially improve the quality of VQ compressed images, and further be adjusted depending on the difference map from the lossy compression to lossless compression.

In 2011, Suresh Yerva, et al presents the approach of the lossless image compression using the novel concept of image [6] folding. In this proposed method uses the property of adjacent neighbor redundancy for the prediction. In this method, column folding followed by row folding is applied iteratively on the image till the image size reduces to a smaller pre-defined value. The proposed method is compared with the existing standard lossless image compression algorithms and the results show comparative performance. Data folding technique is a simple approach for compression that provides good compression efficiency and has lower computational complexity as compared to the standard SPIHT technique for lossless compression.

In 2012, Firas A. Jassim, et al presents a novel method for image compression which is called five module method (FMM). In this method converting each pixel value in 8x8 blocks [7] into a multiple of 5 for each of RGB array. After that the value could be divided by 5 to get new values which are bit length for each pixel and it is less in storage space than the original values which is 8 bits. This paper demonstrates the potential of the FMM based image compression techniques. The advantage of their method is it provided high PSNR (peak signal to noise ratio) although it is low CR (compression ratio). This method is appropriate for bi-level like black and white medical images where the pixel in such images is presented by one byte (8 bit). As a recommendation, a variable module method (X) MM, where X can be any number, may be constructed in latter research.

In 2012, Ashutosh Dwivedi, et al presents a novel hybrid image compression technique. This technique inherits the properties of localizing the global spatial and frequency correlation from wavelets and classification and function approximation tasks from modified forward-only counter propagation neural network (MFOCPN) for image compression. In this scheme several tests are used to investigate the usefulness of the proposed scheme. In this paper, they explore the use of MFO-CPN [11] networks to predict wavelet coefficients for image compression. In this method, they combined the classical wavelet based method with MFO-CPN. The performance of the proposed network is tested for three discrete wavelet transform functions. In this they analysis that Haar wavelet results in higher compression ratio but the quality of the reconstructed image is not good. On the other hand db6 with the same number of wavelet coefficients leads to higher compression ratio with good quality. Overall they found that the application of db6 wavelet in image compression out performs other two.

In 2012, Yi-Fei Tan, et al presents image compression technique based on utilizing reference points coding with threshold values. This paper intends to bring forward an image compression method which is capable to perform both lossy and lossless compression. A threshold [12] value is associated in the compression process, different compression ratios can be achieved by varying the threshold values and lossless compression is performed if the threshold value is set to zero. The proposed method allows the quality of the decompressed image to be determined during the compression process. In this method If the threshold value of a parameter in the proposed method is set to 0, then lossless compression is performed. Lossy compression is achieved when the threshold value of a parameter assumes positive values. Further study can be performed to calculate the optimal threshold value T that should be used.

In 2012, S.Sahami, et al presents a bi-level image compression techniques using neural networks". It is the lossy image compression technique. In this method, the locations of pixels of the image are applied to the input of a multilayer perceptron neural network [13]. The output the network denotes the pixel intensity 0 or 1. The final weights of the trained neuralnetwork are quantized, represented by few bites, Huffman encoded and then stored as the compressed image. Huffman encoded and then stored as the compressed image. In the decompression phase, by applying the pixel locations to the trained network, the output determines the intensity. The results of experiments on more than 4000 different images indicate higher compression rate of the proposed structure compared with the commonly used methods such as comite consultatif international telephonique of telegraphique graphique (CCITT) G4 and joint bi-level image expert group (JBIG2) standards. The results of this technique provide High compression ratios as well as high PSNRs were obtained using the proposed method. In the future they will use activity,

pattern based criteria and some complexity measures to adaptively obtain high compression rate.

In 2013, C. Rengarajaswamy, et al presents a novel technique in which done encryption and compression of an image. In this method stream cipher is used for encryption of an image after that SPIHT [14] is used for image compression. In this paper stream cipher encryption is carried out to provide better encryption used. SPIHT compression provides better compression as the size of the larger images can be chosen and can be decompressed with the minimal or no loss in the original image. Thus high and confidential encryption and the best compression rate has been energized to provide better security the main scope or aspiration of this paper is achieved.

In 2013, S. Srikanth, et al presents a technique for image compression which is use different embedded Wavelet based image coding with Huffman-encoder for further compression. In this paper they implemented the SPIHT and EZW algorithms with Huffman encoding [15] using different wavelet families and after that compare the PSNRs and bit rates of these families. These algorithms were tested on different images, and it is seen that the results obtained by these algorithms have good quality and it provides high compression ratio as compared to the previous exist lossless image compression techniques.

In 2013, Pralhadrao V Shantagiri, et al presents a new spatial domain of lossless image compression algorithm for synthetic color image of 24 bits. This proposed algorithm use reduction of size of pixels for the compression of an image. In this the size of pixels [16] is reduced by representing pixel using the only required number of bits instead of 8 bits per color. This proposed algorithm has been applied on asset of test images and the result obtained after applying algorithm is encouraging. In this paper they also compared to Huffman, TIFF, PPM-tree, and GPPM. In this paper, they introduce the principles of PSR (Pixel Size Reduction) lossless image compression algorithm. They also had shows the procedures of compression and decompression of their proposed algorithm. Future work of this paper uses the other tree based lossless image compression algorithm.

In 2013, K. Rajkumar, et al presents an implementation of multiwavelet transform coding for lossless image compression. In this paper the performance of the IMWT (Integer Multiwavelet Transform) for lossless studied. The IMWT provides good result with the image reconstructed. In this paper the performance of the IMWT [17] for lossless compression of images with magnitude set coding have been obtained. In this proposed technique the transform coefficient is coded with a magnitude set of coding & run length encoding technique. The performance of the integer multiwavelet transform for the lossless compression of images was analyzed. It was found that the IMWT can be used for the lossless image compression. The bit rate obtained using the

MS-VLI (Magnitude Set-Variable Length Integer Representation) with RLE scheme is about 2.1 bpp (bits per pixel) to 3.1 bpp less then that obtain using MS-VLI without RLE scheme.

In 2013 S. Dharanidharan, et al presents a new modified international data encryption algorithm to encrypt the full image in an efficient secure manner, and encryption after the original file will be segmented and converted to other image file. By using Huffman algorithm the segmented image files are merged and they merge the entire segmented image to compress into a single image. Finally they retrieve a fully decrypted image. Next they find an efficient way to transfer the encrypted images to multipath routing techniques. The above compressed image has been sent to the single pathway and now they enhanced with the multipath routing algorithm, finally they get an efficient transmission and reliable, efficient image.

### VI. CONCLUSION

This paper presents various techniques of image compression. These are still a challenging task for the researchers and academicians. There are mainly two types of image compression techniques exist. Comparing the performance of compression technique is difficult unless identical data sets and performance measures are used. Some of these techniques are obtained good for certain applications like security technologies. After study of all techniques it is found that lossless image compression techniques are most effective over the lossy compression techniques. Lossy provides a higher compression ratio than lossless.

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# OPTIMIZATION OF REAL-TIME APPLICATION NETWORK USING RSVP

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Abstract-In this research work Resource Reservation Protocol (RSVP) - which works on receiver - oriented approach is used. Two different networks have been designed and implemented using OPNET. In the first scenario the client are available with and without the use of RSVP. In this scenario, the parameters that have been selected, simulated and analyzed are reservation status message, reservation and path states in all value mode, traffic delay experienced in the form of end-to-end delay parameter with and without the use of RSVP, packet delay variation with and without RSVP. The analysis reveal that the attempted reservation status was successful, the number of reservation and path states were one, the end-to-end delay with the use of RSVP was comparatively lower than with the use of RSVP and also the packet delay variation for node with RSVP was lower than that of the node not using RSVP. In another scenario the network was duplicated but the link used for connecting the subnets was changed from DS1 (1.544 Mbps) to DS3 (44.736 Mbps). The parametric analysis indicated that endto-end delay, Packet delay variation for the network with DS3 as the link, was lower than the network with DS1.

### Keywords: RSVP, OPNET

### I. INTRODUCTION

An internetwork is a collection of individual networks, connected by intermediate networking devices, that functions as a single large network. Internetworking refers to the industry, products, and procedures that meet the challenge of creating and administering internetworks. Figure 1 illustrates some different kinds of network technologies that can be interconnected by routers and other networking devices to create an internetwork. Implementing a functional internetwork is no simple task. Many challenges must be faced, especially in the areas of connectivity, reliability, network management, and flexibility. Each area is a key in establishing an efficient and effective internetwork. The challenge when connecting various systems is to support communication among disparate technologies. Different sites, for example, may use different types of media operating at varying speeds, or may even include different types of systems that need to communicate. Because companies rely heavily on data communication,

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internetworks must provide a certain level of reliability. This is an unpredictable world; so many large internetworks include redundancy to allow for communication even when problems occur.

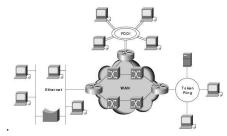


Figure 1: Internetwork using different Network Technologies

Furthermore, network management must provide centralized support and troubleshooting capabilities in an internetwork. Configuration, security, performance, and other issues must be adequately addressed for the internetwork to function smoothly. Security within an internetwork is essential. Because nothing in this world is stagnant, internetworks must be flexible enough to change with new demands.

### I.1 ROUTING PROTOCOLS

The OSI model provides a conceptual framework for communication between computers, but the model itself is not a method of communication. Actual communication is made possible by using communication protocols. In the context of data networking, a protocol is a formal set of rules and conventions that governs how computers exchange information over a network medium. A protocol implements the functions of one or more of the OSI layers. A wide variety of communication protocols exist. Some of these protocols include LAN protocols, WAN protocols, network protocols, and routing protocols.

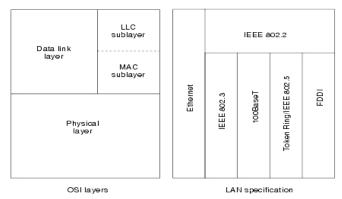


Figure 2: LAN Protocols Mapped to the OSI Reference Model

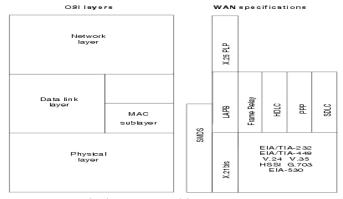


Figure3: WAN Technologies in OSI Model

LAN protocols operate at the physical and data link layers of the OSI model and define communication over the various LAN media. WAN protocols operate at the lowest three layers of the OSI model and define communication over the various wide-area media. Routing protocols are network layer protocols that are responsible for exchanging information between routers so that the routers can select the proper path for network traffic. Finally, network protocols are the various upper-layer protocols that exist in a given protocol suite. Many protocols rely on others for operation. For example, many routing protocols use network protocols to exchange information between routers. Figure 2 illustrates how several popular LAN protocols map to the OSI reference model. Figure 3 illustrates the relationship between the common WAN technologies and the OSI model. Routing algorithms often have one or more of the following design goals:

- Optimality
- Simplicity and low overhead
- Robustness and stability
- Rapid convergence
- Flexibility

### II. ROUTED PROTOCOLS

Routed protocols are transported by routing protocols across an internetwork. In general, routed protocols in this context also are referred to as network protocols. These network protocols perform a variety of functions required for communication between user applications in source and destination devices, and these functions can differ widely among protocol suites. Network protocols occur at the upper five layers of the OSI reference model: the network layer, the transport layer, the session layer, the presentation layer, and the application layer. Confusion about the terms routed protocol and routing protocol is common. Routed protocols are protocols that are routed over an internetwork. Examples of such protocols are the Internet Protocol (IP), DECnet, AppleTalk, Novell NetWare, OSI, Banyan VINES, and Xerox Network System (XNS). Routing protocols, on the other hand, are protocols that implement routing algorithms. Put simply, routing protocols are used by intermediate systems to build tables used in determining path selection of routed protocols. Examples of these protocols include Interior Gateway Routing Protocol (IGRP), Enhanced Interior Gateway Routing Protocol (Enhanced IGRP), Open Shortest Path First (OSPF), Exterior Gateway Protocol (EGP), Border Gateway Protocol (BGP), Intermediate System-to-Intermediate System (IS-IS), and Routing Information Protocol (RIP).

### II.1 RSVP PROTOCOL

The Resource Reservation Protocol (RSVP) is a Transport Layer protocol designed to reserve resources across a network for an integrated services Internet. RSVP operates over an IPv4 or IPv6 Internet Layer and provides receiver-initiated setup of resource reservations for multicast or unicast data flows with scaling and robustness. It does not transport application data but is similar to a control protocol, like Internet Control Message Protocol (ICMP) or Internet Group Management Protocol (IGMP). RSVP is described in RFC 2205. RSVP can be used by either hosts or routers to request or deliver specific levels of quality of service (OoS) for application data streams or flows. RSVP defines how applications place reservations and how they can relinquish the reserved resources once the need for them has ended. RSVP operation will generally result in resources being reserved in each node along a path. RSVP is not a routing protocol and was designed to inter-operate with current and future routing protocols. RSVP by itself is rarely deployed in telecommunications networks today but the traffic engineering extension of RSVP, or RSVP-TE, is becoming more widely accepted nowadays in many QoS-oriented networks. Next Steps in Signaling (NSIS) is a replacement for RSVP. The Resource Reservation Protocol (RSVP) is a network-control protocol that enables Internet applications to

obtain differing qualities of service (QoS) for their data flows. Such a capability recognizes that different applications have different network performance requirements. Some applications, including the more traditional interactive and batch applications, require reliable delivery of data but do not impose any stringent requirements for the timeliness of delivery. Newer application types, including videoconferencing, IP telephony, and other forms of multimedia communications require almost the exact opposite: Data delivery must be timely but not necessarily reliable. Thus, RSVP was intended to provide IP networks with the capability to support the divergent performance requirements of differing application types.

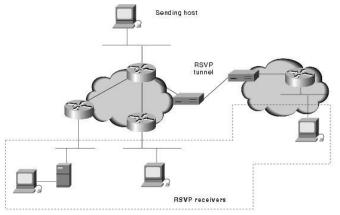


Figure4: RSVP Data Flows

It is important to note that RSVP is not a routing protocol. RSVP works in conjunction with routing protocols and installs the equivalent of dynamic access lists along the routes that routing protocols calculate. Thus, implementing RSVP in an existing network does not require migration to a new routing protocol. In RSVP, a data flow is a sequence of datagram's that have the same source, destination (regardless of whether that destination is one or more physical machines), and quality of service. QoS requirements are communicated through a network via a flow specification, which is a data structure used by internetwork hosts to request special services from the internetwork. A flow specification describes the level of service required for that data flow. This description takes the form of one of three traffic types. These traffic types are identified by their corresponding RSVP class of service:

- 1. Best-effort
- 2. Rate-sensitive
- 3. Delay-sensitive

Best-effort traffic is traditional IP traffic. Applications include file transfer (such as mail transmissions), disk mounts, interactive logins, and transaction traffic. These types of applications require reliable delivery of data regardless of the amount of time needed to achieve that delivery. Best-effort traffic types rely upon the native TCP mechanisms to

re-sequence data-grams received out of order, as well as to request retransmissions of any data-grams lost or damaged in transit. Rate-sensitive traffic requires a guaranteed transmission rate from its source to its destination. An example of such an application is H.323 videoconferencing, which is designed to run on ISDN (H.320) or ATM (H.310), but is also found on the Internet and many IP-based intranets. H.323 encoding is a constant (or nearly constant) rate, and it requires a constant transport rate such as is available in a circuit-switched network. By its very nature, IP is packet-switched. Thus, it lacks the mechanisms to support a constant bit rate of service for any given application's data flow. RSVP enables constant bit-rate service in packet-switched networks via its rate-sensitive level of service. This service is sometimes referred to as guaranteed bit-rate service.

Delay-sensitive traffic is traffic that requires timeliness of delivery and that varies its rate accordingly. MPEG-II video, for example, averages about 3 to 7 Mbps, depending on the amount of change in the picture. As an example, 3 Mbps might be a picture of a painted wall, although 7 Mbps would be required for a picture of waves on the ocean. MPEG-II video sources send key and delta frames. Typically, 1 or 2 key frames per second describe the whole picture, and 13 or 28 frames (known as delta frames) describe the change from the key frame. Delta frames are usually substantially smaller than key frames. As a result, rates vary quite a bit from frame to frame. A single frame, however, requires delivery within a specific time frame or the CODEC (code-decode) is incapable of doing its job. A specific priority must be negotiated for delta-frame traffic. RSVP services supporting delay-sensitive traffic are referred to as controlled-delay service (non-real-time service) and predictive service (real-time service).

#### III. PRESENT WORK

The Resource Reservation Protocol (RSVP) is a Transport Layer protocol designed to reserve resources across a network for an integrated services approach. It works on the policy of the receiver-oriented approach. In this approach the receivers keep a track of their own resource requirements and they periodically send refresh messages to keep the soft state in place. RSVP uses the concept of a "soft state", and that all states are refreshed every "Refresh Interval" seconds. If the routes do not change during the course of simulation (i.e. no failure/recovery or load balancing is used in the system), and if there is no packet loss in the network, then it can be assumed that all Path and Reservation states will not change during the lifetime of a session unless they are deleted. In such a scenario, there is no need to send refresh messages. This decreases the simulation time and memory requirements. RSVP Simulation Efficiency attribute, if enabled, no refresh messages are sent, and no Path and Reserve refreshes are scheduled. RSVP

Simulation Efficiency attribute, if disabled, refresh messages are generated. In this thesis different network scenarios have been simulated that carries real-time applications. These network scenarios utilize RSVP to provide QoS to different types of applications like audio, video or data transfer. This thesis focuses on how RSVP helps in optimizing the performance of the applications utilizing this protocol. The statistics which can be/are measured to study RSVP behavior are:

- RSVP Global Statistics capture the total amount of RSVP traffic sent and received in the whole network. These statistics show the message overhead of RSVP processing in the network.
- RSVP Node Statistics can be divided into three groups: message statistics, state statistics, and reservation statistics.
- Message statistics show the number of RSVP message (Path, Resv, Confirmation and total) received or sent by a node.
   State statistics show the number of RSVP states (Path, Resv and Blockade), collected as average value over a period of time
- Reservation statistics show the number of successful or rejected reservations, collected as average values over a period of time.
- IP Interface RSVP Statistics show the RSVP Allocated Bandwidth (bytes/sec) and Buffer Size (bytes) for each interface.

The goal in this simple RSVP scenario is to:

- Observe whether traffic using RSVP reservation experiences less delay than traffic that does not use RSVP reservations in a heavily loaded network,
- Highlight some configuration aspects, and
- Collect and discuss selected RSVP statistics.

RSVP configuration has following certain configuration aspects of this scenario should be noted:

- RSVP should be supported on all host interfaces and on the active interfaces of routers that support RSVP. This is done by editing the Interface Information table on the nodes.
- RSVP should be supported for the audio application. This is configured in the Voice Application Definition table.
- RSVP should be supported on all receivers and on any senders that will make reservations.

The proposed scenario is as shown in figure 5.

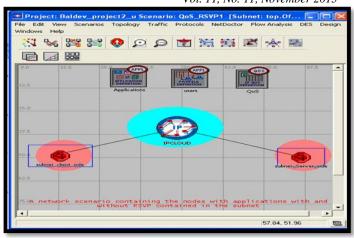


Figure5: Scenario.

### IV. RESULTS

RSVP is a transport layer protocol that enables a network to provide differentiated levels of service to specific flows of data. Ostensibly, different application types have different performance requirements. RSVP acknowledges these differences and provides the mechanisms necessary to detect the levels of performance required by different applications and to modify network behaviors to accommodate those required levels. Over time, as time and latency-sensitive applications mature and proliferate, RSVP's capabilities will become increasingly important.

Reservation Status Messages: For any attempted reservation you should obtain a log messages indicating whether or not the reservation was successful.

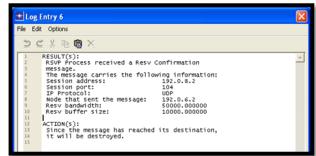


Figure 1: Simulation Log Message for a Successful Reservation

If the reservation was successful, the message gives the IP address of the node which sent the Reservation Confirmation message and the reservation parameters. If the reservation was unsuccessful, the message gives the reason the reservation was not made, the requested traffic parameters, and the IP address of the node which refused the reservation.

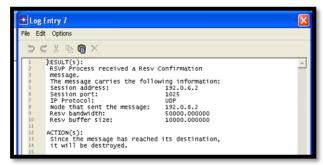


Figure 2: Simulation Log Message for a Successful Reservation

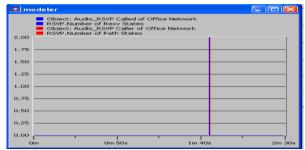


Figure 3: Reservation and Path States on Router

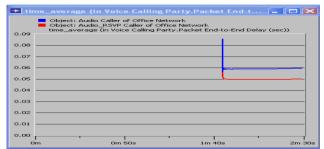


Figure 4: End to End Delay for Traffic: with and Without RSVP

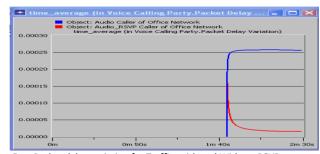


Figure 5: Packet delay variation for Traffic: with and Without RSVP

As expected, each Path state was created before its corresponding Reservation state. Since the reservation was made for traffic in both directions, the number of Path and Reservation states is one. The reservation was made for bandwidth 5,000 bytes/sec, and buffer size 10,000 bytes.

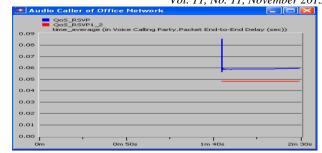


Figure6: End to End Delay variation for DS1 (1.544 Mbps) and DS3 (44.736 Mbps) link

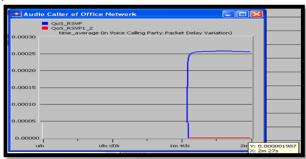


Figure7: Packet delay variation for DS1 (1.544 Mbps) and DS3 (44.736 Mbps) link

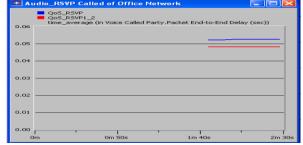


Figure8: End to End Delay variation for DS1 (1.544 Mbps) and DS3 (44.736 Mbps) link

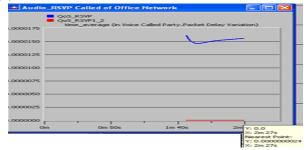


Figure9: Packet delay variation for DS1 (1.544 Mbps) and DS3 (44.736 Mbps) link

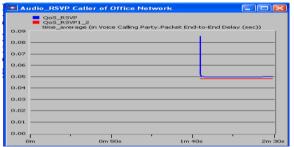


Figure 10: End to End Delay variation for DS1 (1.544 Mbps) and DS3 (44.736 Mbps) link

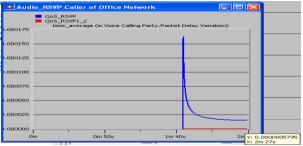


Figure11: Packet delay variation for DS1 (1.544 Mbps) and DS3 (44.736 Mbps) link

The diagram shows the number of Reservation and Path States on client Router. This statistics was collected in "All Values" Mode. The following diagrams compare the traffic delay experienced using RSVP with the delay experienced not using RSVP. As expected, traffic using RSVP reservation experienced less delay. The Packet delay variation i.e. Variance among end to end delays for voice packets received by this node. End to end delay for a voice packet is measured from the time it is created to the time it is received. This statistic records data from all the nodes in the network.

### V. CONCLUSIONS & FUTURE SCOPE

The analysis reveal that the attempted reservation status was successful, the number of reservation and path states were one, the end-to-end delay with the use of RSVP was comparatively lower than with the use of RSVP and also the packet delay variation for node with RSVP was lower than that of the node not using RSVP. In another scenario the network designed was same as the previous scenario but the link used for connecting the subnets was changed from DS1 (1.544 Mbps) to DS3 (44.736 Mbps). The parametric analysis on simulation indicated that end-to-end delay, Packet delay variation for the network with DS3 as the link was lower than the network with DS1. The model does not support the features like Policy control, • Static configuration of reservations, In order for RSVP process to run on nodes, RSVP must be supported on the interface, and either the WFO or Custom Queuing scheme must be used for packet scheduling. RSVP must be supported on the sender and the receiver for RSVP session. The possible modifications in this work can be to determine the non-RSVP hop affect on the end-to-end delay and throughput, the effect of different reservation parameters on delay and throughput, how does making reservation in only one direction affect delay?

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# A NEW SCALABLE AND EFFICIENT IMAGE ENCRYPTION SCHEME USING POLY SUBSTITUTION METHOD AND GENETIC ALGORITHM

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Abstract- In today's world of information technology image encryption can be used for providing privacy and for protecting intellectual properties. During the transmission of images the threat of unauthorized access may increase significantly. Image encryption can be used to minimize these problems. In the proposed scheme of image encryption using poly substitution method we propose the possibility of taking the advantages of genetic algorithm features. In poly alphabetic substitution ciphers the plaintext letters are enciphered differently depending upon their placement in the text. As the name poly alphabetic suggests this is achieved by using several two, three keys and random keys combinations.

Key words: Image Encryption, Decryption, Genetic algorithm, poly substitution.

#### I. INTRODUCTION

The demand for effective network security is increasing exponentially day by day. Businesses have an obligation to protect sensitive data from loss or theft. Not only businesses see to the security needs; they have to understand where the computer is vulnerable and how to protect it. In the present scenario, where a user needs to be connected anyhow, anywhere, anytime. Network security research is focusing on four general security services that encompassing the various functions required of an information security facility [4]. Most useful classification of security services are a high level of confidentiality, integrity, non repudiation, access control, availability and authenticity to information that is exchanges over networks.

A part from need for security as stated above image encryption also plays a vital role [6]. The advantages of digital images are that the processing of images is faster and cost effective, can be effectively stored and efficiently transmitted from one place to another, when shooting a digital image, one can immediately see if the image is good or not, copying a digital images is easy, the quality of the digital image will not be degraded even if it is copied for several times, finally digital technology offers plenty of scope for versatile image manipulation. With all this additive advantages keeping aside misuse of copyright has become easier because images can be copied from the internet just by clicking the mouse a couple of times so the security of the image processing has become a challenging task which is being achieved by image encryption.

#### II. PROPOSED METHOD

In this approach image is encrypted by using the Poly substitution method and genetic algorithm. This strengthens the confidentiality of the data, which is the prime necessity of any organization looking forward for data security. Figure 1 represents the block diagram of the encryption and decryption process of the biometric image of retina of an individual which is taken as input.

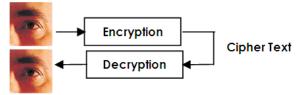


Figure 1 Block diagram of the proposed method.

#### 2.1 Genetic Algorithm

The genetic algorithm is employed for providing optimization solution. This is a search algorithm based on the mechanics of natural selection and natural genetics. The genetic algorithm belongs to the family of evolutionary algorithms, along with genetic programming, evolution strategies and evolutionary programming [3]. **Evolutionary** algorithms can be considered as a broad cast of stochastic optimization techniques. An evolutionary algorithm maintains a population of candidate's solutions for the problem at hand. The population is then evolved by the iterative application of a set of stochastic operators. The set of operators usually consists of mutation, recombination, and selection or something very similar.

# 2.2 Poly Substitution Method 2.2.1 Encryption Process

In poly alphabetic substitution ciphers the plaintext letters are enciphered differently depending upon their placement in the text. As the name poly alphabetic suggests this is achieved by using several two, three keys and random keys combinations instead of just one. Conceal the context of some message from all except the sender and recipient (privacy or secrecy), and/or verify the correctness of a message to the recipient (authentication) forms the basis of many technological solutions to computer

and communications security problems. Out of various classical encryption techniques different substitution ciphers types are existing namely Mono Alphabetic Substitution Cipher, Homophonic Substitution Cipher, PolyGram Substitution Cipher, Transposition Cipher, Poly Alphabetic Substitution Cipher[1].

Characteristics of poly alphabetic substitution

- In general uses more than one substitution alphabet this makes cryptanalysis harder
- Since same plain text letter gets replaced by several cipher ext letter depending on which alphabet is used this gives the added advantage of flattening the frequency distribution.

#### Example

Consider a text word "welcome", take e1, e2, e3 as keys which are assigned as a, b, c for e1, e2, e3 respectively. Let ASCII value of e1 be 97 and e2 be 98 and e3 be 99 and take the text, add ASCII value of e1 to value of first character, and e2 to second character and e3 to third character, alternatively add the value of e1, e2, e3 to consecutive characters. Three layers to be applied to each three consecutive letters and same to be continued thru the remaining text. After adding ASCII value of all values of given text, the resultant text is an encrypted message, and it generate a combination of 3\* (256 \* 256 \* 256) letters encrypted coded text with 128 bit manner. To embedded the randomness use the feature of genetic algorithm [2][3]. Transposition takes place in each character after all the process is over that is moves or change one bit either LSB or MSB, the end result is increasing security. Finally takes the decimal values of each updated character in the given text .this text can be called as cipher text [5]. Encryption results are shown in the table below.

#### **Encryption Result**

Charac ter	ASCII values	Added continuati on .letter	Binary values	Alter LSB	Result
W	87	184	10111000	10111001	185
Е	69	167	10100111	10100110	166
L	76	175	10101111	10101110	174
C	67	164	10100100	10100101	165
О	79	177	10110001	10110000	176
M	77	176	10110000	10110001	177
Е	69	166	10100110	10100111	167

The Encrypted message is {185, 166, 174, 165, 176, 177, 167}

#### 1.2 Decryption Process:

Consider the ASCII values of each updated character in the given text and converted into binary format. Transposition takes place in each character after all the processes are over that is moves or change one bit

either LSB or MSB. Subtract ASCII value of all values of given text, the resultant text is a decrypted messages, and it generate a combination of 3\*(256 \* 256 \* 256) letters decrypted coded text. Three layers to be applied to each three consecutive letters and same to be continued thru the remaining text. Subtract ASCII value of e1 from the value of first character, and e2 from the second character and e3 from third character, alternatively subtract the value of e1, e2, e3 to consecutive characters. Transposition takes place in each character after all the process are over that is moves or change one bit either LSB or MSB, the end result is some binary value Finally takes the decimal values of each updated binary value in the given text and print. Decrypted message "Welcome" and this process shown in table below.

#### **Decryption Results**

Cipher	Binary	Alter LSB	Subtr	Remainin	Plai
result	values		act	g ASCII	n
			con.	values	text
			letter		
185	10111001	10111000	184	87	W
166	10100110	10100111	167	69	Е
174	10101110	10101111	175	76	L
165	10100101	10100100	164	67	C
176	10110000	10110001	177	79	О
177	10110001	10110000	176	77	M
167	10100111	10100110	166	69	Е

The Plain text is "WELCOME"

#### III. EXPERIMENTAL RESULTS

Pixel	Image pixel	Added	Binary	Alter MSB	Result
SNO	value	continuation	representation		
		letter			
1	00000000	A	01100001	11100001	225
2	00000111	b	01101001	11101001	233
3	111111111	c	01100010	11100010	226
4	11111111	d	01100011	11100011	227
5	00000000	e	01100101	11100101	229
6	00000111	a	01101000	11101000	232
7	111111111	b	01100001	11100001	225
8	11111111	С	01100010	11100010	226
9	00000000	d	01100100	11100100	228
10	00000111	e	01101100	11101100	236
11	11111111	a	01100000	11100000	224
12	11111111	b	01100001	11100001	225
13	00000000	c	01100011	11100011	227
14	00000001	d	01100101	11100101	229
15	11111111	e	01100100	11100100	228
16	11111111	a	01100000	11100000	224
17	00000000	b	01100010	11100010	226
18	00000000	c	01100011	11100011	227
19	00111111	d	10100011	00100011	35
20	11111111	e	01100100	11100100	228
21	00000000	a	01100001	11100001	225
22	00000000	b	01100010	11100010	226
23	00001111	c	01110010	11110010	242
24	11111111	d	01100011	11100011	227
25	00000000	e	01100101	11100101	229
26	00000000	a	01100001	11100001	225
27	00000011	b	01100101	11100101	229
28	11111111	c	01100010	11100010	226
29	00000000	d	01100100	11100100	228
30	00000000	e	01100101	11100101	229
31	00000001	a	01100010	11100010	226
32	11111111	b	01100001	11100001	225
33	00000000	c	01100011	11100011	227
34	00000000	d	01100100	11100100	228
35	00000001	e	01100110	11100110	230
36	11111111	a	01100000	11100000	224

Pixel SNO	Image pixel value	Added continuation letter	Binary representation	Alter MSB	Result
37	00000000	b	01100010	11100010	226
38	00000000	с	01100011	11100011	227
39	00000001	d	01100101	11100101	229
40	11111111	e	01100100	11100100	228
41	00000000	a	01100001	11100001	225
42	00000000	b	01100010	11100010	226
43	00000001	c	01100100	11100100	228
44	11111111	d	01100010	11100100	227
45	00000000	e	01100101	11100101	229
46	0000000	a	01100101	11100101	225
47	00000001	b	01100001	11100001	227
48					
	11111111	С	01100010	11100010	226
49	00000000	d	01100100	11100100	228
50	00000000	e	01100101	11100101	229
51	00001111	a	01110000	11110000	240
52	11111111	b	01100001	11100001	225
53	00000000	c	01100011	11100011	227
54	00000000	d	01100100	11100100	228
55	00001111	e	01110100	11110100	244
56	111111111	a	01100000	11100000	224
57	00000000	b	01100010	11100010	226
58	00000000	c	01100011	11100011	227
59	11111111	d	01100011	11100011	227
60	00000011	e	01101000	111010001	232
61	00000011	a	01100001	11101000	225
62	0000000	b	01100001	11100001	226
63	0000000	c			232
			01101000	11101000	
64	11111111	d	01100011	11100011	227
65	01100000	e	11000101	01000101	69
66	00000000	a	01100001	11100001	225
67	00000011	b	01100101	11100101	229
68	11111111	c	01100010	11100010	226
69	11100000	d	01000100	11000100	196
70	00000000	e	01100101	11100101	229
71	00011001	a	01101101	11101101	237
72	11111111	b	01100001	11100001	225
73	11110000	С	01010011	11010011	211
74	00000000	d	01100100	11100100	228
75	00111101	e	10100010	00100010	34
76	11111111	a	01100000	11100000	224
77	11110000	b	01010010	11010010	210
78	00000000	c	01100011	11100011	227
79	00000111	d	01101011	11101011	235
80	11111111	e	01100100	11101011	228
81	11111111		010100100	11010001	209
		a			
82	00000000	b	01100010	11100010	226
83	00001111	c	01110010	11110010	242
84	11111111	d	01100011	11100011	227
85	11110000	e	01010101	11010101	213
86	00000000	a	01100001	11100001	225
87	00111111	b	10100001	10100001	161
88	11111111	c	01100010	11100010	226
89	11111000	d	01011100	11011100	220
90	00000000	e	01100101	11100101	229
91	00111111	a	10100000	10100000	160
92	11111111	b	01100001	11100001	225
93	11111100	с	01011111	11011111	223
94	00000000	d	01100100	11100100	228
95	00111111	e	10100100	00100100	36
96	11111111	a	01100000	11100000	224
97	111111110	b	01100000	11100000	224
98	00000000	c	01100000	11100000	227
99	00111111	d	10100011	00100011	35
100	11111111	e	01100111	11100100	228
101	11111111		0100100	1100100	223
102	00000000	a b	01100010	11100010	226
		b			
103	00111111	C	10100010	00100010	34
104	111111111	d	01100011	11100011	227
105	11111110	е	01100011	11100011	227
106	00000000	a	01100001	11100001	225
107	00011111	b	10000001	00000001	1
108	00111111	С	10100010	00100010	34
109	11111111	d	01100011	11100011	227
110	00000000	e	01100101	11100101	229
111	00011111	a	10000000	00000000	0
112	11111111	b	01100001	11100001	225
113	11111111	с	01100010	11100010	226
114	00000000	d	01100100	11100100	228
115	00001111	e	01110100	11110100	244
116	11111111	a	01100000	11100000	224
117	11111111	b	01100001	11100001	225
118	00000000	c	0110001	11100001	227
119	00001111	d	01110011	11110011	243

Pixel SNO	Image pixel value	Added continuation letter	Binary representation	Alter MSB	Result
121	111111111	a	01100000	11100000	224
122	00000000	b	01100010	11100010	226
123	00000111	с	01101010	11101010	234
124	11111111	d	01100011	11100011	227
125	111111111	e	01100100	11100100	228
126	00000000	a	01100001	11100001	225
127	00000111	b	01101001	11101001	233
128	111111111	c	01100010	11100010	226

Here 32X32 image is taken and applied the encryption process. First image is converted into the binary format. Each pixel of the image is taken and added the continuation letter. Here 5 letters are considered. Afterwards apply the transposition technique to generate randomness. Our experimental results show that in context of conversion of image in to cipher text where the result column are the elements from 1 to 128 respectively.

#### IV. CONCLUSION

The Proposed methodology will give the new area of research on cryptography and genetic algorithms. This new methodology for image encrypts and decrypt using genetic algorithm is definitely an effective method while compared with other cryptography systems.

#### V. FUTURE SCOPE

The future of the proposed scheme is that it can be extended for encrypting the video messages as well as sound encryption process.

#### **ACKNOWLEDGEMENTS**

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# Local Intrusion Detection by Bluff Probe Packet (LIDBPP) in A mobile Ad Hoc Network (MANET)

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Abstract - Mobile ad hoc network (MANET) is a collection of wireless nodes that are distributed without dependency on any permanent infrastructure. MANET security has been studied in recent years For example the black hole threats which make the source believes that the path to the destination being through it. Researchers have proposed their secure routing idea in order to encounter these threats, the problem is that the security threats still exists because it is not prevented or avoided completely in addition, some of the solutions adversely affected network performance, such as adding additional network overhead and time delay. The main objectives of this paper is to discuss some recent solutions that work to detect a black hole node by using different strategies, one of these solutions is S-ZRP, it will be developed in this paper to generate a new proposed solution called local intrusion detection by bluff probe packet (LIDBPP), it will locally begin detection by the previous node and not by the source node as in S-ZRP, this will decrease the negative impact on the performance of MANET such as network overhead and time delay in AODV based MANET.

Keywords; LIDBPP, MANET, Black hole, AODV, Network security.

#### I. INTRODUCTION

MANET which includes a number of nodes connected by wireless link, has many challenges such as security threats which hangover nodes, packets, and overall network this network, used widely in military purposes, Disaster area, personal area network and so on, routing protocols are designed for MANET properties of a self-regulating environment without protection against any inside or outside network threats, many ideas are proposed to solve the security threats, unfortunately the problem has not avoided completely. in this paper, the main interest is in organizing the information of each technique, and proposing a new algorithm called LIDBPP, this algorithm can detect and block multiple black holes while maintaining the network performance in terms of network overhead and time delay.

The paper is organized as follow:

 Section one: introducing the subject of the paper and the main interest.

- Section two: display MANET routing protocols, and discussing AODV.
- Section three: defining black holes, types of black hole attacks.
- Section four: introducing the related works, the paper will have a description of each technique, the advantages and disadvantages will be discussed by analyzing each paper.
- Section five: a local intrusion detection by bluff probe packet (LIDBPP) will be developed as a new solution.
- Section six: the paper contains a table with summarized information.
- Section seven: conclusion and the future work.

#### II. MANET ROUTING PROTOCOLS

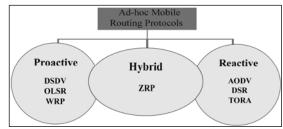


Figure 1. MANET routing protocols

Since most of the secure routing ideas in this paper are applied on AODV routing protocol, the paper will discuss the algorithm of AODV as follows:

To find a route to the destination, the source broadcasts a route request packet (RREQ) immediately to the destination if there is a direct link between source and destination or the source send (RREQ) to the neighboring nodes. The neighbors broadcast (RREQ) to their neighbors till it reaches an intermediate node. Each node records in its tables the node from which the first RREQ came (this information used for sending RREP). The destination or an intermediate node selects the fresher route to the destination based on the destination sequence number, the destination or the intermediate node responds by sending a route reply (RREP) packet to the source node using the path established when the RREQ was sent. When the source receives the RREP, it

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establishes a forward path to the destination and sends a packet to the source through the path established when the source receives the RREP.

#### III. BLACK HOLES

Black hole is one of the most famous security threats, it is a node in the network that announces itself as a node that has the fresher path for the destination, black hole makes the source believe that the path to the destination being through it as follows:

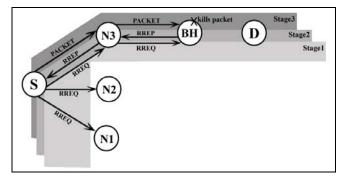


Figure 2. MANET with black hole

When the source node sends RREQ to N1, N2 and N3 since N1 and N2 do not have any route to the destination it will not response RREQ, N3 does not have route to the destination so it will send RREQ to the neighboring node BH (black hole) which will send RREP to the source to make it believes that BH has the fresher route to the destination. Source node sends data packets to BH but these packets will not be sent to the destination, BH will kill this packet instead sending it to the destination.

#### Types of black hole attacks

- Single black hole attack: if there is one black hole in the network.
- Multiple black holes attack: if there are more than one black hole in the network cooperate with each other against the network and cause grater negative influence on the network, the solution for multiple black hole is more complex.

#### IV. RELATED WORK: Some Black Hole Solutions

## A. A Local Intrusion Detection Security Routing (LIDSR) mechanism

[1] LIDSR mechanism allows the detection of the attacker to be locally done, which means that when the suspected attacker node (node N5) unicasts the RREP towards the source node (node N1) the previous node (node N4)to the attacker node performs the process of detection, and not the source node (node N1) as in SIDSR mechanism [1]. First, the previous node (node N4) buffers the RREP packet. Second, it uses a new route to the next node (node N6) and sends a FRREQ packet to it. When the previous node (Node N4) receives the FRREP packet from the next node (Node N6), it

extracts the information from the FRREP packet and behaves according to following rules:

- 1. If the next node (N6) has a route to the attacker node (N5) and the destination node (N7). In this case, N4 assumes that N5 is trusted node and it discards the FRREP packet, then unicasts the RREP packet which received from N5 to the source node (N1).
- 2. If the next node (N6) has no route to the destination node (N7) or the attacker node (N5) or both of them (N5 and N7), the previous node (N4) discards the buffered RREP and the FRREP as well, at the same time broadcasting the alarm message to announce that there is no secure enough route available to the destination node (N7).
- [1] The last case includes another scenario, such as the case in which the previous node (N4) does not receive any FRREP packet from the next node (N6). Here,N6 will discard the RREP packet and inform the source node to initiate new route discovery process to the destination.

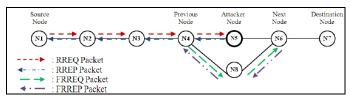


Figure 3. MANET with black hole

#### Advantages and disadvantages

The simulation compares LIDSR with SIDSR (source intrusion detection security routing mechanism), it proves that LIDSR causes lower network overhead, time delay and increases throughput by changing the number of nodes, network size, and the transmission range, but LIDSR can support network with one black hole node and can not deal with the networks with multiple cooperative black hole nodes.

#### B. BDSR Scheme

[2] This paper proposes BDSR which merges proactive and reactive defense architecture in MANET. The BDSR bait the malicious node to reply RREP by using a virtual and nonexistent destination address. Finally the detected black hole node is listed in the black hole list and notices all other nodes in the network to stop any communication with them. BDSR use the same method as RREQ of DSR. The RREQ' could only survive a period of time. We take advantage of black hole's feature that it would fake shortest route information and reply the information to source node directly. Baited black hole node replies RREP by the above mentioned mechanism. Because RREP has the ability of showing the address of malicious node after modifying by us, it is able to wipe out malicious node among the network in the initial period.

#### Advantages and disadvantages

The results of simulation show that the packet delivery ratio (PDR) is higher than PDR in case of watchdog solution

[4] (The Watchdog use neighbor nodes to overhear and detect malicious node. Watchdog depends on overhearing the packets whether be discarded deliberately to identify the malicious node), in addition BDSR causes less overhead than watchdog.

But if there are many cooperative black holes, in this case BDSR can not deal with them.

#### *C.* Detection by broadcasting the bluff probe packet (S-ZRP)

[3] Suppose, L1, L2, L3, ..... Ln-1 are the nodes between the source L0 and the destination Ln (we are considering Ln as black hole node). The algorithm works as-To detect black hole node, Origin L0 sends bluff RREO packet which contains the address of the nonexistent node, to the nearest guard node L2. It will check its table for entry of nonexistent node. If it is not in its table it will propagate this RREQ message to the intermediate nodes till Ln-1 node. Previous Next Hop Ln-1 delivers this RREQ message to the destination Ln. The destination black hole node replies and says that I have a shortest route for nonexistent node. The Ln node sends this RREP packet back to the nodes in the discovered route. Origin L0 Node Receive RREP(NE)Ln-1,.....2,1 packet and send BLOCK NE)IERP/BRP packet to Ln-1 node. This node deletes entry for Ln node. Now originator node or guard node broadcast this information to all the nodes.

#### Advantages and disadvantages

S-ZRP is an efficient solution to detect the multiple black hole nodes and to stop their attack, the simulation shows how the approach prevents the black hole nodes from receiving and relaying the packets.

But S-ZRP starts the detection process from the source, this strategy will negatively affect MANET performance. In addition, the simulation must show how S-ZRP may affect important performance metrics such as network overhead and time delay.

#### V. A PROPOSED SOLUTION: A local Intrusion Detection by Bluff Probe Packet (LIDBPP)

The paper aims to propose a method based on bluff packet to detect and stop the black hole attack in AODV based MANET, this method can deal with multiple black holes attack and will start the detection process by sending a bluff packet that includes a specific virtual destination address, an intermediate node (the previous node from the black hole) will send bluff packet and will take the decision with nonintervention from the source node as follow:

- If the RREQ includes a normal address and the node has a route to the destination it will send RREP.
- If the RREQ includes a normal address and the node has not a route to the destination it will forward RREQ to the next nodes.
- if the RREQ includes the specific virtual address then it is a bluff packet and it must be forwarded, if any node sends this packet and then receives RREP from the next

node, it must send block packet because this node is a black hole node.

- As in figure 4 since a black hole node sends RREP regardless of the address of RREQ, then it will response to bluff packet, so it will be blocked from the previous node.

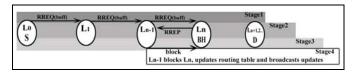


Figure 4. A proposed solution

- After blocking the black hole, the previous node will repeat sending bluff packet to the node that locates next the blocked node and the process will be repeated until blocking all the black hole nodes as in figure 5, there are no need in this process to back to the source node, every intermediate node is responsible to block all black hole nodes that locate next.
- Each bluff packet generated from the source will clean the network, because bluff packet is moved from a node to a next node as a serial process.

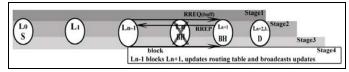


Figure 5. A proposed solution

By starting from the previous node, there is no need to return to the source node, so the detection and blocking process will be occurred with minimal number of packets and in short time, so network overhead and time delay will be minimized, but in S-ZRP [3] we can see that the detection process needs more steps and messages in order to detect and block the black hole node, especially if the distance between the source and black hole node is long, this will negatively affect the network performance such as increasing network overhead and time delay.

The algorithm of LIDBPP is as follow:

L0: source node, L1,2....n+1: intermediate nodes, RREQn: RREQ with normal destination address, RREQs: RREQ with specific and virtual destination address.

Stage1: Source node L0

Generate RREQ

Propagate RREQ

If RREQn Then

Precede normal AODV algorithm

Stage2: Else if RREQs && Ln send RREP to Ln-1 Then

Stage3: Ln-1 send block Ln

Ln receive block

Stage4: Ln-1 updates routing table and broadcasts updates

Ln sends RREQs to Ln+1

End if

#### VI. A SUMMARY TABLE

Table 1. A SUMMARY TABLE

Solution	Routing protocol	Black hole attack	Strategy
[1] SIDSR	AODV	Single Black hole	The source node is
		note	used for intrusion
			detection - source
[1] LIDCD	AODU	C: 1 D1 1	detection.
[1] LIDSR	AODV	Single Black hole	The previous node
		noie	from the attacker
			node is used for
			intrusion detection
IOI DDCD	DSR	C: 1 D1 1	- local detection
[2] BDSR	DSK	Single Black hole	Baiting a single
		note	black hole by using
			virtual and non-
			existent destination
[2] G GD D	ZD D	16 12 1 DI 1	address.
[3] S-ZRP	ZRP	Multiple Black holes	Baiting a multiple
		noies	black holes by
			broadcasting the bluff
			probe packet that contains virtual and
			non-existent
			destination address
LIDDDD	AODU	M Id 1 D1 1	- source detection.
LIDBPP	AODV	Multiple Black holes	Baiting a multiple
		noics	black holes by
			broadcasting the bluff probe packet that
			contains virtual and
			non-existent
			destination address
			- local detection
			- iocai detection

#### VII. CONCLUSION

The paper introduced many recent solutions that worked to detect a black hole node by using different strategies, it explained how these methods worked and also it discussed the advantages and disadvantages of each solution, the paper has included a table to summarize the analyzed information of each solution, the paper also included a new solution to detect multiple black holes based on bluff probe packet (contains a specific virtual destination address) that tricks the black hole.

This approach begins the detection process from the previous node of black hole. This method will decrease the steps needed to detect and block the black holes if it is compared with the method that begins sending the bluff packet

from the source as in S-ZRP solution, so the process of developing this new method would detect and block the black hole nodes with high efficiency and less negative impact on MANET performance. In the future, the new solution (LIDBPP) must be simulated to study the performance of MANET and to compare it with the other solutions.

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# DESIGN AND ANALYSIS OF $(M/G/1):(GD/\infty/\infty)$ AND $(M_i/G_i/1):(NPRP/\infty/\infty)$ QUEUEING SYSTEMS

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ABSTRACT'-There are many non Poisson queuing models. This paper mainly deals with the analysis of Non-Poisson queues (M/G/1): (GD/ $\infty$ /  $\infty$ ) and (Mi /Gi /1): (NPRP/ $\infty$ / $\infty$ ) .The feasibility of the system is analyzed based on the numerical calculations and Graphical representations. When the mean system size and the queue size is high, optimized value is obtained so that the total expected cost is minimized. The outline here an approach that may be used to analyze a non Poisson model which has job classes of multiple priorities. The priority discipline followed may be either non-preemptive or preemptive in nature. When the priority discipline is non-preemptive in nature, a job in service is allowed to complete its service normally even if a job of higher priority enters the queue while its service is going on. In the preemptive case, the service to the ongoing job will be preempted by the new arrival of higher priority. If the priority discipline is preemptive resume, then service to the interrupted job, when it restarts, continues from the point at which the service was interrupted. For the preemptive non resume case, service already provided to the interrupted job is forgotten and its service is started again from the beginning. Note that there may be loss of work in the preemptive non-resume priority case. Such loss of work will not happen in the case of the other two priorities. Since the service times are assumed to be exponentially distributed, they will satisfy the memory-less property and that, therefore, the results will be the same both for the preemptive resume and preemptive non-resume

Key Words- Pollazek-Khintchine formula; Priority service discipline; Non-Poisson queues

#### I. INTRODUCTION

Oueuing models are widely used in industry to improve customer service, for example supermarkets, banks and motorway toll booths. In a market economy, customers[Movaghar, 1998] who have poor service go elsewhere; however, when hospital beds are unavailable, patients have no option but to wait at home, in accident and emergency departments or wards which are inappropriately staffed, possibly without access to appropriate specialized equipment. For a P priority system, we consider jobs of class 1 to be the lowest priority and jobs of class P to be the highest priority. We consider here queues with both preemptive and non-preemptive priority disciplines. The approach suggested may be applied to both single server and multi-server queues [Kimura ,1994, Whitt, 1992]. Moreover, queues with both finite and infinite buffers may be analyzed using the suggested approach. We outline the way in which such a queue may actually be analyzed by solving mean system size and queue size based on the numerical calculation and graphical representations. The Queues in which arrivals and / or departures may not follow the Poisson axioms are called Non-Poisson queues.

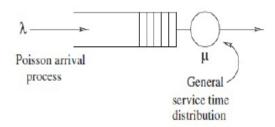


Fig The M/G/1 queue

#### II. RELATED WORK

Markov chains with generator matrices or block matrices of this form are called M/G/1 type Markov chains a term coined by M. F. Neuts.[Meini, 1998).

III. MATERIALS AND METHODOLOGY Table 1 (M/G/1):(GD/ $\infty$ /  $\infty$ )

		Model :	(M/G/1): (GD/°	o/ «)		
	Average number of	Average queue length	Average waiting		Constant/Variant	λ/σ
	customers in the		time of a customer		Input	
	system			24.4		
	λ²σ²+ρ²	$\lambda^2 \sigma^2 + \rho^2$	$\frac{\lambda^2 \sigma^2 + \rho^2}{\lambda^2 \sigma^2 + \rho^2}$	$\frac{\lambda^2 \sigma^2 + \rho^2}{2\lambda^2 (1 - \rho)} = \frac{1}{2}$		
	Ls= 2(1-ρ) +ρ	= 2(1 - ρ)	; <del>2</del> λ(1 – ρ)	±2λ(1 - ρ) + μ		
Variant Input						
λ			Wq		σ	ρ
2	8.64047619	8.573809524	4.286904762	4.320238095		0.0667
3	32.74568056		10.88189352	10.91522685		
4	230.9128205	230.7794872	57.69487179	57.72820513	5	0.1333
5	145.3581483	145.1914817	29.03829633	29.07162967	3.111	0.1667
6	147.1055625	146.9055625	24.48426042	24.51759375	2.555	0.2000
1	54.27536232	54.04202899	7.720289855	7.753623188		
8	74.06060606	73.79393939	9.224242424	9.257575758	1.3	
9	130.5428571	130.2428571	14.47142857	14.5047619	1.5	
10	169.1666667	168.8333333	16.88333333	16.91666667	1.5	0.3333
11	245.0201754	244.6535088	22.24122807	22.2745614	1.6	0.3667
12	389.3333333	388.9333333	32.41111111	32.4444444	1.8	0.4000
13	597.0696078	596.6362745	45.89509804	45.92843137	2	0.4333
14	735.6708333	735.2041667	52.51458333	52.54791667		
15	1191	1190.5	79.36666667	79.4	2.3	0.5000
16	1580.72381	1580.190476	98.76190476	98.7952381	2.4	0.5333
18	2953.5	2952.9	164.05	164.0833333	2.7	0.6000
19	3589.848485	3589.215152	188.9060606	188.9393939	2.7	0.6333

Table 2  $(M_i/G_i/1)$ :  $(NPRP/\infty/\infty)$ 

	(MI PR IT): (NPRPIN)**)													
Varti	ne inque	Average number of customers in the system	diversing space length	Average validing diss of a customer		Constant Vari ant Inpu	E*(6)	E(t) =	¥	Lamds. E(t)	ě.	Sum undi previo un row		
O m mb er k	λ	Li	r.,		Wr	t	E*(t)	E(t)	¥	o	14,	1-5,,		
Ţ	2	0.0067	0	0	0.0023	0.2	0	0.0023	4	0.0047	0.0050	0		
Ţ	3	0.1955	0.277516097	0.092506699	0.0986	0.35	0	0.0061	9	0.0153	0,977	0.0050	1500	1904
Ė	4	0.9535	0.902195166	0.225573786	0.2286	0.55	0.0002	0.0125	16	0.0512	0.0000	0977	22109	97900
Ļ	5	2.1975	2.100254719	0.620050966	0.6375	0.6	0.0003	0.0176	25	0.0572	04947	09259	22109	69729
Ė	6	6.3671	6.252906776	0.705517663	0.7265	0.45	0.0002	0.0157	16	0.0942				
ŀ	7	9.7731	9.488259881	1.355665697	1.3962	1	0.0017	0.0607	69	0.2569	0.7445	0.8947	6,6069	11,7776
•	:	65,6369	65.30201897	5.662752371	5.7066	0.9	0.0015	0.0619	64	0.3369	0.4599	0.7445	10,0092	22.0071
7	9	300.6506	100.6333533	33.38148369	33.3867	0.1	0	0.0052	81	0.0671	0.1247	0.6566	69,7691	91,7992
r	10	151.626	151.1006003	15.11006003	15.1626	0.56	0.0011	0.0326	100	0.3256	0.0779	0.1267	464,8014	556,6976
÷	11	227.7571	227.5679013	10.65617255	20.7079	0.34	0.0005	0.0217	121	0.2392	-0.268	0.0776	-059,7905	299,9051
10	12	260,8825	260.52166	21.71099	21.7609	0.42	0.0009	0.0293	166	0.2516	-0.4672	-0.248	45.5911	9424962
11	13	255.761	285.5150780	21.96272161	21.9816	0.25	0.0004	0.0199	169	0.2656	-0.0000	-0.4672	16,6656	997.10
12	14	325.454	327.6293763	23.60209517	23.6631	0.75	0.0037	0.061	196	0.8547	-12666	-0.0000	7.1491	564,2661
19	15	349,2732	345.6690626	23.22993749	23.2569	0.63	0.003	0.0549	225	0.5241	-1,9591	-12666	9,909	567,5851
16	16	366,1922	165.6975822	22.54259559	22,5964	0.46	0.0015	0.0428	256	0.6547	-0.7650	-1.9991	1,5999	566,8919
15	15	867.7976	666.2906729	26.79292627	26,5776	0.5	0.007	0.0537	224	1.507	-9.6679	-0.7600	0.6997	569.8516
16		664,6603	442.6109049	13.34794216	22.4022		0.0031	0.0552	261	1.0696	-0.8508	-9.6679	0,5066	970,9914
17	19	000.0003	003.0109009	13.36/96236	23.0032	0.5	0.0031	0.0552	201	1.0696	-60012	18518	0,9199	970,6907

A. Techniques adopted for the development of Non-Poisson queues

I)Phase technique:-This technique is used when an arrival demands phases of service say k in number

- II) Imbedded Markov chain technique: -The technique by which Non-Markovian queues are reduced to Markovian is termed as Imbedded Markov chain technique.
- III) Supplementary variable technique: When one or more random variables are added to convert a Non-Markovian process into a Markovian process, the technique involved is called supplementary variable technique. This technique is used for the queuing models (M/G/1), (GI/G/C),(GI/M/S) and(GI/EK /1).

#### B. Description of Non-Poisson queues

The aim of this study is to compare the properties, namely mean and variance of the two queues. In this model,

- M Poisson arrivals
   G General output distribution
   ∞ Waiting room capacity is infinite
- GD General service discipline such as First Come First Served serves jobs in the order they arrive (FCFS),Last Come First Served non-preemptively serves the job that arrived the most recently. (LCFS).

To determine the mean queue length and mean waiting time for this system, following techniques are used

- $n \rightarrow Number$  of customers in the system just after a customer departs
- $t \rightarrow Time$  to serve the customer following the one already departed
- $f(t) \rightarrow Probability density function of service time distribution with mean <math>E(t)$  and variance var (t)
  - k →number of new arrivals during 't
- $n' \rightarrow$  number of customer's left behind the next departing customer

The result of this model can be applied to any one of the three service disciplines FCFS, &FCLS. .The derivation for a single server situation is based on the following assumptions:

- Poisson arrivals with arrival rate  $\lambda$
- General service time distribution with mean mean E(t) and variance var (t)
- Steady state conditions prevail with  $\rho = \lambda$ E(t) < 1

Under the above assumptions, Pollazek-Khintchine formula is given by

$$L_s = \lambda E(t) + \frac{\lambda^2}{2[1-\lambda E(t)]} [E^2(t) + var(t)]$$

Thus  $L_q = L_s - \lambda E(t)$ ;

$$W_s = L_s / \lambda;$$

$$W_q = L_q / \lambda$$

In this model, queue and service together will represent the system. At the time (T+t) There are (n-1+k) customers are there in the system. When T represents the time when the  $j^{th}$  customer departs and (T+t) represents the time when the next customer (j+1)st departs. It does not necessarily mean that the next customers are introduced into the service.

By the steady state assumptions

$$E(n) = E(n')$$
 and  $E(n^2) = [E(n')^2]$ 

From the above diagram,

$$n' = \begin{cases} k & \text{, if } n = 0 \\ n + k & \text{, if } n > 0 \end{cases}$$
 Let  $\delta = \begin{cases} 1 & \text{, if } n > 0 \\ 0 & \text{, if } n > 0 \end{cases}$ 

Therefore n ' =  $n - 1 + \delta + k$ 

$$E(n') = E(n) + E(\delta) + E(k) - 1$$

we have 
$$E(\delta) = 1 - E(k)$$

(n') 
$$^2 = [n+(k-1)+\delta]^2 = n^2+k^2+2n(k-1)+\delta(2k-1)+1-2k$$
 ;[since  $\delta^2 = \delta$  &  $\delta$  n = 0]

ThereforeE(n) = { 
$$E(k^2) - 2 E(k) + E(\delta) [2E(k)-1]$$
  
+1 } / {2 [1- $E(k)$ ]} =  $\frac{E(k^2) + E(k) - 2E^2(k)}{2[1-E(k)]}$ 

Since the arrivals in time't' follow the Poisson distribution

$$E(k/t) = \lambda$$
 and  $E(k^2/t) = (\lambda t)^2 + \lambda t$ 

Hence E(k) = 
$$\int_0^\infty E\left(\frac{k}{t}\right) f(t) dt = \lambda E(t)$$

$$E(k^2) = \int_0^\infty E(k^2/t) \ f(t) \ dt = \lambda^2 \operatorname{Var}(t) + \lambda^2 E^2(t)$$
  
+  $\lambda E(t)$ 

Thus L<sub>s</sub> = E(n) = 
$$\lambda E(t) + \frac{\lambda^2 [E^2(t) + Var(t)]}{2[1 - \lambda E(t)]}$$

#### $(M_i/G_i/1)$ : $(NPRP/\infty/\infty)$

Here we introduce the concept of priority service disciplines .Priority service discipline includes two rules:

- 1. Preemptive rule where the service of a low priority customer may be interrupted in favour of an arriving customer with higher priority
- 2. Non-preemptive rule where a customer once in service will continue until his service is completed and regardless of the priority of the arriving customer.

 $(M_i\ /G_i\ /1)$ :  $(NPRP/\infty/\infty)$  is one of the non-preemptive models. These apply to single and multiple channel cases. The single channel model assumes Poisson arrival and arbitrary service distribution. In the multiple channel model both arrivals and departures are assumed to be Poisson. The symbol NPRP will be used with Kendall notation to represent the non-preemptive discipline while the symbols  $M_i$  and  $G_i$  will represent Poisson and arbitrary distributions for the  $i^{th}$  queue for  $i=1,2,\ldots m$ 

 $E_i(t)$  -- mean;

Var<sub>i</sub> (t) variance;

 $\lambda_I$  the arrival rate at the i<sup>th</sup> queue unit time

The results are given by [under the usual assumption]  $W_q^{k} = \sum_{i=1}^m \frac{\lambda_i}{2[1-S_{k-1}][1-S_k]}$ .

$$_{\rm E}^2$$
 (t) +Var(t)

$$L_{a}^{k} = \lambda_{k} W_{a}^{k}; W_{s}^{k} = W_{a}^{k} + E_{k}(t);$$

$$L_s^{\ k} = L_q^{\ k} + \rho_k \text{where } \rho_k = \lambda_k \ E_k(t)$$

$$S_k = \sum_{i=1}^k \rho_i < 1$$
; k= 1 to m;  $S_0 = 0$ 

The expected waiting time in the queue for any customer regardless of his priority is

$$W_q = \sum_{k=1}^m \frac{\lambda_k}{\lambda} W_q^k$$
 Where  $\lambda = \sum_{i=1}^m \lambda_i$  and  $\lambda_k / \lambda$  is the relative weight of  $W_q^k$  Similarly for  $W_s^k$ 

F(t) be the CDF of the combined service time for the entire system

 $\lambda = \sum_{i=1}^{m} \lambda_i$  is the combined arrival rate

Then  $F(t) = \lambda F(t) = \lambda_1$   $F_1(t) + \lambda_2 F_2(t) + ... + \lambda_m F_m(t)$  Which means that the effective number serviced in different priority queues.

Consequently,  $F(t) = \sum_{i=1}^{m} \frac{\lambda_i}{\lambda_i} F_i(t)$ 

$$E(t) = \int_0^\infty t dF(t) = \sum_{i=1}^m \frac{\lambda_i}{\lambda} E_i(t)$$
 and

$$E(t^2) = \sum_{i=1}^{m} \frac{\lambda_i}{\lambda_i} \left[ E_i^2(t) + \text{var}_i(t) \right]$$

 $T_q^k$  =t<sub>1</sub> - t<sub>0</sub> is the waiting time time in the queue of a customer of the kth priority queue who arrives at t<sub>0</sub> and starts service at t<sub>1</sub>.Let  $\xi_i$  be the service times of the customer in the queue 1 through

k. During his waiting time  $T_q^{\ k}$ , other customers may arrive in queues 1 through (k-1). Thus if  $\xi_0$  is the time to finish the service of the customer already in service and because each queue operates on FCFS discipline.

$$T_{q}^{k} = \xi_{0} + \sum_{i=1}^{k} \xi_{i} + \sum_{i=1}^{k-1} \xi_{i}'$$
 and 
$$E[T_{q}^{k}] = W_{q}^{k} 2)$$
 we have  $W_{q}^{k} = E[\xi_{0}] + \sum_{i=1}^{k} E[\xi_{i}] + \sum_{i=1}^{k-1} E[\xi_{i}]$ 

$$E[\xi_i] = \rho_i W_q^i$$

where 
$$\rho_i = \lambda_i E_i(t)$$

 $\begin{array}{l} E[\xi_i\ '] = [expected\ number\ of\ arrivals\ in\ the\ ith\ queue\ during\ T_q^{\ k}\quad ]x[expected\ service\ time\ per\ customer] = \rho_i\ W_q^{\ k} \end{array}$ 

Hence 
$$W_q^k = \frac{E[\xi 0] + \sum_{i=1}^k \rho_i \ Wq_i}{(1 - S_{k-1})}$$

where 
$$S_k = \sum_{i=1}^k \rho i$$

Using induction k,

we have  $W_q^k = \frac{E[\xi 0]}{(1-S_{k-1})(1-S_k)}$  and for the  $k^{th}$  queue

$$L_q^k = \lambda_k W_q^k \text{ and } L_s^k = \lambda_k W_s^k$$

#### IV. RESULTS AND DISCUSSIONS

#### **Model 1** (M/G/1):(GD/ $\infty$ / $\infty$ )

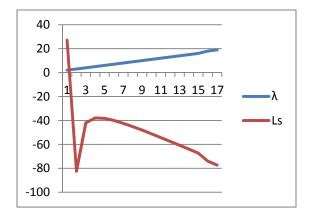


Fig 2 Graphical Representation of the Effect of Improving the average number of customers ( $L_S$ ) on arrival Rate of customers( $\lambda$ )

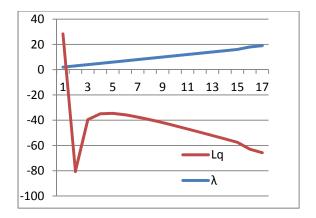


Fig 3 Figure shows arrival Rate of customers( $\lambda$ )versus waiting line of the customers in the queue (Lq).

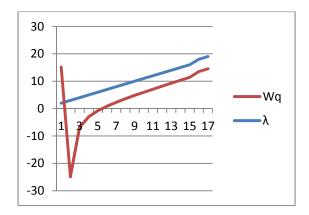


Fig 4 Graphical Representation of the Effect of Improving the arrival rate of Customer's( $\lambda$ ) versus customers Waiting Time(Wq)

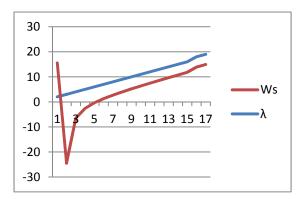


Fig 5 Graphical Representation of the Effect of Improving the Service Rate(Ws) on Customer's arrival  $Time(\lambda)$ 

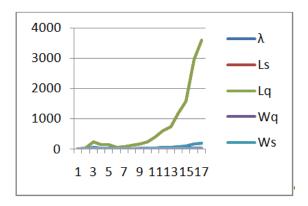


Fig 6 shows the effect of improving customers Waiting Time (Wq), average number of customers (Ls), Service Rate(Ws), waiting line of the customers in the queue (Lq) versus average arrival rate of customers  $\lambda$  (lambda)

#### **Model2:** $(M_i/G_i/1)$ : $(NPRP/\infty/\infty)$

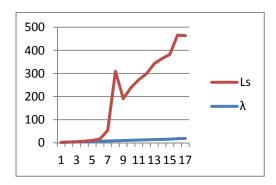


Fig 7 Graphical Representation of the Effect of Improving the average number of customers ( $L_S$ ) on arrival Rate of customers( $\lambda$ )

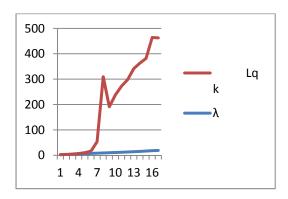


Fig 8 shows the effect of average arrival rate of customers  $\lambda$  (lambda) on the waiting line of the customers in the queue (Lq).

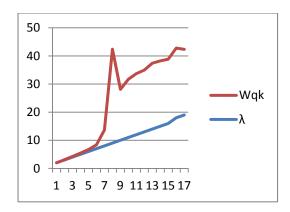


Fig 9 Graphical Representation of the Effect of the arrival rate of Customer's( $\lambda$ ) versus customers Waiting Time(Wq)

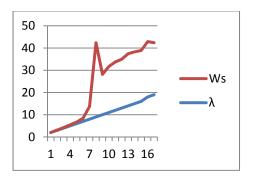


Fig 10 Graphical Representation of the Effect of the Service Rate(Ws) on Customer's arrival  $Time(\lambda)$ 

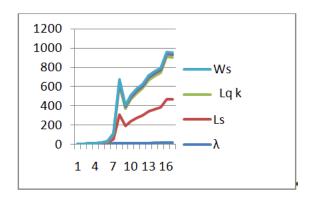


Fig 11 shows the effect of improving Service Rate(Ws), average number of customers ( $L_s$ ), waiting line of the customers in the queue (Lq), and average arrival rate of customers ( $\lambda$ )

#### V. CONCLUSION

From the analysis of the above two queues, the feasibility is comparatively admissible in the model (Mi /Gi/1): (NPRP/ $\infty$ / $\infty$ ) based on the numerical calculations and graphical representations. One can identify the transitions between states. This set of equations is solved to get the actual state probabilities. This is used to obtain the queue performance parameters required.

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# Applying Data Mining Techniques for Customer Relationship Management: A Survey

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Abstract— Data mining has various applications for customer relationship management. In this proposal, I am introducing a framework for identifying appropriate data mining techniques for various CRM activities. This Research attempts to integrate the data mining and CRM models and to propose a new model of Data mining for CRM. The new model specifies which types of data mining processes are suitable for which stages/processes of CRM. In order to develop an integrated model it is important to understand the existing Data mining and CRM models. Hence the article discusses some of the existing data mining and CRM models and finally proposes an integrated model of data mining for CRM.

Keywords-component; formatting; style; styling; insert (key words)

#### I. INTRODUCTION (HEADING 1)

Value Creation for the customer is the key determinant of a successful business. Customer satisfaction ensures profitability for businesses in the long run. Customer bases built over a period of time proved to be of immense help in increasing the reach of a particular business's product or service. However, the recent increase in the operating costs of business made it more compelling for businesses to increase loyalty among existing customers while trying to attract new ones. The processes by which an organization creates value for the customer, is often referred to as Customer Relationship Management (CRM) [1].

According to Microsoft, CRM is "a customer-focused business strategy designed to optimize revenue, profitability, and customer loyalty. By implementing a CRM strategy, an organization can improve the business processes and technology solutions around selling, marketing, and servicing functions across all customer touch-points (for example: Web, e-mail, phone, fax, in-person)". The overall objective of CRM applications is to attract, retain and manage a firm's profitable ("right") customers [1].

Business intelligence for CRM applications provides a firm with actionable information from the analysis and interpretation of vast quantities of customer/market related data. Databases for business intelligence include cus-tomer demographics, buying histories, cross-sales, service calls, website navigation experiences and online transactions. Through the appropriate use of analytical methods and software, a firm is able to turn data into information that leads

to greater insight and development of fact-based strategies which in turn helps the firm gain competitive advantage by creating greater value for the customer [1].

Analogous to traditional mining, which involves searching for an ore in a mountain, data mining involves searching for valuable information in large databases. Both these processes involve either groping through a vast amount of material or intelligently probing the data to find the true value that lies hidden in data. Data mining involves not only the extraction of previously unknown information from a database but also the discovery of relation-ships that did not surface in the previous methods of data analysis. The "jewels" discovered from the data mining process include these non-intuitive hidden predictive relationships between variables that explain customer behavior and preferences. The predictive capabilities of data mining enable the businesses to make proactive, knowledge-driven decisions. Data mining tools facilitate prospective analysis, which is an improvement over the analysis of past events provided by the retrospective tools. The emergence of large data warehouses and the availability of data mining software is creating opportunities for businesses to find innovative ways to implement effective customer relationship strategies [1].

The automation of data collection and the relative decrease in the costs of operating huge data warehouses has made customer data more accessible than ever. The analysis of data, which until a few years ago was associated with high-end computing power and algorithms decipherable by only professional statisticians, is increasing to become more popular with user-friendly tools available on desktops [Berger, 1999 #2]. Data mining plays an important role in the analytical phases of the CRM life cycle as well as the CRM process [1].

#### II. RESEARCH METHODOLOGY

As the nature of research in CRM and data mining are difficult to confine to specific disciplines, the relevant materials are scattered across various journals. Business intelligence and knowledge discovery are the most common academic discipline for data mining research in CRM. Consequently, the following online journal databases were searched to provide a

comprehensive bibliography of the academic literature on CRM and Data Mining:

- \_ ABI/INFORM Database;
- \_ Academic Search Premier;
- \_ Business Source Premier;
- \_ Emerald Fulltext;
- \_ Ingenta Journals:
- \_ Science Direct; and
- IEEE Transaction.

The literature search was based on the descriptor, "customer relationship management" and "data mining", which originally produced approximately 900 articles. The full text of each article was reviewed to eliminate those that were not actually related to application of data mining techniques in CRM. The selection criteria were as follows:

\_ Only those articles that had been published in business intelligence, knowledge discovery or customer management related journals were selected, as these were the most appropriate outlets for data mining in CRM research and the focus of this review.

\_ Only those articles which clearly described how the mentioned data mining technique(s) could be applied and assisted in CRM strategies were selected.

\_ Conference papers, masters and doctoral dissertations, textbooks and unpublished working papers were excluded, as academics and practitioners alike most often use journals to acquire information and disseminate new findings. Thus, journals represent the highest level of research. Each article was carefully reviewed and separately classified according to the four categories of CRM dimensions and seven categories of data mining models. Although this search was not exhaustive, it serves as a comprehensive base for an understanding of data mining research in CRM.

#### III. RESEARCH CHALLENGES

#### **Data Mining Challenges & Opportunities in CRM**

In this section, we build upon our discussion of CRM and Life Sciences to identify key data mining challenges and opportunities in these application domains. The following is a list of challenges for CRM [2].

a. Non-trivial results almost always need a combination of DM techniques. Chaining/composition of DM, and more generally data analysis, operations is important. In order to analyze CRM data, one needs to explore the data from different angles and look at its different aspects. This should require application of different *types* of DM techniques and their application to different *"slices"* of data in an interactive and iterative fashion. Hence, the need to use various DM operators and combine (chain) them into a single "exploration plan" [2].

## b. There is a strong requirement for data integration before data mining.

In both cases, data comes from multiple sources. For example in CRM, data needed may come from different departments of an organization. Since many interesting patterns span multiple data sources, there is a need to integrate these data before an actual data mining exploration can start [2].

#### c. Diverse data types are often encountered.

This requires the integrated mining of diverse and heterogeneous data. In CRM, while dealing with this issue is not critical, it is nonetheless important. Customer data comes in the form of structured records of different data types (e.g., demographic data), temporal data (e.g., weblogs), text (e.g., emails, consumer reviews, blogs and chat-room data), (sometimes) audio (e.g., recorded phone conversations of service reps with customers) [2].

#### d. Highly and unavoidably noisy data must be dealt with.

In CRM, weblog data has a lot of "noise" (due to crawlers, missed hits because of the caching problem, etc.). Other data pertaining to customer "touch points" has the usual cleaning problems seen in any business-related data [2].

# e. Privacy and confidentiality considerations for data and analysis results

are a major issue. In CRM, lots of demographic data is highly confidential, as are email and phone logs. Concern about inference capabilities makes other forms of data sensitive as well—e.g., someone can recover personally identifiable information (PII) from web logs [2].

# f. Legal considerations influence what data is available for mining and what actions are permissible.

In some countries it is not allowed to combine data from different sources or to use it for purposes different from those for which they have been collected. For instance, it may be allowed to use an external rating about credit worthiness of a customer for credit risk evaluation but not for other purposes. Ownership of data can be unclear, depending on the details of how and why it was collected, and whether the collecting organization changes hands [2].

# g. Real-world validation of results is essential for acceptance.

In CRM, as in many DM applications, discovered patterns are often treated as hypotheses that need to be tested on new data using rigorous statistical tests for the actual acceptance of the results. This is even more so for taking or recommending actions, especially in such high-risk applications as in the financial and medical domains. Example: recommending investments to customers (it is actually illegal in the US to let software give investment advice) [2,3].

#### h. Developing deeper models of customer behavior:

One of the key issues in CRM is how to understand customers. Current models of customers mainly built based on their purchase patterns and click patterns at web sites. Such models are very shallow and do not have a deep understanding of customers and their individual circumstances. Thus, many predictions and actions about customers are wrong. It is suggested that information from all customer touch-points be considered in building customer models. Marketing and psychology researchers should also be involved in this effort. Two specific issues need to be considered here. First, what level should the customer model be built at, namely at the aggregate level, the segment level, or at the individual level? The deciding factor is how personalized the CRM effort needs to be. Second is the issue of the dimensions to be considered in the customer profile. These include demographic, psychographic, macro-behavior (buying, etc.), and microbehavior (detailed actions in a store, e.g. individual clicks in an online store) features [2,3].

#### i. Acquiring data for deeper understanding in a nonintrusive, low-cost, high accuracy manner:

In many industrial settings, collecting data for CRM is still a problem. Some methods are intrusive and costly. Datasets collected are very noisy and in different formats and reside in different departments of an organization. Solving these prerequisite problems is essential for data mining applications [2].

#### j. Managing the "cold start/bootstrap" problem:

At the beginning of the customer life cycle little is known, but the list of customers and the amount of information known for each customer increases over time. In most cases, a minimum amount of information is required for achieving acceptable results (for instance, product recommendations computed through collaborative filtering require a purchasing history of the customer). Being able to deal with cases where less than this required minimum is known is a therefore a major challenge [2].

# k. Evaluation framework for distinguishing between correct/incorrect customer understanding:

Apart from the difficulty of building customer models, evaluating them is also a major task. There is still no satisfactory metric that can tell whether one model is better than another and whether a model really reflects customer behaviors. Although there are *some* metrics for measuring quality of customer models (e.g., there are several metrics for measuring the quality of recommendations), they are quite rudimentary, and there is a strong need to work on better measures. Specifically, the recommender systems community has explored this area [2,3,6,7].

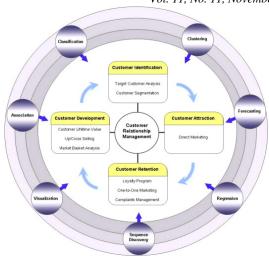


Figure 1. Classification framework for data mining techniques in CRM.

In the previous figure we can see how Data mining stages used with all CRM lifecycle.

- (1) Association rule;
- (2) Decision tree;
- (3) Genetic algorithm;
- (4) Neural networks;
- (5) K-Nearest neighbour;
- (6) Linear/logistic regression.

A graphical classification framework on data mining techniques in CRM is proposed and shown in Fig. 1; it is based on a review of the literature on data mining techniques in CRM. Critically reviewing the literature on data mining in CRM helped to identify the major CRM dimensions and data mining techniques for the application of data mining techniques in CRM. It describes CRM dimensions as: Customer Identification, Customer Attraction, Customer Retention and Customer Development. In addition, described the types of data mining model as Association, Classification, Clustering, Forecasting, Regression, Sequence Discovery and Visualization. We provide a brief description of these four dimensions and some references for further details, and each of them is discussed in the following sections [6].

#### IV. CLASSIFICATION FRAMEWORK – CRM DIMENSIONS

In this study, CRM is defined as helping organizations to better discriminate and more effectively allocate resources to the most profitable group of customers through the cycle of customer identification, customer attraction, customer retention and customer.

(i) Customer identification: CRM begins with customer identification, which is referred to as customer acquisition in some articles. This phase involves targeting the population who are most likely to become customers or most profitable to the company. Moreover, it involves analyzing

regularities in the purchase behaviour of customers

customers who are being lost to the competition and how they can be won back. Elements for customer identification include target customer analysis and customer segmentation. Target customer analysis involves seeking the profitable segments of customers through analysis of customers' underlying characteristics, whereas customer segmentation involves the subdivision of an entire customer base into smaller customer groups or segments, consisting of customers who are relatively similar within each specific segment.

- (ii) Customer attraction: This is the phase following customer identification. After identifying the segments of potential customers, organizations can direct effort and resources into attracting the target customer segments. An element of customer attraction is direct marketing. Direct marketing is a promotion process which motivates customers to place orders through various channels. For instance, direct mail or coupon distribution are typical examples of direct marketing.
- (iii) Customer retention: This is the central concern for CRM. Customer satisfaction, which refers to the comparison of customers' expectations with his or her perception of being satisfied, is the essential condition for retaining customers . As such, elements of customer retention include one-to-one marketing, loyalty programs and complaints management. One-to-one marketing refers to personalized marketing campaigns which are supported by analysing, detecting and predicting changes in customer behaviours Thus, customer profiling, recommender systems or replenishment systems are related to one-to-one marketing. Loyalty programs involve campaigns or supporting activities which aim at maintaining a long term relationship with customers. Specifically, churn analysis, credit scoring, service quality or satisfaction form part of loyalty programs.
- (iv) Customer development: This involves consistent expansion of transaction intensity, transaction value and individual customer profitability. Elements of customer development include customer lifetime value analysis, up/cross selling and market basket analysis. Customer lifetime value analysis is defined as the prediction of the total net income a company can expect from a customer. Up/Cross selling refers to promotion activities which aim at augmenting the number of associated or closely related services that a customer uses within a firm. Market basket analysis aims at maximizing the customer transaction intensity and value by revealing

#### a. Good actioning mechanisms:

Once data mining has been conducted with promising results, how to use them in the daily performance task is critical and it requires significant research effort. It is common that after some data results are obtained, the domain users do not know how to use them in their daily work. This research may require the participation of business and marketing researchers.

Another way to accommodate actioning mechanisms is to integrate them into the knowledge discovery process by focusing on the discoveries of actionable patterns in customer data. This would make easier for the marketers or other domain experts to determine which actions should be taken once the customer patterns are discovered [2].

#### b. Incorporating prior knowledge:

This has always been a problem in practice. Data mining tends to find many pieces of patterns that are already known or redundant. Incorporating prior domain knowledge can help to solve these problems, and also to discover something novel. However, the difficulties of incorporating domain knowledge result in little progress in the past. There are a number of reasons for this. First of all, knowledge acquisition from domain experts is very hard. This is well documented in AI research, especially in the literature of expert systems building. Domain experts may know a lot but are unable to tell. Also, many times, domain experts are not sure what the relevant domain knowledge is, which can be very wide, although the data mining application itself is very narrow. Only after domain experts have seen some discovered patterns then they remember some domain knowledge. The second reason is the algorithmic issue. Many existing methods have difficulty to incorporate sophisticated domain knowledge in the mining algorithm. Also, once the new patterns are discovered, it is important to develop methods that integrate the newly discovered knowledge with the previous knowledge thus enhancing the overall knowledge base. Although there is some general work on knowledge enhancement, much more needs to be done to advance this area and adapt it to CRM problems. Also, integration of these methods with existing and novel Knowledge Management approaches constitutes a fruitful area of research [2].

Customer relationship management in its broadest sense simply means managing all customer interactions. In practice, this requires using information about your customers and prospects to more effectively interact with your customers in all stages of your relationship with them. We refer to these stages as the customer life cycle.

The customer life cycle has three stages:

- 1. Acquiring customers
- 2. Increasing the value of customers
- 3. Retaining good customers

Data mining can improve your profitability in each of these stages when you integrate it with operational CRM systems or implement it as independent applications [4].

#### c. Acquiring new customers via data mining [4]

The first step in CRM is to identify prospects and convert them to customers. Let's

look at how data mining can help manage the costs and improve the effectiveness of

a customer acquisition campaign.

Big Bank and Credit Card Company (BB&CC) annually conducts 25 direct mail campaigns, each of which offers one million people the opportunity to apply for a credit card. The conversion rate measures the proportion of people who become credit card customers, which is about one percent per campaign for BB&CC.

Getting people to fill out an application for the credit card is only the first step. Then, BB&CC must decide if the applicant is a good risk and accept them as a customer or decline the application. Not surprisingly, poor credit risks are more likely to accept the offer than are good credit risks. So while six percent of the people on the mailing list respond with an application, only about 16 percent of those are suitable credit risks; approximately one percent of the people on the mailing list become customers.

BB&CC's six percent response rate means that only 60,000 people out of one million names respond to the solicitation. Unless BB&CC changes the nature of the solicitation – using different mailing lists, reaching customers in different ways, altering the terms of the offer it is not going to receive more than 60,000 responses. And of those 60,000 responses, only 10,000 are good enough risks to become customers. The challenge BB&CC faces is reaching those 10,000 people most efficiently.

BB&CC spends about \$1.00 per piece, for a total cost of \$1,000,000, to mail the solicitation. Over the next couple of years, the customers gained through this solicitation generate approximately \$1,250,000 in profit for the bank (or about \$125 each), for a net return of \$250,000 from the mailing.

Data mining can improve this return. Although data mining won't precisely identify the

10,000 eventual credit card customers, data mining helps focus marketing efforts much

more cost effectively.

First, BB&CC sent a test mailing of 50,000 prospects and carefully analyzed the results,

building a predictive model showing who would respond (using a decision tree) and a

credit scoring model (using a neural net). BB&CC then combined these two models to find the people who were both good credit risks and were most likely to respond to the offer.

BB&CC applied the model to the remaining 950,000 people in the mailing list, from which 700,000 people were selected for the mailing. What was the result? From the 750,000 pieces mailed (including the test mailing), BB&CC received 9,000 acceptable applications for credit cards. In other words, the response rate rose from one percent to 1.2 percent, a 20 percent increase. While the targeted mailing only reaches 9,000 of the 10,000 prospects – no model is perfect – reaching the remaining 1,000 prospects is not profitable. Had they mailed the other 250,000 people on the mailing list, the cost of \$250,000 would have resulted in another \$125,000 of gross profit for a net loss of \$125,000.

Notice that the net profit from the mailing increased \$125,000. Even when you include the \$40,000 cost of the data mining software and the computer and employee resources used for this modeling effort, the net profit increased \$85,000. This translates to a return on investment (ROI) for modeling of over 200 percent, which far exceeds BB&CC's ROI requirements for a project.

#### d. Increasing the value of your existing customers [4]

Cannons and Carnations (C&C) is a company that specializes in selling antique mortars and cannons as outdoor flower pots. It also offers a line of indoor flower pots made from large caliber antique pistols and a collection of muskets that have been converted to unique holders of long-stemmed flowers. The C&C catalog is sent to about 12 million homes.

When a customer calls C&C to place an order, C&C identifies the caller using caller ID when possible; otherwise the C&C representative asks for a phone number or customer number from the catalog mailing label. Next, the representative looks up the customer in the database and then proceeds to take the order.

C&C has an excellent chance of cross-selling, or selling the caller something additional. But C&C discovered that if the first suggestion fails and the representative suggests a second item, the customer might get irritated and hang up without ordering anything. And, there are some customers who resent any cross-selling attempts.

Before implementing data mining, C&C was reluctant to cross-sell. Without a model, the odds of making the right recommendation were one in three. And, because making any recommendation is unacceptable for some customers, C&C wanted to be extremely sure that it never makes a recommendation when it should not. In a trial campaign, C&C had less than a one percent sales rate and received a

substantial number of complaints. C&C was reluctant to continue cross-selling for such a small gain.

The situation changed dramatically once C&C used data mining. Now the data mining model operates on the data. Using the customer information in the database and the new order, it tells the customer service representative what to recommend. C&C successfully sold an additional product to two percent of the customers and experienced virtually no complaints.

Developing this capability involved a process similar to what was used to solve the credit card customer acquisition problem. As with that situation, two models were needed.

The first model predicted if someone would be offended by additional product recommendations. C&C learned how its customers reacted by conducting a very short telephone survey. To be conservative, C&C counted anyone who declined to participate in the survey as someone who would find recommendations intrusive. Later on, to verify this assumption, C&C made recommendations to a small but statistically significant subset of those who had refused to answer the survey questions. To C&C's surprise, it discovered that the assumption was not warranted. This enabled C&C to make more recommendations and further increase profits. The second model predicted which offer would be most acceptable.

In summary, data mining helped C&C better understand its customers' needs. When the data mining models were incorporated in a typical cross-selling CRM campaign, the models helped C&C increase its profitability by two percent.

# e. Increasing the value of your existing customers:[4] personalization via data mining

Big Sam's Clothing (motto: "Rugged outdoor gear for city dwellers") developed a Web site to supplement its catalog. Whenever you enter Big Sam's site, the site greets you by displaying "Howdy Pardner!" However, once you have ordered or registered with Big Sam's, you are greeted by name. If you have a Big Sam's ordering record, Big Sam's will also tell you about any new products that might be of particular interest to you. When you look at a particular product, such as a waterproof parka, Big Sam's suggests other items that might supplement such a purchase.

When Big Sam's first launched its site, there was no personalization. The site was just an online version of its catalog nicely and efficiently done but it didn't take advantage of the sales opportunities the Web presents.

Data mining greatly increased Big Sam's Web site sales. Catalogs frequently group products by type to simplify the user's task of selecting products. In an online store, however, the product groups may be quite different, often based on

complementing the item under consideration. In particular, the site can take into account not only the item you're looking at, but what is in your shopping cart as well, thus leading to even more customized recommendations.

First, Big Sam's used clustering to discover which products grouped together naturally. Some of the clusters were obvious, such as shirts and pants. Others were surprising, such as books about desert hiking and snakebite kits. They used these groupings to make recommendations whenever someone looked at a product.

Big Sam's then built a customer profile to help identify customers who would be interested in the new products that were frequently added to the catalog. Big Sam's learned that steering people to these selected products not only resulted in significant incremental sales, but also solidified its customer relationships. Surveys established that Big Sam's was viewed as a trusted advisor for clothing and gear.

To extend its reach further, Big Sam's implemented a program through which customers could elect to receive e-mail about new products that the data mining models predicted would interest them. While the customers viewed this as another example of proactive customer service, Big Sam's discovered it was a program of profit improvement.

The personalization effort paid off for Big Sam's, which experienced significant, measurable increases in repeat sales, average number of sales per customer and average size of sales.

#### f. Retaining good customers via data mining [4]

For almost every company, the cost of acquiring a new customer exceeds the cost of keeping good customers. This was the challenge facing KnowService, an Internet Service Provider (ISP) who experiences the industry-average attrition rate, eight percent per month. Since KnowService has one million customers, this means 80,000 customers leave each month. The cost to replace these customers is \$200 each or \$16,000,000 – plenty of incentive to start an attrition management program.

The first thing KnowService needed to do was prepare the data used to predict which customers would leave. KnowService needed to select the variables from its customer database and, perhaps, transform them. The bulk of KnowService's users are dial-in clients (as opposed to clients who are always connected through a T1 or DSL line) so KnowService knows how long each user was connected to the Web. KnowService also knows the volume of data transferred to and from a user's computer, the number of e mail accounts a user has, the number of e-mail messages sent and received along with the customer's service and billing history. In addition, KnowService has demographic data that customers provided at sign-up.

Next, KnowService needed to identify who were "good" customers. This is not a data mining question but a business definition (such as profitability or lifetime value) followed by a calculation. KnowService built a model to profile its profitable customers and unprofitable customers. KnowService used this model not only for customer retention but to identify customers who were not yet profitable but might become so in the future.

KnowService then built a model to predict which of its profitable customers would leave. As in most data mining problems, determining what data to use and how to combine existing data is much of the challenge in model development. For example, KnowService needed to look at time-series data such as the monthly usage. Rather than using the raw timeseries data, it smoothed the data by taking rolling three-month averages. KnowService also calculated the change in the three-month average and tried that as a predictor. Some of the factors that were good predictors, such as declining usage, were symptoms rather than causes that could be directly addressed. Other predictors, such as the average number of service calls and the change in the average number of service calls, were indicative of customer satisfaction problems worth investigating.

Predicting who would churn, however, wasn't enough. Based on the results of the modeling, KnowService identified some potential programs and offers that it believed would entice people to stay. For example, some churners were exceeding even the largest amount of usage available for a fixed fee and were paying substantial incremental usage fees. KnowService offered these users a higher-fee service that included more bundled time. Some users were offered more free disk space to store personal Web pages. KnowService then built models that would predict which would be the most effective offer for a particular user.

To summarize, the churn project made use of three models. One model identified likely churners, the next model picked the profitable potential churners worth keeping and the third model matched the potential churners with the most

appropriate offer. The net result was a reduction in KnowService's churn rate from eight percent to 7.5 percent, which allowed KnowService to save \$1,000,000 per month in customer acquisition costs.

KnowService discovered that its data mining investment paid off – it improved customer relationships and dramatically increased its profitability.

#### V. CONCOLUSION

Customer relationship management is essential to compete effectively in today's marketplace. The more effectively you can use information about your customers to meet their needs, the more profitable you will be. We can conclude that operational CRM needs analytical CRM with predictive data mining models at its core. The route to a successful business requires that you understand your customers and their requirements, and data mining is the essential guide [4].

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# MAC Address as a Key for Data Encryption

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Abstract- In computer networking, the Media Access Control (MAC) address is a unique value associated with a network adapter. MAC addresses are also known as hardware addresses or physical addresses. TCP/IP and other mainstream networking architectures generally adopt the OSI model. MAC addresses function at the data link layer (layer 2 in the OSI model). They allow computers to uniquely identify themselves on a network at this relatively low level. In this paper, suggested data encryption technique is presented by using the MAC address as a key that is used to authenticate the receiver device like PC, mobile phone, laptop or any other devices that is connected to the network. This technique was tested on some data, visual and numerical measurements were used to check the strength and performance of the technique. The experiments showed that the suggested technique can be used easily to encrypt data that is transmitted through networks.

Keywords: Crossover, Mutation, Information Security, Random, Distortion

#### I. INTRODUCTION

Because of greater demand in digital signal transmission in recent time, the problem of illegal data access from unauthorized persons becomes need intelligent and quick solution. Accordingly, the data security has become a critical and imperative issue in multimedia data transmission applications. In order to protect valuable information from undesirable users or against illegal reproduction and modifications, various types of cryptographic/encryption schemes are needed. Cryptography offers efficient solutions to protect sensitive information in a large number of applications including personal data security, medical records, network security, internet security, diplomatic and military communications security, etc. through the processes of encryption/decryption.

Cryptography contains two basic processes: one process is when recognizable data, called plain data, is transformed into an unrecognizable form, called cipher data. To transform data in this way is called to encipher the data or encryption. The second process is when the cipher data is transformed back to the original plain data, this is called to decipher, or decrypting the data. To be able to determine if a user is allowed to access information a key is often used. Once a key has been used to encipher information, only someone who knows the correct key can decipher the encrypted data. The key is the foundation of most data encryptions algorithms today. A good encryption algorithm should still be secure even if the algorithm is known [1-5].

Encryption is the process of transforming the information to insure its security. With the huge growth of computer networks and the latest advances in digital technologies, a huge amount of digital data is being exchanged over various types of networks. It is often true that a large part of this information is either confidential or private. As a result, different security techniques have been used to provide the required protection [6].

MAC addresses are 12-digit hexadecimal numbers (48 bits in length). By convention, MAC addresses are usually written in one of the following two formats:

#### MM:MM:SS:SS:SS or MM-MM-MM-SS-SS-SS

The first half of a MAC address contains the ID number of the adapter manufacturer. These IDs are regulated by an Internet standards body (see sidebar). The second half of a MAC address represents the serial number assigned to the adapter by the manufacturer. In the example: (00:A0:C9:14:C8:29), The prefix 00A0C9 indicates the manufacturer is Intel Corporation.MAC address spoofing is a synonym for taking over the identity of network interface controllers (NIC). Every single networking device is equipped with a globally unique hardware address called MAC address. The uniqueness of MAC addresses is essential in all phases of network communication because they map all upperlayer identifiers, e.g. IP addresses, to particular network interfaces[7].

Most difficult thing in security area is to assure the entity's identity. In many cases, string based pass phrases are used for this purpose. However, this kind of pass phrases is easily sniffed by a sophisticatedly architected key logging malware. Because of the reason, the highly security-sensitive services such as online finance and government issues are trying to restrict their operational environment in different ways. As an emphatic example of such approaches, Korean government has introduced the designated platform policy for online banking services where users are requested to use several limited number of PCs for renewing their public certificates. The problem in this approach is how to prove that the PC currently in use is one of the designated set of PCs. For this policy to be successful, it is essential to achieve the uniqueness of a PC platform to register it as a designated one [8].

The uniqueness of a hardware platform can be achieved by deriving platform-unique information from one or a combination of several hardware-dependent unique values. The Ethernet MAC address is considered the best one of such reasonable candidates as network IP address, serial numbers of hard disks, identifiers and mapping addresses of periphery devices and etc. because many people believe it is an un-modifiable and globally unique hardwired value. Although a MAC address needs to be unique only in a network segment, manufactures produce the Ethernet card with a pseudo globally-unique MAC address to eliminate the address conflicts when multiple cards are randomly deployed. In case of the designated platform solution [9], it utilizes the MAC address as a factor for constructing the platform-unique information. Therefore, this solution is somewhat for a kind of multi-factor authentication because the platformunique information is used as an additional factor in proving both of the user identifier and the platform identifier. This approach can improve the security level of the services such as the online games, file repositories, financial transactions, etc. by restricting the locations (authenticated platforms) of the authenticated users. When a specific service is used, it registers the platform identifier to the management server. When the user tries to use this service back, the trials issued only on the platforms of which identifiers have registered are allowed and other requests are denied.

Practically, the MAC address is considered it should not be changed in an active service. Regarding the wireless gateway, it allows only the registered specific MAC addresses for network connections if configured especially in the case a mobile or vehicle machine makes an inquiry into a location in the dedicated network [10, 11].

With the advancements of multimedia and networks technologies, a vast number of digital images, video and other types of files now transmitted over Internet and through wireless networks for convenient accessing and sharing [5]. Multimedia security in general is provided by

a method or a set of methods used to protect the multimedia content. These methods are heavily based on cryptography and they enable either communication security, or security against piracy (Digital Rights Management and watermarking), or Communication security of digital images and textual digital media can be accomplished by means of standard symmetric key cryptography. Such media can be treated as binary sequence and the whole data can be encrypted using a cryptosystem such as Advanced Encryption Standard (AES) or Data Encryption Standard (DES) [12]. In general, when the multimedia data is static (not a realtime streaming) it can treated as a regular binary data and the conventional encryption techniques can be used. Deciding upon what level of security is needed is harder than it looks. To identify an optimal security level, the cost of the multimedia information to be protected and the cost of the protection itself are to be compared carefully.

As a result, protection of digital images against illegal copying and distribution has become an important issue [5, 13, 14, 15].

There have been various data encryption techniques [16, 17, 18] on multimedia data proposed in the literature. Genetic Algorithms are among such techniques. The genetic algorithm is a search algorithm based on the mechanics of natural selection and natural genetics.

The genetic algorithm uses two reproduction operators: crossover and mutation. Reproduction give genetic algorithms most of their searching power. To apply a crossover operator, parents are paired together. There are several different types of crossover operators, and the types available depend on what representation is used for the individuals. The one-point crossover means that the parent individuals exchange a random prefix when creating the child individuals. The purpose of the mutation operator is to simulate the effect of transcription errors that can happen with a very low probability when a chromosome is mutated.

Only few genetic algorithms based encryption have been proposed. Kumar and Rajpal described encryption using the concept of the crossover operator and pseudorandom sequence generator by NLFFSR (Nonlinear Feed Forward Shift Register). The crossover point is decided by the pseudorandom sequence and the fully encrypted data they are able to achieve [19]. Kumar, Rajpal, and Tayal extended this work and used the concept of mutation after encryption. Encrypted data are further hidden inside the stego-image [20].

Husainy proposed Image Encryption using Genetic Algorithm-based Image Encryption using mutation and crossover concept [21].

A. Tragha et al., describe a new symmetrical block ciphering system named ICIGA (Improved Cryptography Inspired by Genetic Algorithms) which generates a session key in a random process. The block sizes and the

key lengths are variable and can be fixed by the user at the beginning of ciphering. ICIGA is an enhancement of the system (GIC) "Genetic algorithms Inspired Cryptography" [22].

A different technique for secure and efficient data encryption has been presented in this paper. This technique employs the MAC address of the receiver as a key to encrypt data, crossover and mutation operations of the Genetic Algorithm (GA) are using here with the MAC address to produce a strong encrypted data that have a good immunity against the attackers.

#### II.MATERIALS AND METHODS

Whenever the sender want send a safe data to the receiver, and after establishing the communication session. The MAC address of the receiver's device is read by the encryption technique to use it as a key to encrypt the data. The six parts of the MAC address will be formed to represent a vector (chromosome) of 6 bytes (genes). For example: (00:A0:C9:14:C8:29) is represent (in decimal) as:

0	160	201	20	200	41

Digital data file will be treated as a set of N bytes. The data is read and splitting it into a set of (N/6) vectors (chromosomes) of 6 bytes (same length as the MAC address above). For example, if the source data file has 24 bytes as:

2	10	7	15	32	19
9	64	71	3	15	23
1	12	34	18	5	25
30	11	3	16	27	8

Then these bytes are represented as:

Vector #						
1	2	10	7	15	32	19
2	9	64	71	3	15	23
3	1	12	34	18	5	25
		•	•			
4	30	11	3	16	27	8
				I .		<u> </u>

Now, the technique performs three main operations on the data vectors above:

1. Crossover or transposition the gene order in each vector. This is done by using a pseudo random number generation algorithm with different seed (initial) value for each vector (the vector number in this work). After doing this operation the data vectors become as follow:

ector #	32	19	2	7	15	10
1	32	1)		/	13	10
2	23	71	64	9	3	15
3	25	18	34	5	1	12
·		10				12
4	27	3	30	8	11	16

2. Mutation or substitution the value of each gene in each vector. This is done by apply an eXclusive-OR (XOR) Boolean operation between the MAC address vector and each of the data vector. After finish this operation the data vectors become as follow:

Vector #						
1	32	179	203	19	199	35
2	23	231	137	29	203	38
3	25	178	255	17	201	37
4	27	163	215	28	195	57

**3.** Re-sequence or reorder the sequence of the vectors itself. To make extra distortion in the encrypted data vector, the technique reorders the sequence of the data vectors randomly to be as follow:

Vector #						
3	25	178	255	17	201	37
				•	•	
4	27	163	215	28	195	57
1	32	179	203	19	199	35
2	23	231	137	29	203	38

When the three main operations are completed, the technique produces the encrypted data file which is being as follow:

25	178	255	17	201	37
27	163	215	28	195	57
32	179	203	19	199	35
23	231	137	29	203	38

To ensure that the encryption technique really will happen enough distortion in the source data, the measurement of Signal to Noise Ratio (SNR) can be used here. The SNR is calculated by using the following

formula, where S and E represent the source and the C. Statistical analysis encrypted image respectively:

$$SNR_{db} = \frac{\sum_{i=1}^{width} \sum_{j=1}^{hieght} (E_{ij})^2}{\sum_{i=1}^{width} \sum_{j=1}^{hieght} (E_{ij} - S_{ij})^2}$$
(1)

For the numerical example above, SNR between the source and the encrypted data  $\cong$  (1.200 db). This ratio is enough to make a good protection to the source data against the attackers.

#### III.RESULTS AND DISCUSSION

To give reader an ability to note the performance of the suggested technique, an experiment is implemented on an image of type (.bmp) to see strength of the encryption technique visually. The required programming codes to implement the proposed method are written using JAVA programming language.

Key space analysis, key sensitivity analysis, statistical analysis and Signal to Noise Ratio (SNR) are some of the security tests that are recommended to be used for testing the performance, strength and immunity of encryption methods.

#### A. Key space analysis

In any effective encryption system, the key space should be large enough to make brute-force attack infeasible. The secret key space (MAC address) in the suggested technique is (6bytes = 48bits), this means that the encryption system has relatively enough number of bits in the secret key. In this work, we note that the bits in the key are restricted by the MAC address and they cannot be increased or decreased.

#### B. Key sensitivity

To evaluate the key sensitivity feature of the proposed technique, a one bit change is made the secret key (MAC address) and then used it to decrypt the encrypted image. The decrypted image with the wrong key is completely different when it is compared with the decrypted image by using the correct key as shown in Fig. 1. It is the conclusion that the proposed encryption technique is highly sensitive to the key, even an almost perfect guess of the key does not reveal any information about the plain image/data.

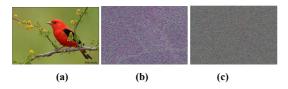


Figure 1: (a) Source image (b) Encrypted image (c) Decrypted image with wrong key

Statistical attack is a commonly used method in cryptanalysis and hence an effective encryption system should be robust against any statistical attack. Calculating the histogram and the correlation between the neighbors pixels in the source and in the encrypted image are the statistical analysis to prove the strong of the proposed encryption system against any statistical attack.

Fig. 2 shows the histograms of the source image in Figure 1 and its encrypted image respectively. It's clear from Fig. 2 that the histogram of the encrypted image is completely different from the histogram of the source image and does not provide any useful information to employ statistical attack.

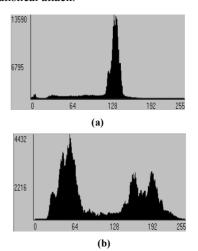


Figure 2: (a) Histogram of the source image in Fig. 1(a) (b) Histogram of its encrypted image

The correlation coefficient r is calculating by using the following formula:

$$r = \frac{\sum_{i=1}^{N} (x_i - \overline{x})(y_i - \overline{y})}{\sqrt{\sum_{i=1}^{N} (x_i - \overline{x})^2 \times \sum_{i=1}^{N} (y_i - \overline{y})^2}}$$
(2)

Where N is the number of pixel pairs,

$$\overline{x} = \frac{1}{N} \sum_{i=1}^{N} x_i \tag{3}$$

And

$$\overline{y} = \frac{1}{N} \sum_{i=1}^{N} y_i \tag{4}$$

The correlation coefficient for horizontal neighbor pixels of the source image in Fig. 1 is r=0.100231 while r=0.005561 for its encrypted image. It is clear from these two different values of the correlation coefficient that the strong correlation between neighbor pixels in source

image is greatly reduced in the encrypted image. The results of the correlation coefficient for vertical and diagonal neighbor pixels are similar to the horizontal neighbor pixels. The Signal to Noise Ratio (SNR) that is calculated for the above encrypted image in Fig. 1(b) is SNR=4.04401.

Some other images and data files were tested; the same behavior in the suggested encryption technique has been recorded.

From the above test, we note the following points:

The low value of SNR refer to that there is much distortion in the encrypted image. This means that the encrypted image has good immunity against the Human Visual System (HVS) attack.

The value of the correlation coefficient of the encrypted image is reducing heavily. And it is minimized greatly when comparing its value with the value of the correlation coefficient of the source image.

#### IV.CONCLUSIONS

In this paper, a technique for data encryption has been presented which employ the MAC address of the receiver device to use it as a key for encryption. This technique made a good immunity for the data that is transmitted through networks. The visual and analytical tests showed that the suggested technique is useful to use in the field of image/data encryption effectively in networks.

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# IDENTIFICATION OF DIABETIC RETINOPATHY USING FUZZY LOGIC AND BACK PROPAGATION NEURAL NETWORK

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classification ABSTRACT-Retinal exudates and identification of diabetic retinopathy to diagnose the eyes using fundus images requires automation. This research retinal exudates proposes Representative features are obtained from the fundus images using segmentation method. Fuzzy logic and back propagation algorithm are trained to identify the presence of exudates in fundus image. The presence of exudates is identified more clearly using Fuzzy logic and back propagation algorithm. By knowing the outputs of proposed algorithm during testing, accurate diagnosis and prescription for treatment of the affected eyes can be done. Fifty fundus images are used for testing. The performance of proposed algorithm is 96 %(48 images are classified). Simulation results show the effectiveness of proposed algorithm in retinopathy classification. Very large database can be created from the fundus images collected from the diabetic retinopathy patients that can be used for future work

Keywords: Diabetic retinopathy; fundus image; exudates detection; Fuzzy logic; back propagation algorithm.

#### I. INTRODUCTION

Diabetic Retinopathy (DR) cause blindness[1]. The prevalence of retinopathy varies with the age of onset of diabetes and the duration of the disease. Color fundus images are used by ophthalmologists to study eye diseases like diabetic retinopathy[2]. Big blood clots called hemorrhages are found. Hard exudates are yellow lipid deposits which appear as

bright yellow lesions. The bright circular region from where the blood vessels emanate is called the optic disk. The fovea defines the center of the retina, and is the region of highest visual acuity. The spatial distribution of exudates and microaneurysms and hemorrhages [3], especially in relation to the fovea can be used to determine the severity of diabetic retinopathy

Hard exudates are shinny and yellowish intraretinal protein deposits, irregular shaped, and found in the posterior pole of the fundus [4]. Hard exudates may be observed in several retinal vascular pathologies. Diabetic macular edema is the main cause of visual impairment in diabetic patients. Exudates are well contrasted with respect to the background that surrounds them and their shape and size vary considerably[5]. Hard and soft exudates can be distinguished because of their color and the sharpness of their borders. Various methods have been reported for the detection of Exudates. Efficient algorithms for the detection of the optic disc and retinal exudates have been presented in [6][7].

Thresholding and region growing methods were used to detect exudates[8][9], use a median filter to remove noise, segment bright lesions and dark lesions by thresholding, perform region growing, then identify exudates regions with Bayesian, Mahalanobis, and nearest neighbor (NN) classifiers. Recursive region growing segmentation (RRGS).[10], have been used for an automated

detection of diabetic retinopathy Adaptive intensity thresholding and combination of RRGS were used to detect exudates,[11-17], combine color and sharp edge features to detect exudate. First they find yellowish objects, then they find sharp edges using various rotated versions of Kirsch masks on the green component of the original image. Yellowish objects with sharp edges are classified as exudates.

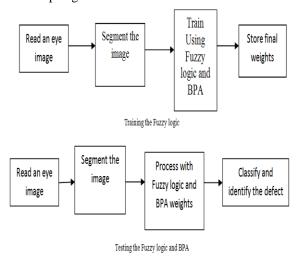


Fig.1 Schematic diagram

#### II MATERIALS AND METHODS

This research work proposes Fuzzy logic and back propagation algorithm (BPA) for identifying the defect in the diabetic retinopathy image. Segmentation is used for feature extraction. The extracted features are input to the Fuzzy logic and the output is input to the BPA network. In order to achieve maximum percentage of identification of the exudates, proper data is input for Fuzzy logic, optimum topology of BPA and correct training of BPA with suitable parameters is a must.

A large amount of exudates and non exudates images are collected. Features are extracted from the images using segmentation. The features are input to the Fuzzy logic. The outputs of Fuzzy logic are given in the input layer of BPA. Labeling is given in the output layer of BPA. The labeling indicates the exudates. The final weights obtained after training the Fuzzy logic and BPA is used to identify the exudates. Figure 1 explains the overall sequence of proposed methodology.

#### A.FUZZY LOGIC

Fuzzy Logic (FL) is a multi-valued logic that allows intermediate values to be defined between conventional evaluations like true/false,

yes/no, high/low. Fuzzy systems are an alternative to traditional notions of set membership and logic.

The training (Figure 2) and testing (Figure 3) fuzzy logic is to map the input pattern with target output data. For this the inbuilt function has to prepare membership table and finally a set of number is stored. During testing, the membership function is used to test the pattern.

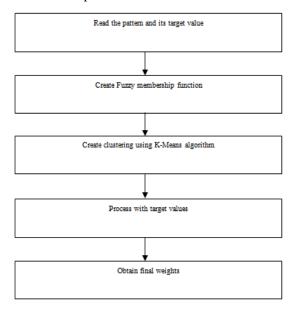


Figure 2 Training Fuzzy Logic

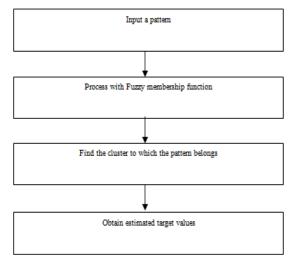


Figure 3 Testing Fuzzy Logic

#### B. BACK PROPAGATION ALGORITHM

Artificial neural network algorithm is used to estimate the exudates of retinopathy image. The ANN is trained using 3 values in the input layer two values in the output layer: stress and strain that are to be estimated during the testing stage of ANN algorithms. The number of nodes in the hidden layer varies depending upon the weight updating equations. Exact number of nodes, is fixed based on the trial and error method, in which the accuracy of estimation by the BPA is used as the criteria for the performance of ANN algorithm. The training of patterns used for the ANN are chosen from the segmented features. During the training process, depending upon the type of values present in the patterns, the learning capability of the ANN algorithms varies.

The concept of steepest-descent method is used in BPA to reach a global minimum. The number of layers is decided initially. The numbers of nodes in the hidden layers are decided.

It uses all the 3 layers (input, hidden and output). Input layer uses 3 nodes, hidden layer has 2 nodes and the output layer includes two nodes.

Random weights are used for the connections between nodes. Error at the output layer of the network is calculated by presenting a pattern to the input layer of the network. Weights are updated between the layers by propagating the error backwards till the input layer. All the training patterns are presented to the network for learning. This forms one-iteration. At the end of iteration, test patterns are presented to ANN and the prediction performance of ANN is evaluated. Further training of ANN is continued till the desired prediction performance is reached.

The concept of steepest-descent method is used in BPA to reach a global minimum. The number of layers are decided initially. The number of nodes in the hidden layers are decided. It uses all the 3 layers (input, hidden and output). Flow-chart for BPA is shown in Figure 4.

Steps Involved In Training Bpa

#### **Forward Propagation**

The hidden layer connections of the network are initialized with weights.

The inputs and outputs of a pattern are presented to the network.

The output of each node in the successive layers is calculated by using equation (1).

$$O_{(\text{output of a node})} = 1/(1 + \exp(-\sum w_{ij} x_i))$$
 (1)

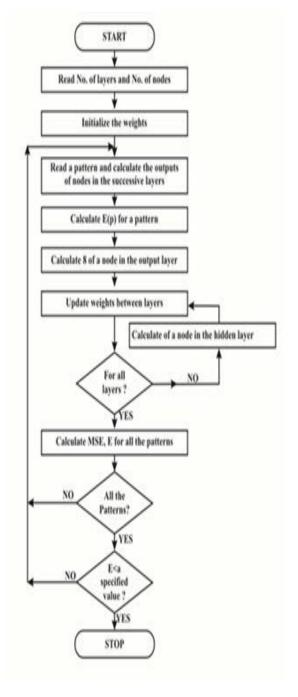


Figure 4 Flow-chart of BPA

For each pattern, error is calculated using equation (2).

$$E(p) = (1/2) \sum (d(p) - o(p))^2$$
 (2)

Reverse Propagation

For the nodes, the error in the output layer is calculated using equation (3).

$$\delta_{\text{(output layer)}} = o(1-o)(d-o)$$
 (3)

The weights between output layer and hidden layer are updated by using equation (4).

$$W_{(n+1)} = W_{(n)} + \eta \delta_{(\text{output layer})} o_{(\text{hidden layer})}$$
 (4)

The error for the nodes in the hidden layer is calculated by using equation (5).

$$\delta_{\text{(hidden layer)}} = o(1\text{-o})\sum \delta_{\text{(output layer)}} W_{\text{(updated weights between}}$$
 hidden & output layer) (5)

The weights between hidden and input layer are updated by using equation (6).

$$W_{(n+1)} = W_{(n)} + \eta \delta_{(hidden layer)} o_{(input layer)}$$
 (6)

The above steps complete one weight updation.

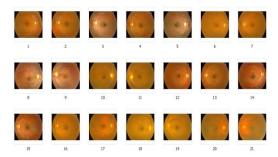
The above steps are followed for the second pattern for subsequent weight updation. When all the training patterns are presented, a cycle of iteration or epoch is completed. The errors of all the training patterns are calculated and displayed on the monitor as the MSE.

$$E_{(MSE)} = \sum E_{(p)} \tag{7}$$

#### II. EXPERIMENTAL WORK

Color retinal images obtained from Benajami Hospital, Nagarkoil(India). According to the National Screening Committee standards, all the images are obtained using a Canon CR6-45 Non-Mydriatic (CR6-45NM) retinal camera. A modified digital back unit (Sony PowerHAD 3CCD color video camera and Canon CR-TA) is connected to the fundus camera to convert the fundus image into a digital image. The digital images are processed with an image grabber and saved on the hard drive of a Windows 2000 based Pentium -IV.

The Sample images of normal (Figure 5) and abnormal types (Figure 6) are given.



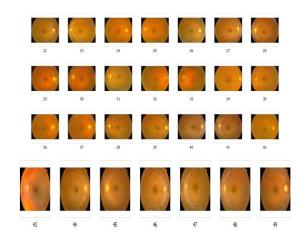


Fig. 5 Normal fundus images

Figure 5 shows sample images of eyes in good condition

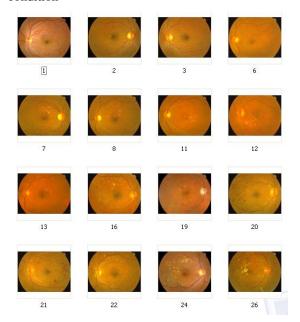


Fig.6 Hard exudates

Figure 6 shows sample images of eyes with hard exudates.

### III IMPLEMENTATION OF FUZZY LOGIC AND BPA

The Fuzzy logic and BPA is trained with the data given in Table 1. Each row made up of 4 variables. A labeling is given in the last column. Eighteen patterns are considered for training. These 17patterns are taken from the segmented image. Additional hard exudate images can also be considered from which additional patterns can be obtained. A topology of

 $3_{(nodes\ in\ the\ input\ layer)}\ X\ 5_{(nodes\ in\ the\ hidden\ layer)}\ X\ 1_{(node\ in\ the\ output\ layer)}$  is used for training BPA. The final weights obtained are used for classification of the segmented exudates from the noise present in the segmented image.

Table 1 Data for training Fuzzy logic and BPA							
Training Inputs						Tar	
						get	
						out	
						put	
						S	
Α		Fille		Sol	Orien	Lab	
r		dAre		idit	tation	elin	
e		a		y		g	
a							
	55	5	9	0.6111	-16.5837		1
	59	5	9	0.7024	-29.5294		1
	61	6	51	0.5980	43.1644		1
	64	6	4	0.5161	-4.1202		1
	69	7	0	0.6970	20.1090		1
	75	7	5	0.7732	7.9202		1
	78	8	08	0.6393	82.4571		1
	89	9	1	0.5973	84.0033		1
	100	10	)1	0.5587	-39.8444		1
	104	10	8	0.7324	-12.7048		2
	109	10	9	0.8790	42.4872		2
	139	13	9	0.7128	81.1306		2
	165	16	55	0.9016	45.6726		2
	167	18	30	0.5860	55.3490		2 2 2 2 2 2
	214	21	9	0.7431	40.4485		2
	251	25	1	0.6452	80.2676		2
	5108	511	7	0.8913	91.3917		2

#### IV RESULTS AND DISCUSSION

For template matching and comparison purposes, representative exudates are isolated from the original retinopathy images in order to create exudates templates which are presented in Figure 7.

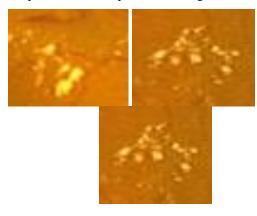


Fig. 7 (a) Sample Hard Exudates

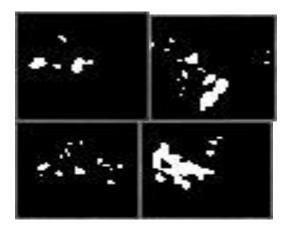


Fig. 7 (b) Segmented hard exudates

Figure 7a shows the sample templates out of fifty templates collected. Each template has varied scattering of the exudates. Figure 7b shows, the segmented exudates. The black indicates the background of the image and the white shows the hard exudates. Statistical features for the hard exudates templates are found. The statistical features considered are 'Convex Area', 'Solidity', 'Orientation' and 'Filled Area'.

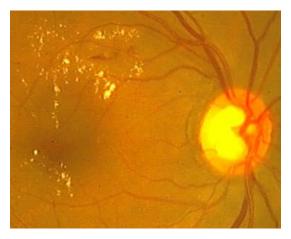


Fig. 8a A portion of the original true color image

Figure 8a presents a portion of the original diabetic retinopathy image in true color. The plane-1 information of the original image is shown in Figure 8b. The plane-2 (Figure 8c) and plane-3(Figure 8d) are shown. Identification of exudates is done using plane-2 information.

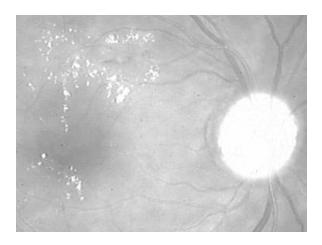


Fig. 8b Plane 1 of the image in Figure 8a

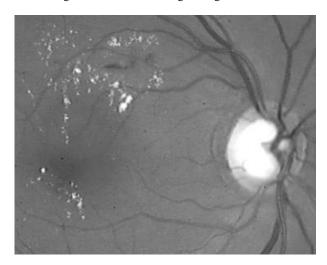


Fig. 8c Plane 2 of the image in Figure 8a

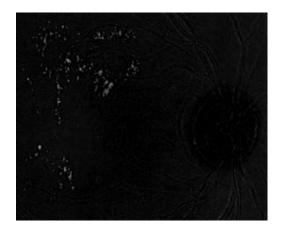


Fig.8d Plane 3 of the image in Figure 8a

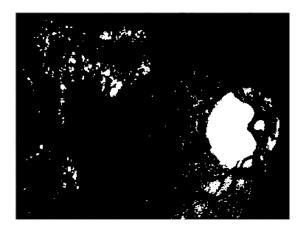


Fig. 8e Plane 2 of the image segmented

The hard exudates are found scattered in the retinopathy image. The segmented image shows more noise. Figure 9a presents 9 pixel values summed versus the window number during scanning the image to be segmented. The average summed number is above 1500 which is an indication of slight white background appearance as can be seen from Figure 8c (plane 2).

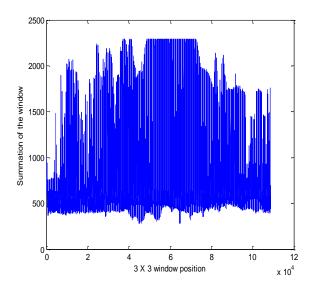


Fig. 9a Total pixel values

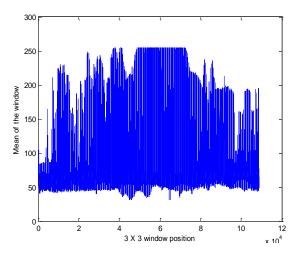


Fig. 9b Mean of each window

The mean (Figure 9b) is shown. The property of imfeature is applied to the segmented image. The area of the labeled objects in the segmented image is obtained. The optic disc in the image is removed by using a threshold. If the area of an object is greater than a value of 500, then it is treated as optic disc. Using the boundingbox concept, this object is filled with black. Hence the remaining objects could be either the noise or the exudates. Figure 10 shows the eye disc removed by applying statistical features.

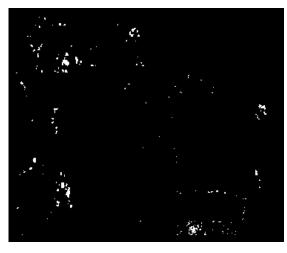


Fig.10 Eyedisc removed

The sample outputs of statistical area of the imfeature is shown in Table 1.

#### **V CONCLUSION**

The main focus of this work is on segmenting the diabetic retinopathy image and classifies the exudates. Segmentation is done and classification of

the exudates is done using Fuzzy logic and back propagation algorithm (BPA) network. The performance classification of exudates by using BPA is better. The proposed Fuzzy logic and BPA classifies the segmented information of the image into hard exudates or not.

- 1. All the fundus images in this work have to be transformed to a standard template image condition. This corrects in the illumination effect on the images.
- 2. Only when the fundus image is taken with good quality, detection of exudates is more accurate.

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#### Track A: Security

Access control, Anonymity, Audit and audit reduction & Authentication and authorization, Applied cryptography, Cryptanalysis, Digital Signatures, Biometric security, Boundary control devices, Certification and accreditation, Cross-layer design for security, Security & Network Management, Data and system integrity, Database security, Defensive information warfare, Denial of service protection, Intrusion Detection, Anti-malware, Distributed systems security, Electronic commerce, E-mail security, Spam, Phishing, E-mail fraud, Virus, worms, Trojan Protection, Grid security, Information hiding and watermarking & Information survivability, Insider threat protection, Integrity

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Location Anonymity schemes, Intrusion detection and prevention techniques, Cryptography, encryption algorithms and Key management schemes, Secure routing schemes, Secure neighbor discovery and localization, Trust establishment and maintenance, Confidentiality and data integrity, Security architectures, deployments and solutions, Emerging threats to cloud-based services, Security model for new services, Cloud-aware web service security, Information hiding in Cloud Computing, Securing distributed data storage in cloud, Security, privacy and trust in mobile computing systems and applications, **Middleware security & Security features:** middleware software is an asset on

its own and has to be protected, interaction between security-specific and other middleware features, e.g., context-awareness, Middleware-level security monitoring and measurement: metrics and mechanisms for quantification and evaluation of security enforced by the middleware, Security co-design: trade-off and co-design between application-based and middleware-based security, Policy-based management: innovative support for policy-based definition and enforcement of security concerns, Identification and authentication mechanisms: Means to capture application specific constraints in defining and enforcing access control rules, Middleware-oriented security patterns: identification of patterns for sound, reusable security, Security in aspect-based middleware: mechanisms for isolating and enforcing security aspects, Security in agent-based platforms: protection for mobile code and platforms, Smart Devices: Biometrics, National ID cards, Embedded Systems Security and TPMs, RFID Systems Security, Smart Card Security, Pervasive Systems: Digital Rights Management (DRM) in pervasive environments, Intrusion Detection and Information Filtering, Localization Systems Security (Tracking of People and Goods), Mobile Commerce Security, Privacy Enhancing Technologies, Security Protocols (for Identification and Authentication, Confidentiality and Privacy, and Integrity), Ubiquitous Networks: Ad Hoc Networks Security, Delay-Tolerant Network Security, Domestic Network Security, Peer-to-Peer Networks Security, Security Issues in Mobile and Ubiquitous Networks, Security of GSM/GPRS/UMTS Systems, Sensor Networks Security, Vehicular Network Security, Wireless Communication Security: Bluetooth, NFC, WiFi, WiMAX, WiMedia, others

This Track will emphasize the design, implementation, management and applications of computer communications, networks and services. Topics of mostly theoretical nature are also welcome, provided there is clear practical potential in applying the results of such work.

## Track B: Computer Science

Broadband wireless technologies: LTE, WiMAX, WiRAN, HSDPA, HSUPA, Resource allocation and interference management, Quality of service and scheduling methods, Capacity planning and dimensioning, Cross-layer design and Physical layer based issue, Interworking architecture and interoperability, Relay assisted and cooperative communications, Location and provisioning and mobility management, Call admission and flow/congestion control, Performance optimization, Channel capacity modeling and analysis, Middleware Issues: Event-based, publish/subscribe, and message-oriented middleware. Reconfigurable, adaptable, and reflective middleware approaches, Middleware solutions for reliability, fault tolerance, and quality-of-service, Scalability of middleware, Context-aware middleware, Autonomic and self-managing middleware, Evaluation techniques for middleware solutions, Formal methods and tools for designing, verifying, and evaluating, middleware, Software engineering techniques for middleware, Service oriented middleware, Agent-based middleware, Security middleware, Network Applications: Network-based automation, Cloud applications, Ubiquitous and pervasive applications, Collaborative applications, RFID and sensor network applications, Mobile applications, Smart home applications, Infrastructure monitoring and control applications, Remote health monitoring, GPS and location-based applications, Networked vehicles applications, Alert applications, Embedde Computer System, Advanced Control Systems, and Intelligent Control: Advanced control and measurement, computer and microprocessor-based control, signal processing, estimation and identification techniques, application specific IC's, nonlinear and adaptive control, optimal and robot control, intelligent control, evolutionary computing, and intelligent systems, instrumentation subject to critical conditions, automotive, marine and aero-space control and all other control applications, Intelligent Control System, Wiring/Wireless Sensor, Signal Control System. Sensors, Actuators and Systems Integration: Intelligent sensors and actuators, multisensor fusion, sensor array and multi-channel processing, micro/nano technology, microsensors and microactuators, instrumentation electronics, MEMS and system integration, wireless sensor, Network Sensor, Hybrid

Sensor, Distributed Sensor Networks. Signal and Image Processing: Digital signal processing theory, methods, DSP implementation, speech processing, image and multidimensional signal processing, Image analysis and processing, Image and Multimedia applications, Real-time multimedia signal processing. Computer vision, Emerging signal processing areas, Remote Sensing, Signal processing in education. Industrial Informatics: Industrial applications of neural networks, fuzzy algorithms, Neuro-Fuzzy application, bioInformatics, real-time computer control, real-time information systems, human-machine interfaces, CAD/CAM/CAT/CIM, virtual reality, industrial communications, flexible manufacturing systems, industrial automated process, Data Storage Management, Harddisk control, Supply Chain Management, Logistics applications, Power plant automation, Drives automation. Information Technology, Management of Information System: Management information systems, Information Management, Nursing information management, Information System, Information Technology and their application, Data retrieval, Data Base Management, Decision analysis methods, Information processing, Operations research, E-Business, E-Commerce, E-Government, Computer Business, Security and risk management, Medical imaging, Biotechnology, Bio-Medicine, Computer-based information systems in health care, Changing Access Patient Information. Healthcare Management Information Technology. to Communication/Computer Network, Transportation Application: On-board diagnostics, Active safety systems, Communication systems, Wireless technology, Communication application, Navigation and Guidance, Vision-based applications, Speech interface, Sensor fusion, Networking theory and technologies, Transportation information, Autonomous vehicle, Vehicle application of affective computing, Advance Computing technology and their application: Broadband and intelligent networks, Data Mining, Data fusion, Computational intelligence, Information and data security, Information indexing and retrieval, Information processing, Information systems and applications, Internet applications and performances, Knowledge based systems, Knowledge management, Software Engineering, Decision making, Mobile networks and services, Network management and services, Neural Network, Fuzzy logics, Neuro-Fuzzy, Expert approaches, Innovation Technology and Management: Innovation and product development, Emerging advances in business and its applications, Creativity in Internet management and retailing, B2B and B2C management, Electronic transceiver device for Retail Marketing Industries, Facilities planning and management, Innovative pervasive computing applications, Programming paradigms for pervasive systems, Software evolution and maintenance in pervasive systems, Middleware services and agent technologies, Adaptive, autonomic and context-aware computing, Mobile/Wireless computing systems and services in pervasive computing, Energy-efficient and green pervasive computing, Communication architectures for pervasive computing, Ad hoc networks for pervasive communications, Pervasive opportunistic communications and applications, Enabling technologies for pervasive systems (e.g., wireless BAN, PAN), Positioning and tracking technologies, Sensors and RFID in pervasive systems, Multimodal sensing and context for pervasive applications, Pervasive sensing, perception and semantic interpretation, Smart devices and intelligent environments. Trust, security and privacy issues in pervasive systems. User interfaces and interaction models, Virtual immersive communications, Wearable computers, Standards and interfaces for pervasive computing environments, Social and economic models for pervasive systems, Active and Programmable Networks, Ad Hoc & Sensor Network, Congestion and/or Flow Control, Content Distribution, Grid Networking, High-speed Network Architectures, Internet Services and Applications, Optical Networks, Mobile and Wireless Networks, Network Modeling and Simulation, Multicast, Multimedia Communications, Network Control and Management, Network Protocols, Network Performance, Network Measurement, Peer to Peer and Overlay Networks, Quality of Service and Quality of Experience, Ubiquitous Networks, Crosscutting Themes - Internet Technologies, Infrastructure, Services and Applications; Open Source Tools, Open Models and Architectures; Security, Privacy and Trust; Navigation Systems, Location Based Services; Social Networks and Online Communities; ICT Convergence, Digital Economy and Digital Divide, Neural Networks, Pattern Recognition, Computer Vision, Advanced Computing Architectures and New Programming Models, Visualization and Virtual Reality as Applied to Computational Science, Computer Architecture and Embedded Systems, Technology in Education, Theoretical Computer Science, Computing Ethics, Computing Practices & Applications

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